# Software Architecture & Dependability

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## **Outline**

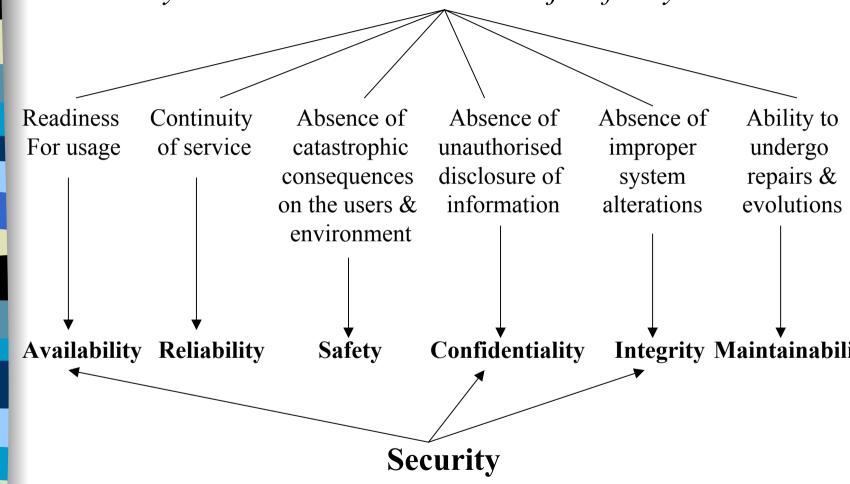
- Dependability concepts
- SA and dependability analysis
- Automated dependability analysis of SA
- Supporting environment
- Supporting the overall development of dependable systems
- Conclusion

## **Base System Properties**

- Functionality
  - System's functional specification
- Usability
- Performance
- Cost
- Dependability

## **Dependability**

Ability to deliver a service that can justifiably be trusted



Absence of unauthorized access to, or handling of, system state

## **Dependability Provision**

Ability to avoid **failures** that are more frequent or more severe and outage durations that are longer, than is acceptable to the users

... Failures Cause Faults Errors Propagation Failures ...

Adjudged or hypothesized cause of an **error** 

Part of the system state that *may* cause a subsequent failure

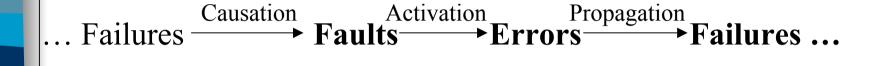
delivered service from correct service, i.e., implementing the system's function

Deviation of the

System does Incorrect not comply specification with the specification

## **Dependability Definitions**

#### Dependability threats





Availability Reliability Safety Confidentiality Integrity Maintainabilit

Fault Fault Fault Fault
Prevention Tolerance Removal Forecasting

Dependability means

## **Dependability Threats**

#### Fault

- Active when produces an error
  - Internal fault that was previously dormant and is activated by computation process or environmental conditions
  - External fault
- Dormant otherwise

#### Error

- Propagated by the computation process
- Due to:
  - Activation of an internal fault
    - Occurrence of a physical operational fault
  - Propagation of an error from another system

#### Failure

- Due to error propagated to the service interface and unacceptably alters the service delivery by the system
- Causes permanent or transient fault in the system that contains the component, and for the other system(s) that interact with the given system



Activation

Propagation

## **Dependability Threats - Faults**

- Major fault classes
  - Physical faults, design faults, interaction faults
- Phase of creation/occurrence
  - Design vs operational
- System boundaries
  - Internal vs external
- Dimension
  - Hardware vs software
- Phenomenological cause
  - Natural vs human-made
- Intention
  - Accidental or deliberate without malice vs malicious
- Persistence
  - Permanent vs transient

## **Dependability Threats - Failures**

- Symptoms
  - False alarm
  - Degraded service
  - Safe shutdown
  - Signalled failure
  - Crash failure
  - Unsignalled failure
  - Byzantine failure
- Consequences
  - From minor to catastrophic failures
- Domain
  - Value vs timing failures

## **Dependability Threats - Errors**

- Detection
  - Latent
  - Detected
- Multiplicity
  - Single
  - Multiple related

## **Dependability Means – Fault Prevention**

- Preventing the occurrence or introduction of faults
  - Quality control techniques
    - Rigorous design rules
    - Software
      - Structured programming
      - Information hiding
      - Modularization
      - ➤ Benefit of SA-based development

## **Dependability Means – Fault Tolerance**

- Delivering correct service in the presence of faults
  - Error detection
    - Concurrent error detection during service delivery
    - Preemptive error detection when service delivery is suspended

## **Dependability Means – Fault Tolerance (2)**

#### System recovery

- Transforms a system state that contains one or more errors and (possibly) faults into a state without detected errors and faults that can be activated again
- Error handling that eliminates errors from the system state
  - Rollback based on checkpoint
  - Compensation based on redundancy
    - Fault masking when systematic
  - Rollforward based on new state
- Fault handling that prevents located faults from being activated again
  - Fault diagnosis that identifies and records the cause(s) of error(s)
  - Fault isolation that performs physical or logical exclusion of the faulty components
  - System reconfiguration that reassigns tasks among non-faulty components
  - System reinitialisation that enacts the reconfiguration

## **Dependability Means – Fault Tolerance (3)**

- Fail-controlled systems
  - Dependability requirements set the system's specific mode of failures
    - Halting failures only
      - Fail-halt
      - Fail-silent
    - Minor failures
      - Fail-safe

## Dependability Means – Fault Removal

- Reducing the number or severity of faults
  - Verification
    - Static
      - Static analysis
      - Theorem proving
      - Model checking
    - Dynamic
      - Symbolic execution
      - Testing
  - Diagnosis
  - Correction

## Dependability Means – Fault Forecasting

- Estimating the present number, the future incidence, and the likely consequences of faults
  - Qualitative/ordinal evaluation
    - Failure mode and effect analysis
    - Reliability block diagrams
    - Fault trees
  - Quantitative/probabilistic evaluation
    - Markov chains
    - Stochastic Petri nets
    - Reliability block diagrams
    - Fault trees

## **Dependability Attributes - Assessment**

#### Reliability

 Measure of the continuous delivery of correct service (time to failure)

#### Availability

 Measure of the delivery of correct service wrt alternation of correct and incorrect service

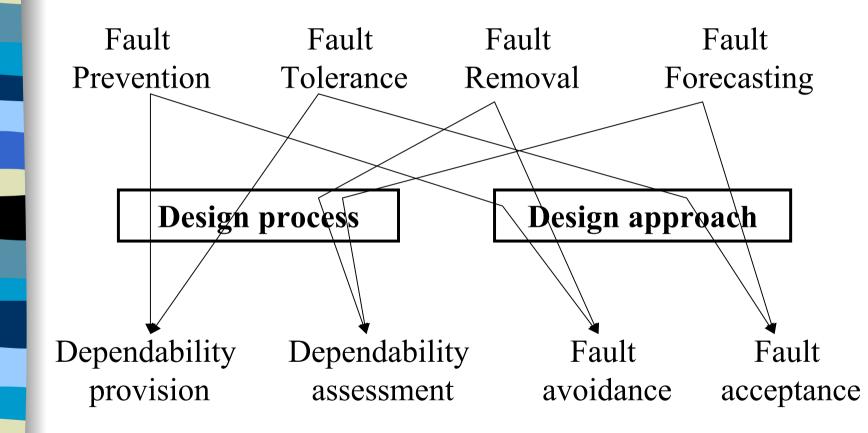
#### Maintainability

 Measure of the time to service restoration since the last failure occurrence (measure of the continuous delivery of incorrect service)

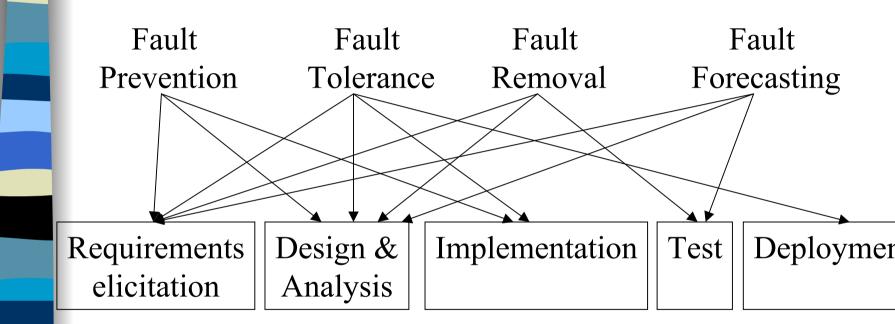
#### Safety

- Extension of reliability: reliability wrt catastrophic failure with safe state as the grouping of the sates of correct service and incorrect service due to non-catrastrophic failure
- Measure of continuous safeness (time to catastrophic failure)

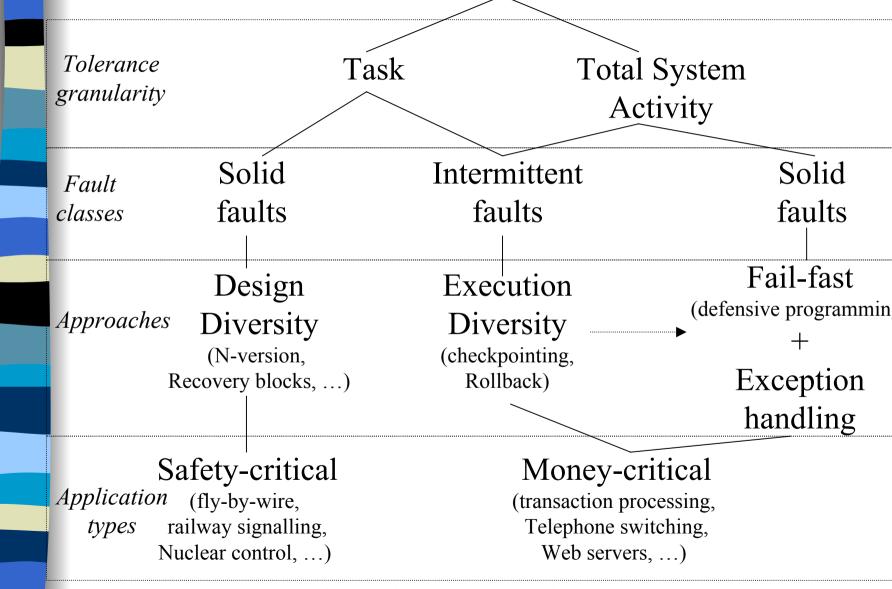
## Dependability Means and System Design



## Dependability Means & System Developmen



### **Software Fault Tolerance**



## Impact of Dependability on SA Design

- Dependability provision & assessment
  - Rigorous design
    - Fault prevention
    - Fault tolerance via integration of dedicated components
    - Fault removal
  - Dependability analysis
    - Evaluating dependability attributes
      - Fault removal
      - Fault forecasting

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#### **Context**

- Nowadays, business industry and society tend to place increasing dependence on their systems
  - Systems consist of numerous disparate and autonomous component systems
- Systems users have ever-increasing nonfunctional requirements on the quality of the systems
  - Performance, reliability, availability, etc.

## Context (2)

- Assessing systems quality against users' requirements involves performing quality analysis during the lifetime of the systems
- Quality analysis, is not a new challenge:
  - Analytic, simulation, measurement-based techniques do exist
  - Models of system behavior serve as input to those techniques (Markov-chains, Petri-nets, queuingnets etc.)
  - Values of the quality attributes are produced by solving, simulating the models

## Context (3)

#### But...

- Developing good quality models in general is not an easy task and requires experience in formal methods
- Everyday developers:
  - Are generally very experienced in using ADLs and OO methods for designing and developing a system
  - Are not keen on using formal methods for verifying/assessing/evaluating the system

## Context (4)

#### Hence...

- The ideal would be to provide systems developers with an environment that:
  - Enables the design of Dependable Systems (DS) architectures
  - Provides tool support that facilitates the specification of formal models for DS quality analysis
- The previous are our main directions towards a ADL-based developer-oriented environment for DS quality analysis

## Modeling DS Architectures using ADL

- Component
  - Unit of data or computation
  - Characterized by
    - Interface, type, properties
- Connector
  - Interaction protocol
  - Characterized by
    - Interface, type, properties
- Configuration
  - Assembly of components and connectors
  - Possibly constrained

## **ADL** and Dependability Analysis

- Base approach [Klein et al. 99]
  - Attribute-Based Architectural Style (ABAS) that provides modeling support for the analysis of a particular quality attribute
- Dependability-oriented ABAS
  - Quality attribute measures
    - Measure associated with dependability attributes
  - Quality attribute stimuli
    - Failures affecting the value of the dependability attributes
  - Quality attribute properties
    - Architectural properties affecting the value of the dependability attributes, e.g., faults, redundancy
  - Quality attribute models
    - Models that relate the above elements for measuring dependability attributes, e.g., state space model

## **Need for Automated Analysis**

- Base approach [Kazman et al. 00]
  - Architecture Tradeoff Analysis Method (ATAM) that couples ABAS with a scenario for analyzing quality attributes
  - Expertise in formal models required
  - Development of quality analysis models takes about 25% of the time
- Automated generation of quality attribute models from architectural descriptions
  - ABAS for automated dependability analysis

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## **Automated Dependability Analysis of SA**

- ADL support
  - Scenario/collaboration
  - Specifying dependability measures, stimuli and properties associated with architectural elements
- Dependability models
  - Block diagrams
  - State space models
- Automated generation of state space models

## **Dependability Measures**

- Reliability measure
  - Probability that the system provides correct service for a given time period
- Availability measure
  - Probability that the system provides correct service at a given moment in time
- Safety measure
  - Probability that there will be no catastrophic failure for a given period of time

## Dependability Stimuli: Specification of Failures

Features	Range	Architectural element
Domain	Time/Value	Component/ Connector/ Node
Perception	Consistent/ Inconsistent	

## **Dependability Properties: Specification of Faults**

Features	Range	Architectural element
Nature	Intention/Accident	Component/
Phase	Design/Operational	Connector/
Causes	Physical/Human	Node
Boundaries	Internal/External	
Persistence	Permanent/Temporary	
Arrival-rate	Real	
Active-to-benign	Real	
Benign-to-active	Real	
disappearance	Real	

## Dependability Properties: Specification of Redundancy

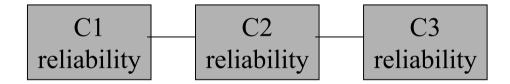
	Features	Range	Architectural element
١	Error-detection	Vote/Comparison/	Component
		Acceptance	
	Execution	Parallel/Sequential	
	Confidence	Absolute/Relative	
١	Service-delivery	Continuous/Suspended	
	No-comp-faults	Integer	
	No-node-faults	Integer	

## **Automated Dependability Analysis of SA**

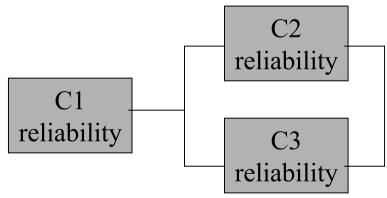
- ADL support
  - Scenario/collaboration
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## **Block Diagrams**

- Graphical representation of constraintto-succeed
  - Serial: C1 ^ C2 ^C3



M-out-of-N connections: C1 ^ (C2 v C3)



## **Block Diagrams – Reliability Evaluation**

- Serial connection
  - BD.reliability = P(C1^C2^C3)
  - P(C1^C2^C3) = C1.reliability\*C2.reliability\*C3.reliability
- M-out-of-N connections
  - BD.reliability = P(C1 ^ (C2 v C3))
  - P(C1 ^ (C2 v C3)) =

C1.reliability\*C2.reliability +

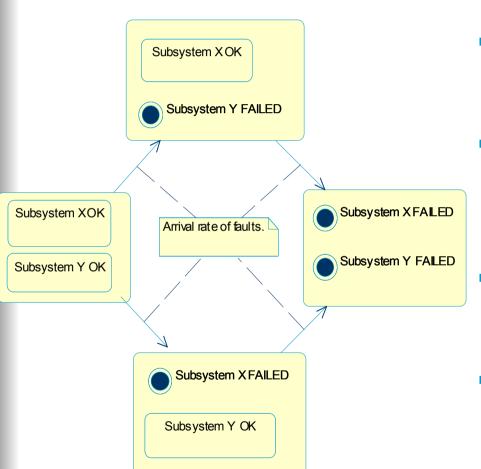
C1.reliability\*C3.reliability -

C1.reliability\*C2.reliability\*C3.reliability

## **Block Diagrams – Limitation**

- Based on static descriptions of the components needed for correct service provisioning
- Does not account for dynamic aspects
  - Transient/intermittent faults
  - Repairable systems
- Does not account for dependent failures

### **State Space Models**



- A set of transitions between states of the system
- A state represents a situation where either the system works correctly, o not (i.e. a death state)
- A state of the system depends on the state of it constituent elements.
- A state is constrained by the range of all possible situations that may occur

## State Space Models – Dependability Evaluation

- The specification of large state-space models is often too complex and error-prone
- Instead of all possible state transitions specify:
  - The state range of the system
  - Transition rules between sets of states of the system
  - The initial state of the system
  - A death state constraint

- An existing algorithm can be applied to generate a complete state space model
  - Start from the initial state
  - Apply recursively the transition rules:
    - During a recursive step, produce a transition to a state derived from the initial one
    - If the resulting state is a death state, end the recursion

## **Automated Dependability Analysis of SA**

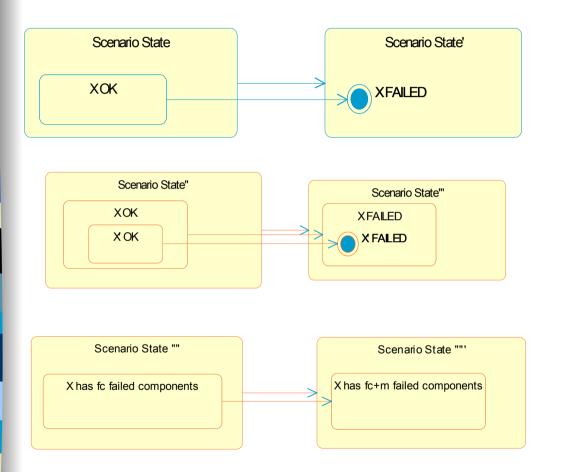
- ADL support
  - Scenario/collaboration
  - Specifying dependability measures, stimuli and properties associated with architectural elements
- Dependability models
  - Block diagrams
  - State space models
- Automated generation of state space models

## Generating the State Range for a Scenario

- The state of a collaboration is composed of:
  - The states of the component, connector instances used
  - The state of nodes on top of which the component instances execute
  - If a component is composite, its state is composed of the states of the constituent elements

- The range of states for a component/connector/node depends on the kind of faults that may cause failures and the kind of redundancy used.
  - For permanent faults, a component/connector/node may be OPERATIONAL/FAILED.
  - For intermittent faults, a component/connector/node may be OPERATIONAL/FAILED-ACTIVE/FAILED-BENIGN.

### Generating the Transition Rules – Pattern for Permanent Fault



Primitive component

Composite component

Redundancy schema

## **Generating the Initial State and Death State Constraints**

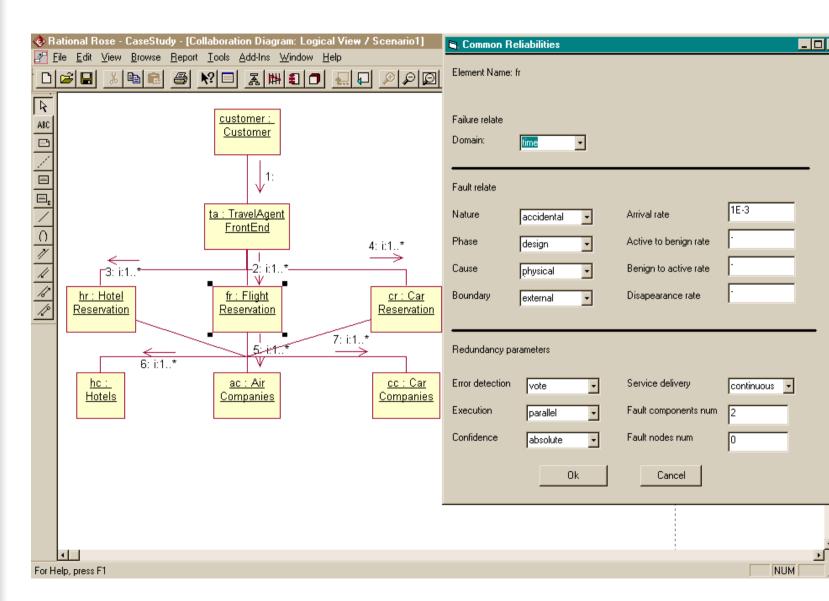
## Generate the initial state definition...

The initial state is a state where all of the elements used in the scenario are operational

## Generate the death state constraint...

- The death state constraint consists of the disjunction of base predicates.
  - Each predicate defines the death state constraint for an individual element used
    - For a component, connector, or a node; states that the element is in a FAILED state
    - For a redundancy schema; is the disjunction of two predicates:
      - The first states that the number of failed redundant component instance is greater than the number component faults that can be tolerated
      - The second states that the number of failed redundant nodes is greater that the number of node faults that can be tolerated

## **Example**



## **Example – Reliability Properties**

#### **Components**

- May fail due to permanent design faults.
- The fault arrival rates of the legacy systems is much smaller compared to the fault arrival rates of the new components.
- Add-hoc redundancy is present.
  - From n legacies, we need at least 1 answer to be successful.
- NVP redundancy is used for the realization of the TA components.

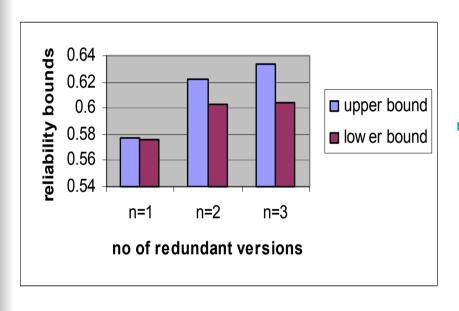
#### **Nodes**

- May also fail due to permanent faults.
- The arrival rates are much smaller than those of components.

#### **Connectors**

- May fail due to temporary faults that disappear with a certain rate.
- The fault arrival rate of the RPC connector is systems is much less compared to the one of the HTTP connector.

### **Example – Reliability Evaluation**



- Reliability gets better while increasing the number of different versions realizing DS components.
- Improvement is not spectacular.
  - HTTP connectors are the main sources causing the reliability measure to have small values.
- However, the cost of realizing multiple versions is small.

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## **Modeling DS Architectures**

- Two approaches quite popular in various different communities
  - Architecture Description Languages
  - OO methods like OMT, and recently UML
- ADLs offer notations enabling the rigorous specification of the structure and behavior of DS
  - Components/Connectors/Configurations.
  - Tools that ease the analysis and the construction of DS architectures.
  - ADLs are most popular in the academic community.
  - Industrials prefer using OO notations for the specification of system architectures.

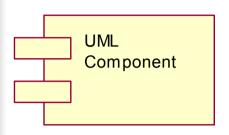
## **Modeling DS Architectures (2)**

- UML is becoming an industrial standard notation for the definition of a family of languages (i.e., UML profiles) for modeling software.
  - Basic concern regarding the imprecision of the semantics of UML.
  - To increase the impact of ADLs in the real world, and to decrease the ambiguity of UML, we propose an ADL defined in relation to standard UML elements.

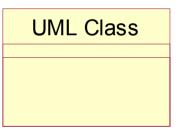
#### **Extensible UML-based ADL**

- Identify standard UML element(s), whose semantics are close to the ones needed for the specification of ADL components, connectors and configurations.
- If the semantics of the identified element(s) do not exactly match the ones needed for the specification of components, connectors, and configurations, extend them properly and define a corresponding UML stereotype(s).
- A UML stereotype is a UML element whose base class is a standard UML element. Moreover, a stereotype is associated with additional constraints and semantics.

## **ADL** – Component Definition



William Wil



Does not directly support the hierarchical composition of systems. A class may be composite but a class definition does not include the interrelationships among the constituent classes.

UML Package

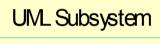
Can not be instantiated, or associated with other packages.

## **ADL** – Component Definition (2)

 Subtype of both the Package and the Classifier elements

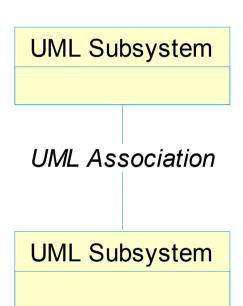
- Can be instantiated
- Can be Associated
- It can contain other subsystems
- It can contain models showing the relationships among the constituent subsystems

We define an ADL component as a stereotyped UML Subsystem, that may provide and require standard UML interfaces.





#### **ADL – Connector Definition**



- Connectors represent the protocols through which components may interact
- UML Association is a natural choice to model connectors in UML
  - A connector role corresponds to an association end

We define a connector as a stereotyped UML association characterized by a non-empty set of interfaces, named "Interfaces", representing the specific parts of components' functionality playing the roles.

## **ADL** – Connector Definition (2)

- In practice, connectors are built from architectural elements, including components and more primitive connectors
  - E.g. A CORBA connector is typically made out of a base ORB connector and components representing CORBA services
- UML associations can not be composed of other model elements

 However, UML provides a semantic element called Refinement for defining the mapping between an element X and an element Y derived by X

To support the hierarchical composition of connectors, we define a stereotype, whose base class is the standard UML Refinement element and is used to define the mapping between a connector and a composite component that realizes the connector

## **ADL – Configuration Definition**

**UML Model** 

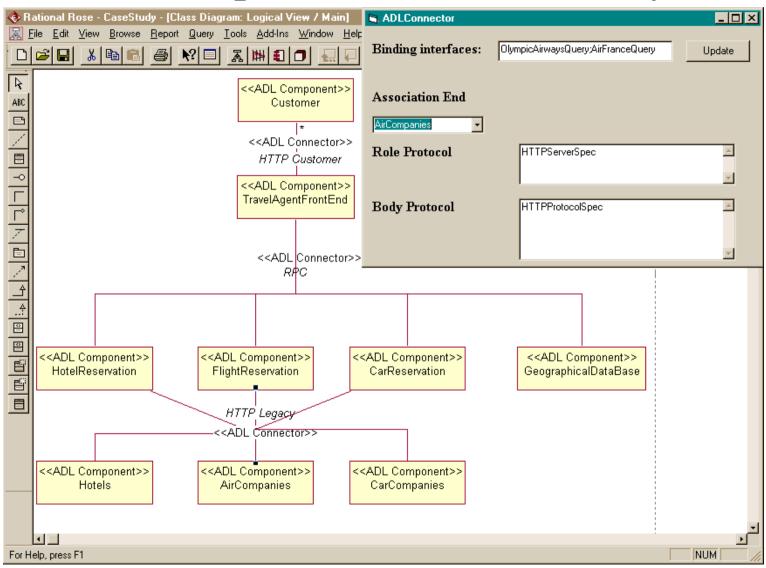
- An assembly of components and connectors
- In UML, the assembly of model elements is specified by a model

We define a configuration as a UML model, consisting of a containment hierarchy where the top-most package is a composite ADL component

## **Design Tools**

- Rational Rose tool for the graphical specification of software architectures.
  - Implemented an add-in that eases the specification of architectural descriptions using the stereotypes discussed so far.
  - Use of an existing add-in to generate XML textual specs form ADL specs. XML specs serve as input to other tools integrated in our environment.
  - Implemented in OCAML a verifier of OCL constraints.

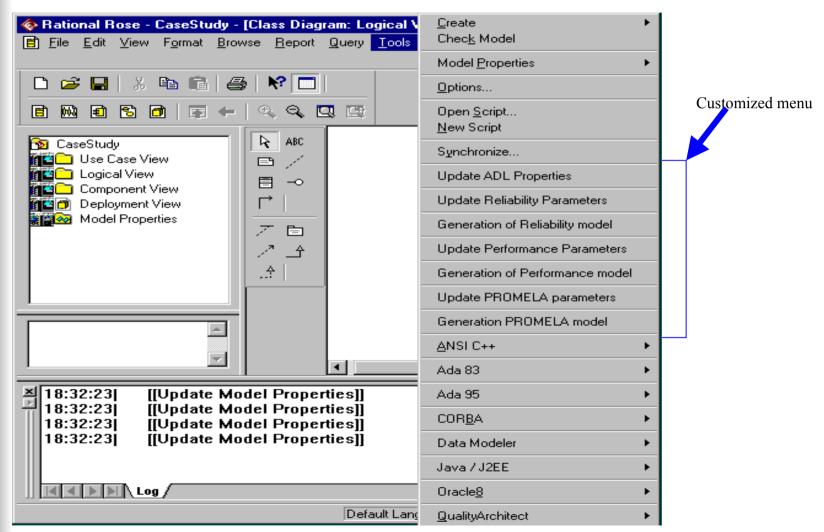
## **Example: The TA Case Study**



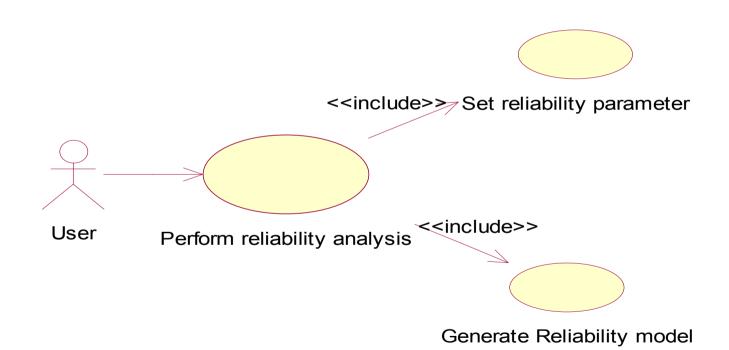
## **Dependability Analysis**

- ADL customization for the specification of dependability measures/stimuli/properties
- Dependability analysis tools
  - Automated generation of dependability models from architectural descriptions
    - The procedure for generating state space models from architectural specifications can be used with any reliability analysis tool accepting as input a state space model.
  - Evaluation using SURE/ASSIST
    - Calculates reliability bounds given a state space model
      - Comes from NASA
      - Available for free
      - Highly rated compared to other reliability analysis tools

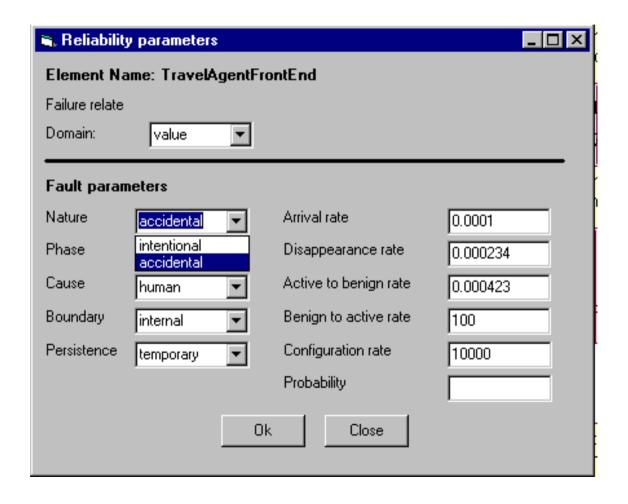
## **Dependability Analysis Tools**



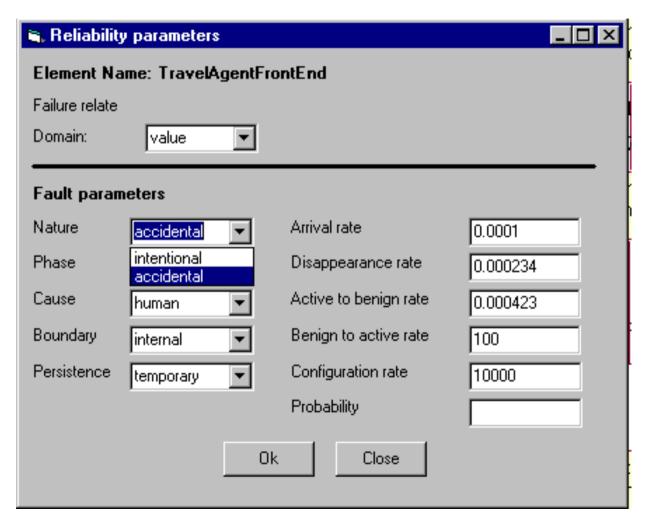
## **Add-ins for Quality Analysis**



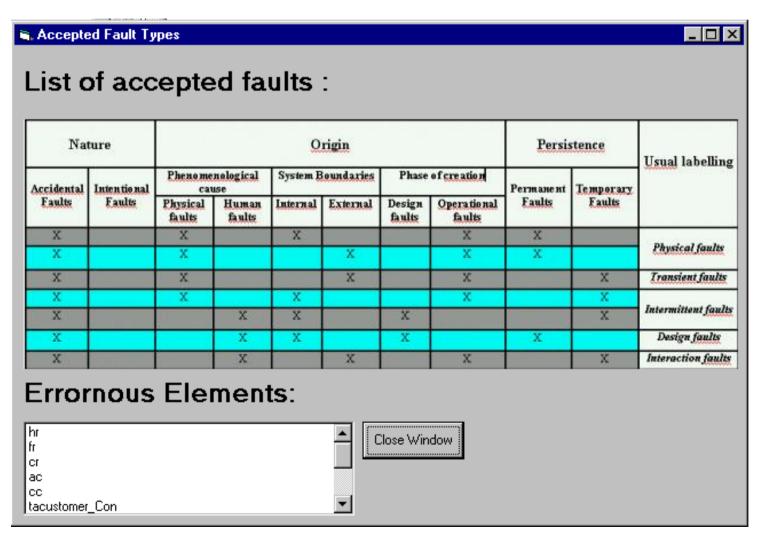
## **Setting Reliability Parameters**



## **Setting Reliability Parameters (2)**



## **Setting Reliability Parameters (3)**



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# **Architecture-based Development of Complex Software Systems**

- Benefits wrt systems robustness
  - Methods and tools supporting analysis, and the mappings of architectures to their implementations
- Focus is on the standard behaviour of the software systems

# Supporting the Development of Dependable Systems

- Crucial to account for the occurrence of failures in architecture-based development
  - Application-transparent fault tolerance using middleware infrastructures
    - Provide base services for managing failure detection & error recovery
    - Customized middleware architectures wrt composed services

## Aiding the Development of Middleware Architectures

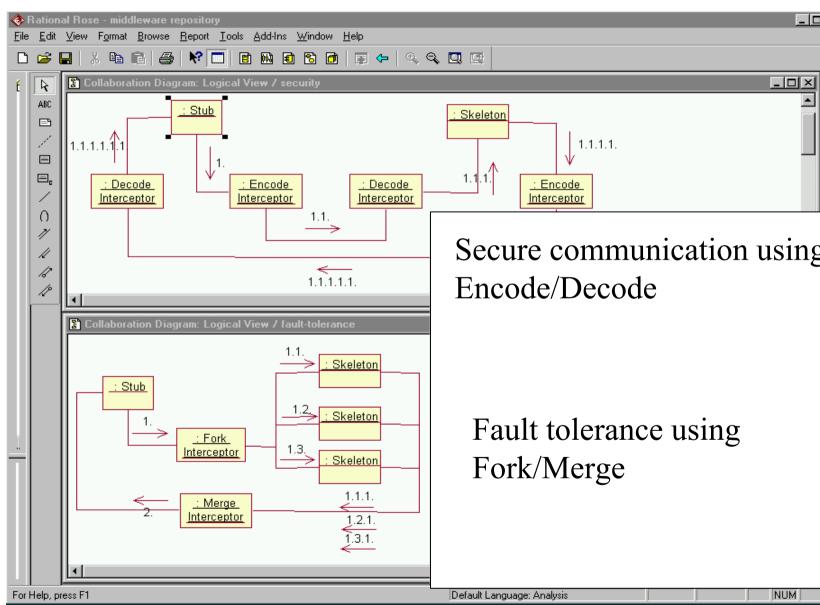
#### Middleware infrastructures

- Customized composition of services through component-based middleware containers
- ➤ Still, there is the need of supporting the development of containers
  - ➤ Right composition of services
  - Achieved quality

# Systematic Composition of Middleware Architectures

- A supporting environment [CACM 06/02]
  - ADL for modeling middleware architectures
    - Repository of architectural descriptions of middleware infrastructures
  - Automated support for:
    - Composing middleware services
    - Analyzing the quality of composed architectures

### Example



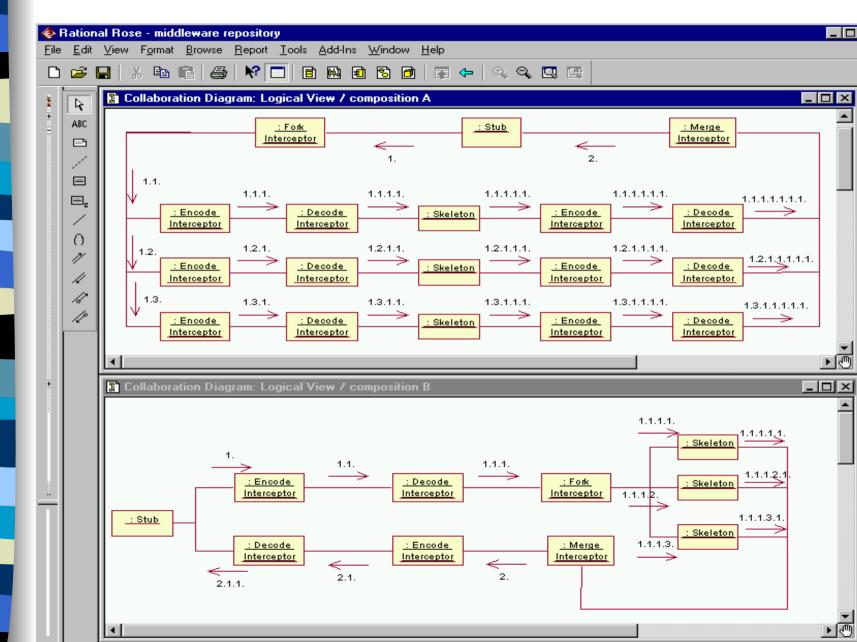
## **Composing Middleware Services**

- Approaches to architecture composition
  - Horizontal = parallel composition [Qian et al., 95]
    - Secure communication // multi-cast communication
  - Serial composition for linear architectures [Steffen & Beec 97]
    - FT architecture is not linear
  - Explicit interposition [Spitznagel & Garlan, 01]
- Need for an automatic solution to identify valid interpositions of components

## **Automating Composition**

- Solution [WICSA'01]
  - Composition through model checking
  - Constrain composition through structure
- Additional benefits
  - Allows identifying unexpected compositions
  - Allows understanding interaction of qualities

#### Example



#### **Assessment**

- Making systems dependable is eased by middleware infrastructures
  - Infrastructures offer base supporting services
  - Service composition may be automated
- But...
  - Allows only for backward error recovery and cannot cope with all failures
- Need for complementary application-specific forward error recovery
  - Exception handling as it is the most general mechanism

## **Architecture-based Exception Handling**

- Exception handling mechanisms
  - Serves implementing the system's exceptional specification (definition of exceptions & handlers)
  - Relies on some model (e.g., termination, resumption)
- Existing mechanisms are for handling exceptions within components
- What about exception handling requiring changes to the architecture [HICSS'01]

# **Base Solutions to Architectural Exception Handling**

#### Exception handling within ADL

- Limited to the specification of signalled/handled exceptions within the definition of component/connector interfaces
- Behavioural specification would further improve correctness checking
  - Pre/post as supported by Inscape [Perry, 89]
  - Issue of taking into account the exception handling model

# **Base Solutions to Architectural Exception Handling (2)**

#### Dynamic reconfiguration

- Determined at runtime
  - Reconfiguration manager
  - Possibly constrained based on invariant on the system structure
- Fixed at design time
  - Specified in the architecture description (e.g., Durra [Barbacci et al., 93])
  - Independent of exception handling

## **Exception Handling Model**

- Exception handling within components and connectors
  - Let exceptions flow among the architectural elements according to the embedding architectural style
- Exception handling at the architecture level
  - To enable changing the running configuration

## Impact on Architecture Description

- Support for internal exception handling
  - Specification of exceptions raised/handled by the elements
- Support for architectural exception handling
  - Definition of configuration exceptions and associated handlers using the ADL
    - Keep abstract the description of architectures for the sake of analysis and synthesis
  - Mapping to implementation using a service for dynamic reconfiguration

#### **Assessment**

- Architecture-based development can aid in the construction of dependable systems
  - Application-transparent fault tolerance: systematic aid in the design of customized middleware architectures
  - Application-specific fault tolerance: support for exception handling at the architectural level
- But...
  - Existing support is mainly aimed at closed systems
- Need solutions for open systems

## **Towards Dependable Open Systems**

- Issues in the development of open systems
  - Composition of autonomous systems
  - Highly dynamic systems
    - Mobility,
    - Evolution,
    - ...

## **Towards Dependable Open Systems**

#### Ongoing work

- Architecting open systems with mobile nodes
  - Design and analysis of dynamically composed systems
  - Supporting middleware infrastructure
- Fault-tolerance mechanisms for autonomous systems
  - "Dependability in the Web Services Architecture" [SRDS'03]

## **Outline**

- Dependability concepts
- SA and dependability analysis
- Automated dependability analysis of SA
- Supporting environment
- Supporting the overall development of dependable systems
- Conclusion

#### **Conclusion**

- The approach to dependability analysis
  - The design and realization of our environment is guided by the needs of its current and potential users.
    - Simplification of certain extremely important and inevitable development activities related to the quality analysis and assurance of the DS.
  - Overall environment provides support for both qualitative and quantitative analyses
- The prototype...
  - Has been used for evaluating
    - TA case study
    - Workflow-based information systems

#### Conclusion (2)

- Further assisting the development of dependable systems
  - Integrating fault tolerance means in the SA design
    - Synthesizing middleware architectures towards enforcing dependability
      - Modeling middleware architectures
      - Composing middleware architectures
    - Architecture-based exception handling
      - Architecture changes in the presence of faults
      - Associated runtime support
  - Developing dependable, open systems remains an open issue

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