

Formal Methods in Testing Software Architectures



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Talk ingredients

**Formal Methods
Testing
Software Architectures**

Talk ingredients

Testing

😊 testing expertise

- ❑ Improving and automating structural testing
- ❑ Precise dataflow-based test criteria (to prevent untestedness)
- ❑ Operational Testing (for reliability evaluation)
- ❑ Testability
- ❑ SWEBOK www.swebok.org
- ❑ SA-based testing

What will you bring home?

- ❑ Testing is a **complex** and **expensive** task in sw development
- ❑ Testing is also an **attractive research topic**
- ❑ Testing is a **crucial** part of **any** development methodology (including an SA-based process)
- ❑ Although inherently practical, testing can draw great benefits from the usage of **formal methods** (and vice versa)
- ❑ (Formal) **SA can usefully drive** the testing of large complex systems, by highlighting the architectural relevant "classes" of behavior

Formal Methods in Testing Software Architectures

Overview

Part 1: Testing basics

Part 2: (An approach to) SA-based testing

Part 3: Examples and tools

Part 4: A wider perspective

Part 1: Testing basics

- Definition of sw testing
- Fault vs. failure
- Test selection
 - *Coverage testing*
 - *Reliability testing*
 - *Specification-based testing*
- Traceability and test execution
- Testing from LTS

Testing

- Testing is a word with many interpretations
 - Inspection/review/analysis
 - Debugging
 - Conformance testing
 - Usability testing
 - Performance testing
 -
- N.B. We will focus on SA-based testing and not on testing of SA

What testing is not

(citation from Hamlet, 1994):

- *I've searched **hard** for defects in this program, found a lot of them, and repaired them. I can't find any more, so I'm confident there aren't any.*

The fishing analogy:

- *I've caught a lot of fish in this lake, but I fished all day today without a bite, so there aren't any more.*
- NB: Quantitatively, a day's fishing probes far more of a large lake than a year's testing does of the input domain of even a trivial program.

An all-inclusive definition:

Software testing consists of:

- the dynamic verification of the behavior of a program
- on a finite set of test cases
- suitably selected from the (in practice infinite) input domain
- against the specified expected behavior

Testing vs. Other Approaches

- Where is the boundary between **testing** and **other** (analysis/verification) methods?

What distinguishes software testing from "other" approaches is **execution**. This requires the ability to:

- launch the tests on some executable version (**traceability** problem), and
 - analyse (observe and evaluate) the results (not granted for embedded systems)
- I am **not** saying testing is superior and we should disregard other approaches: on the contrary, testing and other methods should **complement**/support each other



Test purpose

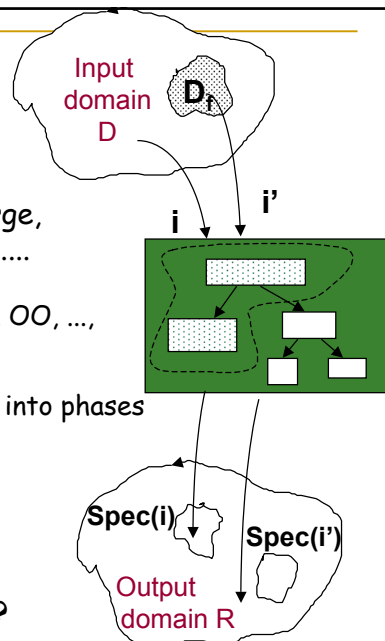
- The software is tested in order to:
 - Find bugs: "debug testing", e.g., by:
 - Conformance testing
 - Robustness testing
 - Coverage testing
 - Measure its reliability: by statistical inference
 - Measure its performance
 - Release it to the customer (acceptance test)
 - ...

not mutually exclusive goals, but rather complementary
- Of course different approaches are taken



The System Under Test

- Software systems are increasingly large, complex, distributed, heterogeneous,
 - How do we partition the testing of CB, OO, ..., systems into manageable pieces?
 - How do we organise the whole process into phases (who, what and how)?
 - Which tests are relevant at a specific phase? (e.g., SA-based tests vs. acceptance tests)
 - Evergreen: how do we regression-test?



The high cost of testing

- Any software test campaign involves a trade-off between
 - Limiting resource utilization (time, effort)
➡ as **few** tests as possible
 - Augmenting our confidence
➡ as **many** tests as possible
- Two research challenges:
 - Determining a feasible and meaningful **stopping rule**
 - **Evaluating test effectiveness** (reliability, "coverage notion",very tough problem)



(Not-exhaustive) Classification of Test Selection Techniques

"where tests are generated from"

Tester's intuition and expertise

- "Ad hoc testing" (sometime quite effective)
- Special cases

Specifications

- Equivalence partitioning
- Boundary-value analysis
- Decision table
- Automated derivation from formal specs (conformance t.)
-

Code

- Control-flow based
- Data-flow based

Fault-based

- Error guessing/special cases
- Mutation

Usage

- SRET
- Field testing

Nature of application, e.g.:

- Object Oriented
- Web
- GUI
- Real-time, embedded
- Scientific
-

No one is the best technique, but a combination of different criteria has empirically shown to be the most effective approach

The saturation effect

- Every test criterion has a limit on the number of failures it can detect.
- The saturation effect leads to overestimation of reliability: because of the saturation effect, the estimated reliability increases while the true reliability remains constant.
- Different criteria find different kind of faults

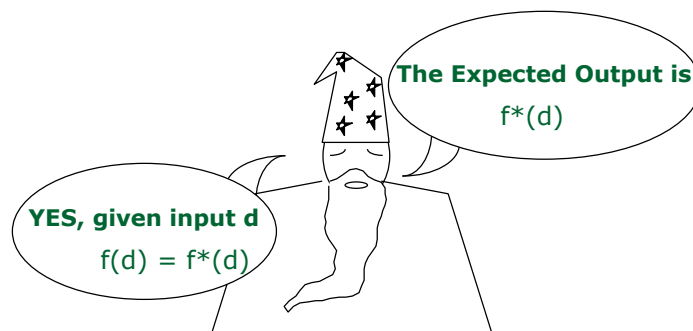
====> Combining different test criteria is more efficient than any test criterion used in isolation

Coverage analysis on two programs thoroughly tested for several years based on functionality showed:

(70 / 85) branch, (48 / 53) p-use, (55 / 48) c-use



The oracle problem



- In some cases easier (e.g., an existing version, existing formal specification), but generally very difficult (e.g., operational testing)
- Not enough emphasized research problem?



THE FAULT-FAILURE MODEL

Fault



Error



Failure
affects the
delivered service



must be
activated



sw internal state
is corrupted
(latent or manifest)

A fault will manifest itself as a failure if all of the
3 following conditions hold:

- 1) **EXECUTION**: the faulty piece of code is executed
- 2) **INFECTION**: the internal state of the program is corrupted: *error*
- 3) **PROPAGATION**: the error propagates to program output



PIE MODEL (Voas, IEEE TSE Aug. 1992)

The ambiguous notion of a "fault"

- What a tester or a user observes is a failure. What is then a fault?
 - It is a useful and intuitive notion, but very difficult to formalize.
- In practice we often characterise a fault with the modifications made to fix it: e.g. "Wrong operator". In such a way,
 - the concept of a fault becomes meaningful only after it has been fixed.
 - Moreover, different people may react to a same failure with different fixes (and clever programmer find minimum fixes)
- A solution is to avoid the ambiguous notion of a fault and reason instead in terms of **failure regions**, i.e. collections of input points that give rise to failures.
 - A failure region is characterised by a size and a pattern

A hot debate

Partition(i.e., systematic) vs. (Uniform) Random testing:

- Ideally a testing criterion:

$C(\text{Program, Reference Model, Test Set})$

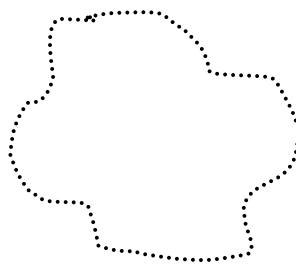
induces a subdivision of the input domain into disjoint classes of inputs exposing an **equivalent behavior**:

- in this way a test within each partition is sufficient to check the behavior for the whole (**test hypothesis**)

- In practice:

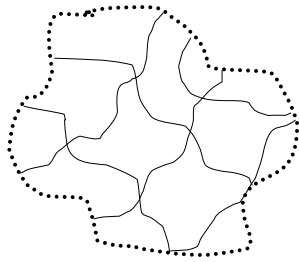
- Overlapping Sub-domains
- Not homogeneous behaviour

Partition vs. Random testing



Input
Domain

Partition vs. Random testing



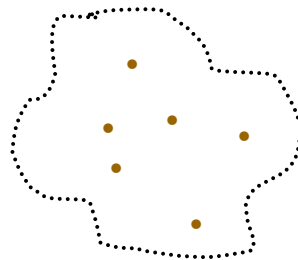
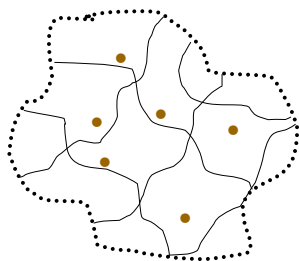
$$P_p = 1 - \prod (1 - \vartheta_j)$$



$$P_r = 1 - (1 - \vartheta)^n$$

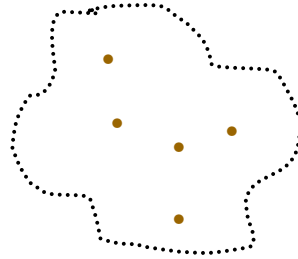
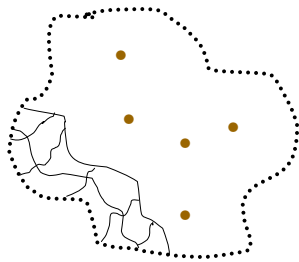
where, for a fair comparison, an equal number of test cases is assumed

Partition vs. Random testing



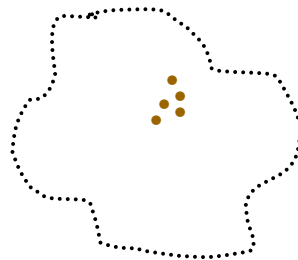
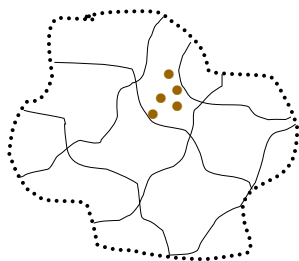
~ Equivalent case

Partition vs. Random testing



Uniform outperforms Partition

Partition vs. Random testing



Partition outperforms Uniform

Use of a Test Criterion

$C(\text{Program, Reference Model, Test Set})$

For deriving the Test Set:

- (automated) test selection/generation

For deciding whether a Test Set is sufficient:

- stopping rule/adequacy criterion

Elements of a Test Criterion

$C(\text{Program, Reference Model, Test Set})$

Program

- A Procedure/function
- a Class
- a Package
- a Component

Unit testing

- Putting some pieces together
(classes, packages, components)

Integration
testing

- the whole system on a host machine
- the whole system on the target

System
testing

S
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Elements of a Test Criterion

$C(\text{Program, Reference Model, Test Set})$

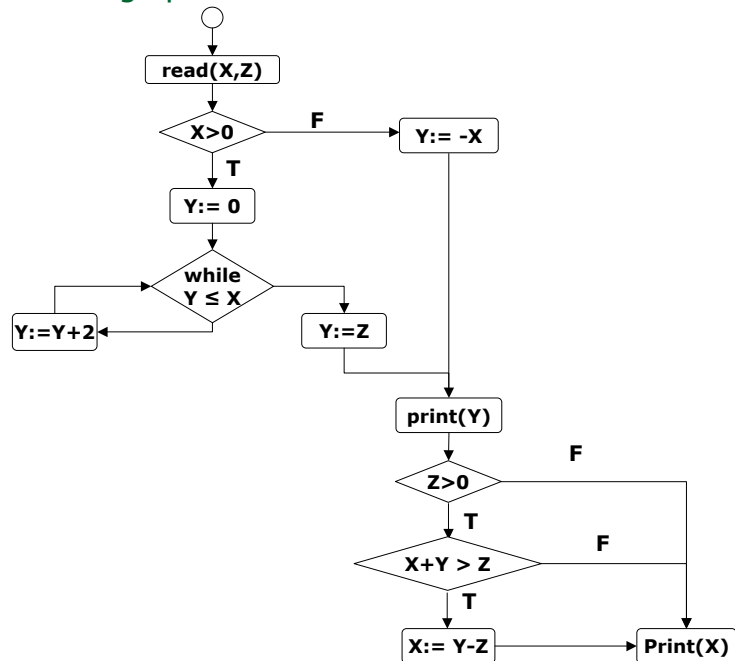
Reference Model

- ❑ Source Code (coverage testing) -- *white-box*
- ❑ (Formal) Specifications/Requirements -- *black-box*
- ❑ Foreseen or Actual Usage (operational testing)

Test Coverage Criteria

- are applied onto the source code
- map test requirements to a set of entities EC of the program flowgraph which must be covered when the tests are executed
- are more commonly used to measure code testing thoroughness

The "Traditional" Flowgraph



e.g., Branch Adequacy Criterion

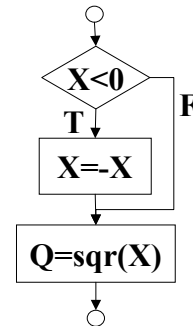
- requires each program branch to be executed by at least one test case
- A test suite T satisfies the Branch adequacy criterion for a program P iff, for each branch B of P , there is one test case in T that causes the execution of B
- NB: Branch coverage is not guaranteed by Statement coverage



It should be the minimum mandatory requirement at Unit Test level

(Counter-)Example: Branch coverage vs. Any Nonempty Input Subset (ANIS)

```
Function Q (x:real): real;  
{should compute sqrt |x|}  
begin  
  if x<0 then x:= -x;  
  Q:= sqr(x)  
end;
```



Branch testing subsumes ANIS, yet
[1, -1] achieves branch coverage and uncovers no failures
[2] (does not even cover all branches and) reveals the failure

Coverage basic concepts

- Coverage is a measure of completeness
- 100% coverage **never means** "complete testing", but only completeness wrt the selected strategy
- Several strategies and coverage metrics
- No best one, but some (on average) better than others

Operational Testing

A completely different approach:

We cannot realistically presume to find and remove the last failure.

Then, we should invest test resources to find out the "biggest" ones.

Testing under the operational profile

- "Goodness" here means to minimise the user perceived faults, i.e.
 - Try to find earlier those bugs that are more frequently encountered (neglect "small" bugs)
 - Not suitable for safety critical applications



Operational Testing

A completely different approach:

We cannot realistically presume to find and remove the last failure.

Then, we should invest test resources to find out the "biggest" ones.

The importance of reliability

- In today's industrial production, **reliability** is a strategic product variable, as important as cost and performance
- For example, when we select a car, not only we compare price, comfort, speed, but also repair records.
 - i.e., wrt reliability we require products to prove that they can work without failing for "enough" long time.

The reliability function

- The term reliability is used **in general sense** to mean those various qualities or measures characterizing a product's successful operation over time
- In reliability theory, the term reliability assumes a precise, **mathematical meaning** and is defined as a function over time **$R(t)$**

MTTF for software?

- In traditional systems, it is often the case that a unit which has a failure cannot work again until the fault is repaired. This is why classical reliability theory is concerned with lifetime characteristics.
- Software exhibits very different failure behavior.
In particular: faults are design faults and as they are found and fixed, reliability grows. Therefore, the MTTF for sw is not as meaningful as for hw.
 - For sw, reliability theory is focused on the process of failure sequencing in time. The failure events form a stochastic process

Sw Reliability Measurement

- The only way to validate quantitatively a sw product is via rigorous statistical testing.
- Note: evaluation and achievement of reliability are two different problems that are addressed using different (sometime conflicting) techniques
- Sometime (as in RGM) we can pursue both objectives contemporaneously.

Reliability measures

- IF the test suite is a representative sample of the field usage (operational profile),
 - the test results can be exploited to make predictions about the product's reliability
- => testing is a statistical experiment
- Testing for reliability measurement can only be based on random selection of inputs from the operational profile.

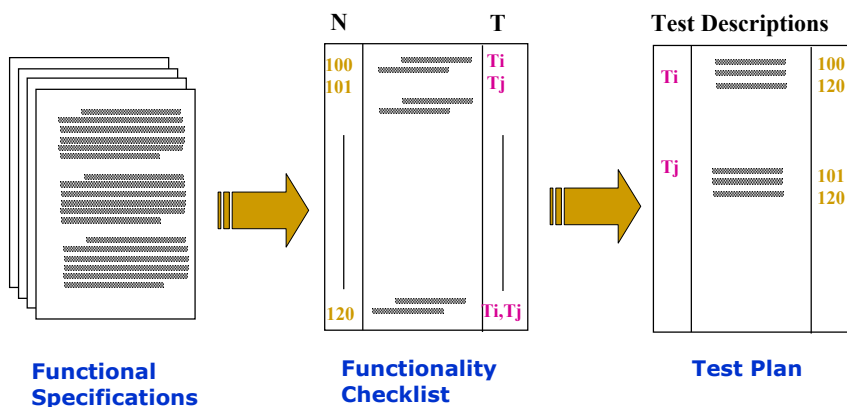
Components of sw reliability

Sw reliability measurement is a new technology (pioneering work by Musa).

The essential components are:

1. Establish reliability goals
2. Develop operational profile
3. Execute tests
4. Interpret test results

Specification-based Testing



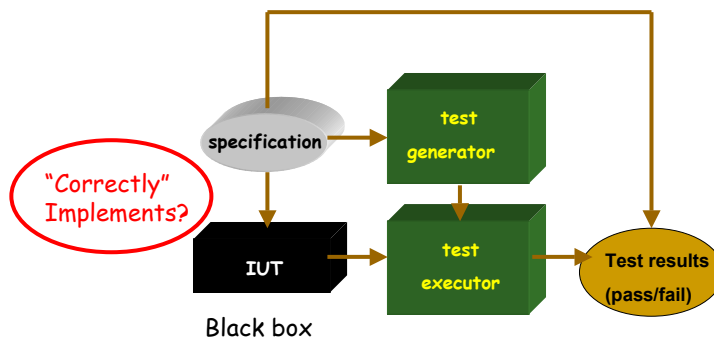
Spec-based testing practical concerns (1):

- **Traceability**, i.e. relating the abstract values of the specification to the concrete values of the implementation.
- The synthesized test cases describe sequences of actions that have an interpretation at the abstract level of the specification.
- To be able to execute these tests on the code, we need to refine the test cases into more concrete sequences, that have a meaningful interpretation in terms of the actual system I/O interface.

Spec-based testing practical concerns (2):

- **Execution**, i.e. forcing the Implementation Under Test (IUT) to execute the specific sequence of events that has been selected.
- Two requirements:
 1. to put the system into a state from which the specified tests can be launched (**test precondition**)
 2. to reproduce the desired sequence (also known as the **Replay problem**). This is a tough problem, especially in presence of concurrent processes (starting from a same input, different sequences may be excited which produce different results).

Conformance Testing



With formal specifications:

- **algorithmic** generation of tests
- formal **validation** of test results

Formal Testing (not-exhaustive references!!!)

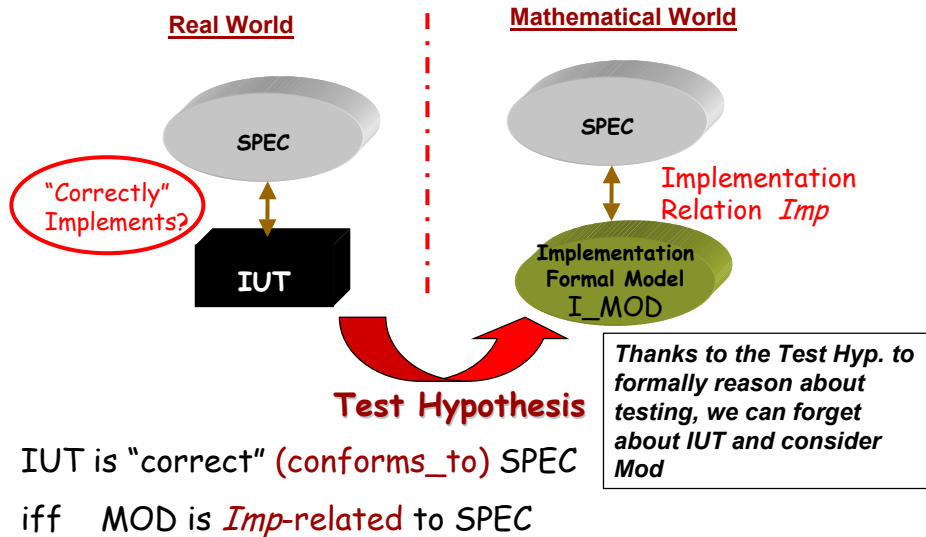
Based on dynamic behavior:

- FSM-based (Bochmann&Petrenko, Lee&Yannakis, ...)
- LTS-based (Brinksma&Tretmans, Jard&Jeron ...)

Focussing on static aspects,

- ADT theory (Bernot&Gaudel...)
- Z-based (Hierons...)

Conformance relation



The *Imp*-relation

- Intuitively, this relation links any observation we can make of I_MOD within an environment to an observation of SPEC in the same environment
- For instance:
 - LTSs as observers
 - Set inclusion as link
 - Traces as observations
- Several *Imp*-relations, originated to establish equivalence, or preorder, relations between transition systems.

The ioco relation (Tretmans)

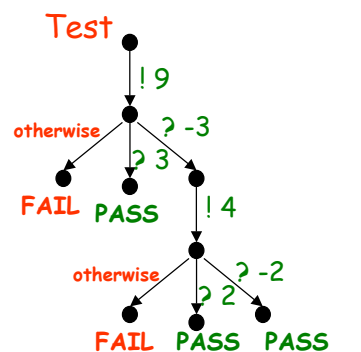
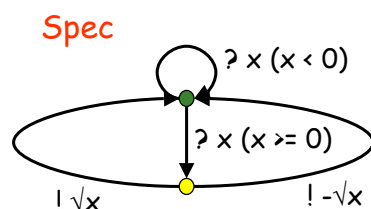
- Distinguishes Input and Output communications exchanged between the implementation and the observer (test), i.e.:
 - Controllable events
 - Observable events

I_Mod is **ioco-correct** wrt $Spec$ iff

1. if I_Mod produces output out after a trace σ , then $Spec$ can produce out after σ
2. if I_Mod cannot produce any output after trace σ , then $Spec$ cannot produce any output after σ (absence of output or "quiescence")

Formal test case

- specifies the behaviour of a tester (the observer of the implementation)
- Again, it is formally defined as an IOLTS (must be deterministic and have finite behavior), and contains terminal states: Pass and Fail.



The ideal case

An ideal test suite T should guarantee that an implementation passes T if and only if it conforms.

An ideal test suite holds the two properties of:

- **Soundness**: test will never fail with **ioco**-correct implementation
$$I_Mod \text{ ioco } Spec \implies I_Mod \text{ passes } T$$
- **Exhaustiveness**: for each **ioco**-incorrect implementation a test can be generated that detects it
$$I_Mod \text{ ioco } Spec \implies \exists T \text{ such that } I \text{ fails } T$$

Test generator validity

Every set of tests T generated with the algorithm should yield **soundness** and **exhaustiveness**, but the latter requires infinite tests, so in practice only the former is guaranteed.

Sound test suite can only detect non-conformance, but cannot assure conformance.

Exhaustiveness is proved for the set of all test cases that could be generated.

Test Generation in TorX

Nondeterministic algorithm

Generate a test case $t(S)$ from a transition system model with S a set of states (initially $S = \{s_0\}$)

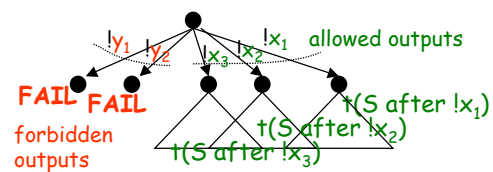
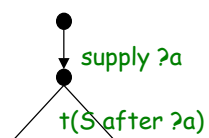
Apply the following steps recursively, nondeterministic choice :

1. end test case

●
PASS

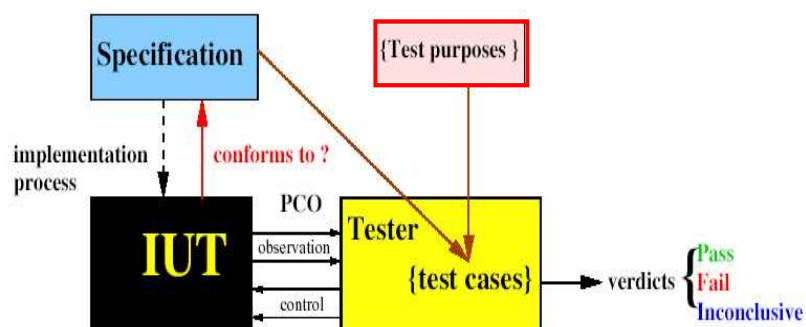
3. observe output

2. supply input



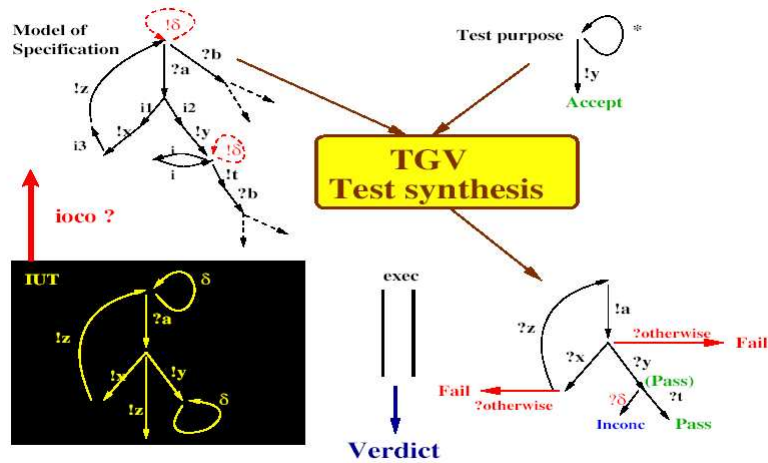
TGV-based formal testing

[T.Jeron, Iriša]



⇒ automatic test synthesis from formal specifications and test purposes

Principles and Algorithms of TGV [slide by T.Jeron, Irisa]

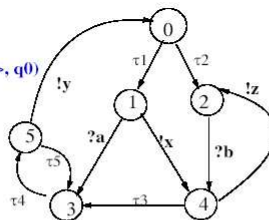


Models

[slide by T.Jeron, Irisa]

Specification

$S = (Q, A \cup A' \cup I, \rightarrow, q_0)$



Test purpose

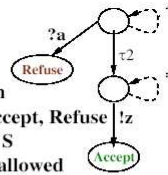
IOLTS TP

complete automaton

+ marked states: Accept, Refuse

selects sequences of S

-> internal actions allowed



Part 2: (An approach to) SA-based testing

- SA-based testing: what does it mean, motivations and expected benefits
- Proposed approach:
 - Test selection
 - Test execution
- Considerations and comparison with other SA-related approaches

SA: a little bit of history

- In the early 90's, SA is recognized as an independent discipline
- Initially described through informal, boxes&arrows, diagrams; then formal Architectural Description Languages (ADLs) are introduced
- Currently
 - SA recognized as a valid tool to describe and analyze complex, concurrent, real systems;
 - high interest on dynamic aspects of SA;
 - SA as the basis for Product Family, Component-based paradigms;
 - usability of SA technology in industrial labs (e.g., by UML).

In general terms...

- SA (formally) describes how a system is organized into **components** and **connectors**...



- Components
 - computation
- Connectors
 - interaction
- Ports, Interfaces, ...

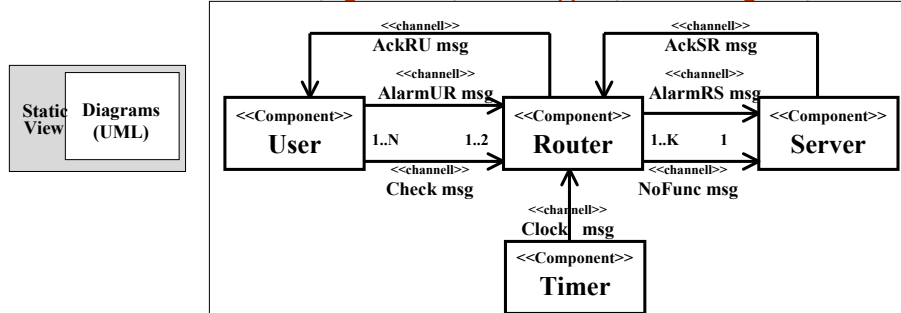
SA Structure (topology)

...and how these components **interact**

SA Dynamics (behavior)

Software Architecture: Static Description

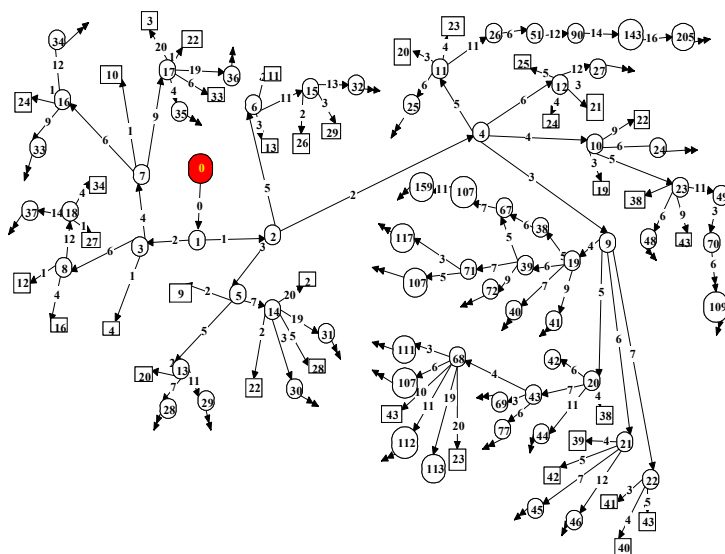
(e.g., UML (Stereotyped) Class Diagram)



SA dynamics

- A **model** of Software Architecture behavior:
 - Labeled Transition System (LTS)
 - Finite State Machine
 - Petri Nets
 - ...
- Given the SA formal description, the model can be automatically generated; the dynamics is expressed in terms of *components interactions via connectors*

SA: Dynamic Description



Several ADLs

- Chemical Abstract Machine (Cham) ↔ Reactions
- Darwin & FSP ↔ Distributed systems
- Rapide ↔ Events
- Wright ↔ Behavioral Properties
- C2SADL ↔ Dynamic Systems
- Koala ↔ Product Families
- xADL1.0 ↔ Implementation mappings
- ...

No pre-selected choice...

Why SA

- To cope with real world systems (concurrency, complexity, ...) using a high level of Abstraction
- Compositionality
- Static and dynamic views
- To discover errors as early as possible (e.g., deadlocks)
- To drive software system management and evolution

Some early citations

- Perry and Wolf, '92: [PerryWolf92]
 - "Architecture is concerned with the selection of architectural elements, their interactions, and the constraints on those elements and their interactions necessary to provide a framework in which to satisfy the requirements and serve as a basis for the design."
 - elements are divided into processing elements, data elements and connection elements
- Garlan and Shaw, '93: [GarlanShaw93]
 - SA for a specific system can be captured as ``a collection of computational components - or simply components - together with a description of the interactions between these components - the connectors -"

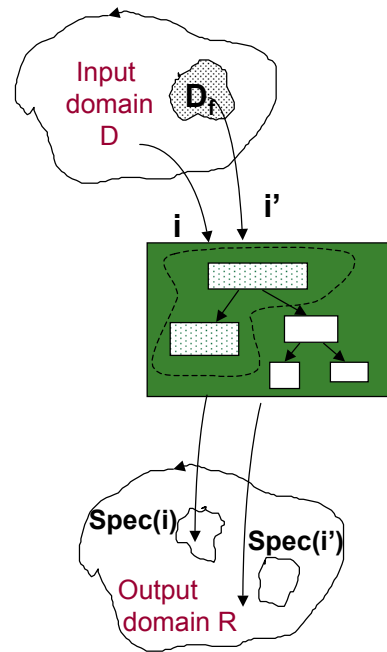
Why SA (2)

For Analysis throughout an SA-based development process:

SA management is expensive and time consuming

- ⇒ maximize the benefits
 - ⇒ analyze SA as much as possible
-
- ADL- based analysis of SA
 - Model checking SA
 - SA and Coordination models
 - Deadlock detection on SA-based specification
 - SA and Testing
 - SA and Performance Analysis
 - SA and Security

The System Under Test



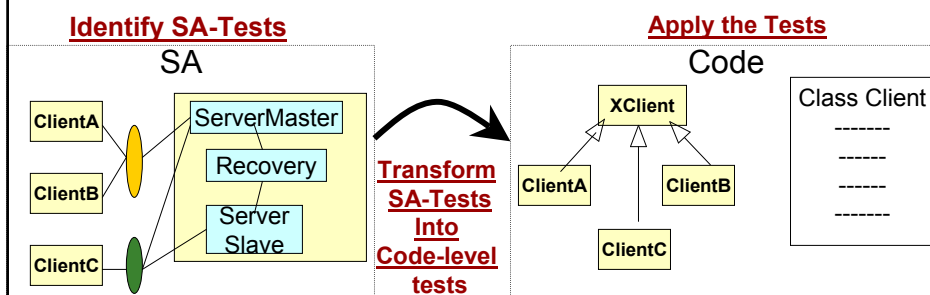
Architecture-based Integration Testing

- **Integration Testing:** unit-tested components are integrated to build complex systems
 - Integration Testing is aimed at exposing problems in the **interfaces** and **interactions** between the system components
- **Functional:** focus on the functional requirements
- **Architectural:** information for testing is extracted from the Architectural specification...
- **Down to the Code:** ... and propagated down to the Code

Architecture-based Integration Testing: Expected Benefits

- Test planning interwoven with **development** and **evolution** (from Requirements to Code)
- To **detect** and **remove** problems as **early** as possible
- High **reusability**
- To **handle complexity** in the testing of large systems
- To combine Structural and Specification-based Testing
- **SA-based Integration Testing:**
 - Only components interactions...
 - ... at an appropriate level of abstraction
 - CBSE and COTS

The main idea...



- **Goal:**
 - Derive test cases
 - **using** software architectural description
 - run test cases on the Code

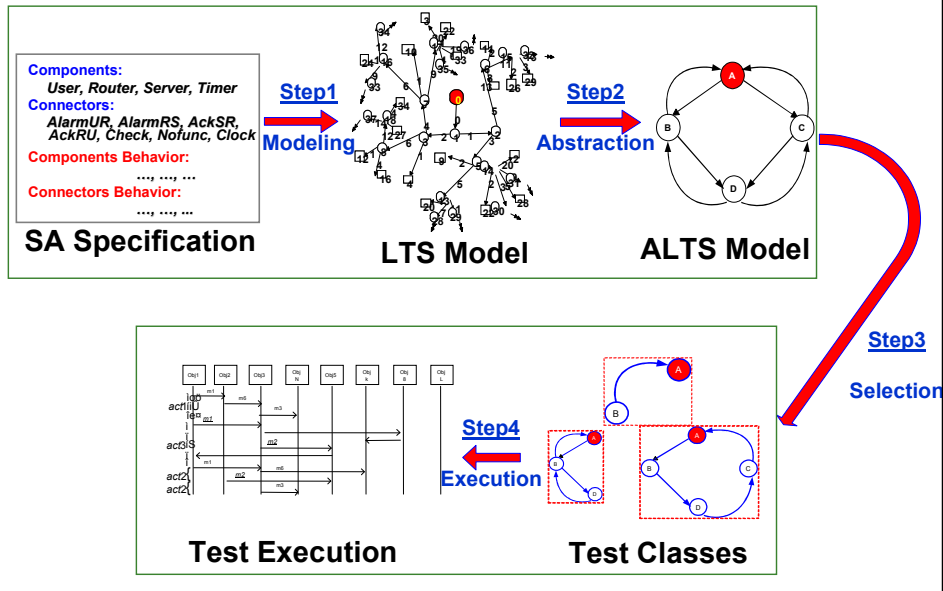
Testing the IUT for conformance with the SA

- There exists no such thing as an **ideal test plan** to accomplish this goal.
- On the contrary, from the high-level, architectural description of a system, **several SA-based test plans** could be derived, each one addressing the validation of a specific aspect of the system, and of different interaction schemes between components.
- We will describe **an approach** by which interesting behaviors (i.e., with respect to the testing objectives) can be selected on the global LTS model and can be used to drive code testing.

Testing IUT conformance to SA: Key issues

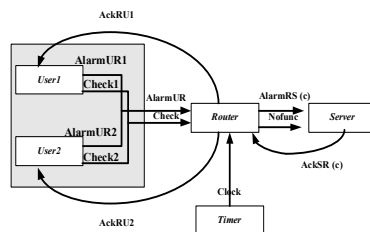
- ✧ *Given an architectural path to be tested, does the system as-built implement this architectural behavior?*
E.g., is the sequence of actions "**Act1.Act2. ...Actn**" correctly implemented in the code?
- ✧ We have to:
 - identify the set of SA behaviors (=LTS paths) we want to test to establish adequate confidence in conformance ➡ **TEST SELECTION**
 - understand how the LTS paths are implemented in the IUT ➡ **TEST EXECUTION**
- ✧ *Where do **FORMAL METHODS** make a difference?*

The Approach



Starting point: Formal SA specification

- Any Architectural Description Language (ADL) that produces a Labeled Transition System (LTS), e.g.:
 - Chemical Abstract Machine (CHAM) [ICSE00]
 - Finite State Process (FSP) [ICSE01]



Molecule syntax:

Molecule: Process| Operation| M.M
Process: User, Router, Server, Timer
Channel: check, alarmUR, alarmRS, ackRU, ackSR, nofunc, clock
Operation: i(Channel), o(Channel), ...

InitialState (S0):

Multiset of Molecules: m1, m2, ..., mn

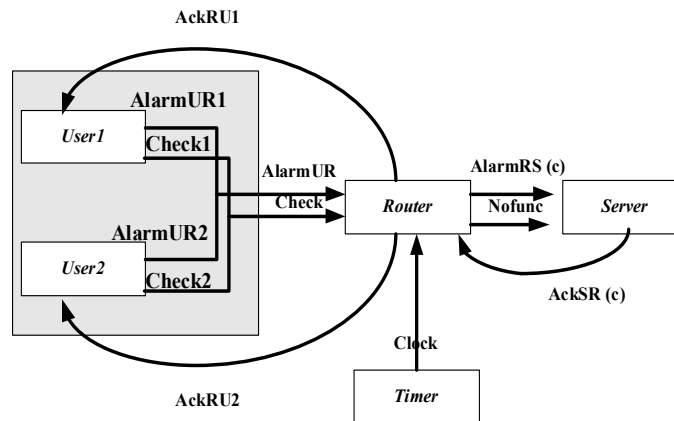
Rules:

$m_1, m_2, \dots, m_k \rightarrow m_1', m_2', \dots, m_l'$

- Dynamics** in terms of Component interactions

Example: the TRMCS SA

- The Teleservices and Remote Medical Care System provides monitoring and assistance to users with specific needs, like disabled or elderly people.



TRMCS topology

- Four component types:
 - **User:** sends either an “alarm” or a “check” message to the Router process. After sending an alarm, it waits for an ack from the Router.
 - We analyze the case in which two Users can concurrently send alarms and checks.
 - **Router:** waits for signals (check or alarm) from User. It forwards the alarm messages to the Server and monitors the state of the User via check messages.
 - **Server:** dispatches the help requests.
 - **Timer:** sends a clock signal at each time unit.

Formally (1): the CHAM SA

Syntax of Molecules

P: User, Router, Server, Timer, User1, User2, NoSent, Sent

C: check, alarmUR, alarmRS, ackRU, ackSR, alarmUR1, alarmUR2, alarmRS1, alarmRS2, check1, check2, ackRU1, ackRU2, ackSR1, ackSR2, nofunc

Initial Solution:

mo¹: User; mo²: Timer; mo³: i(alarmUR).o(alarmRS).i(ackSR).o(ackRU).Router; mo⁴: i(check).Router; mo⁵: i(alarmRS).o(ackSR).Server;

mo⁶: i(nofunc).Server; mo⁷: NoSent

Reaction Rules:

T₀: User = User1, User2

T₁: User1 = User1.o(check1), User1.o(alarmUR1).i(ackRU1)

T₂: User2 = User2.o(check2), User2.o(alarmUR2).i(ackRU2)

T₃: User1.o(check1) = o(check1).User1

T₄: User2.o(check2) = o(check2).User2

T₅: User1.o(alarmUR1).i(ackRU1) = o(alarmUR1).i(ackRU1).User1

T₆: User2.o(alarmUR2).i(ackRU2) = o(alarmUR2).i(ackRU2).User2

T₇: o(check1).User1, i(check).Router, NoSent = User1.o(check1), i(check).Router, Sent

T₈: o(check1).User1, i(check).Router, Sent = User1.o(check1), i(check).Router, Sent

T₉: o(check2).User2, i(check).Router, NoSent = User2.o(check2), i(check).Router, Sent

T₁₀: o(check2).User2, i(check).Router, Sent = User2.o(check2), i(check).Router, Sent

T₁₁: o(alarmUR1).i(ackRU1).User1, i(alarmUR).o(alarmRS).i(ackSR).o(ackRU).Router = i(alarmUR).o(alarmRS).i(ackSR).o(ackRU).Router, o(alarmRS1).i(ackSR1).o(ackRU1).Router, i(ackRU1).User1.o(alarmUR1)

T₁₂: o(alarmUR2).i(ackRU2).User2, i(alarmUR).o(alarmRS).i(ackSR).o(ackRU).Router = i(alarmUR).o(alarmRS).i(ackSR).o(ackRU).Router, o(alarmRS2).i(ackSR2).o(ackRU2).Router, i(ackRU2).User2.o(alarmUR2)

T₁₃: o(alarmRS1).i(ackSR1).o(ackRU1).Router, i(alarmRS).o(ackSR).Server = i(ackSR1).o(ackRU1).Router, i(alarmRS).o(ackSR).Server, o(ackSR1).Server

T₁₄: o(alarmRS2).i(ackSR2).o(ackRU2).Router, i(alarmRS).o(ackSR).Server = i(ackSR2).o(ackRU2).Router, i(alarmRS).o(ackSR).Server, o(ackSR2).Server

T₁₅: o(ackSR1).Server, i(ackSR1).o(ackRU1).Router = o(ackRU1).Router

T₁₆: o(ackSR2).Server, i(ackSR2).o(ackRU2).Router = o(ackRU2).Router

T₁₇: o(ackRU1).Router, i(ackRU1).User1.o(alarmUR1) = User1.o(alarmUR1).i(ackRU1)

T₁₈: o(ackRU2).Router, i(ackRU2).User2.o(alarmUR2) = User2.o(alarmUR2).i(ackRU2)

T₁₉: m1.Router, Timer, Sent = o(nofunc).Router, m1.Router, NoSent

T₂₀: m1.Router, Timer, Sent = m1.Router, Timer, NoSent

T₂₁: o(nofunc).Router, i(nofunc).Server = i(nofunc).Server, Timer

A Cham Specification

Syntax of Molecules:

Molecule: Process | Operation | M.M

Process: User, Router, Server, Timer

Channel: check, alarmUR, alarmRS, ackRU, ackSR, nofunc, clock

Operation: i(Channel), o(Channel), ...

Reaction Rules:

$m_1, m_2, \dots, m_k \rightarrow m_1', m_2', \dots, m_l'$

Initial Solution (S₀):

Multiset of Molecules: m_1, m_2, \dots, m_n

Formally (2): the FSP SA

```

range N = 0..1
range K = 0..1
range Sent = 0..1

/** User Process */
USER_ALARM = (sendAlarm_To_Router -> receiveAck_From_Router -> USER_ALARM).
USER_CHECK = USER_SENDCHECK, USER_SENDCHECK = (sendCheck_To_Router -> USER_SENDCHECK).
| USER = (USER_ALARM | USER_CHECK).

/** Router Process */
ROUTER_RECEIVEALARM = (receiveAlarm_From_User -> sendAlarm_To_Server ->
receiveAck_From_Server -> sendAck_To_User -> ROUTER_RECEIVEALARM).

ROUTER_RECEIVECHECK = (receiveCheck_From_User -> (sendInput_To_Timer ->
ROUTER_RECEIVECHECK | pre_receiveCheck -> ROUTER_RECEIVECHECK)).

ROUTER_RECEIVETIME = (receiveTime_From_Timer ->
(sendNoFunc_To_Server -> ROUTER_RECEIVETIME | pre_receiveTime -> ROUTER_RECEIVETIME)).

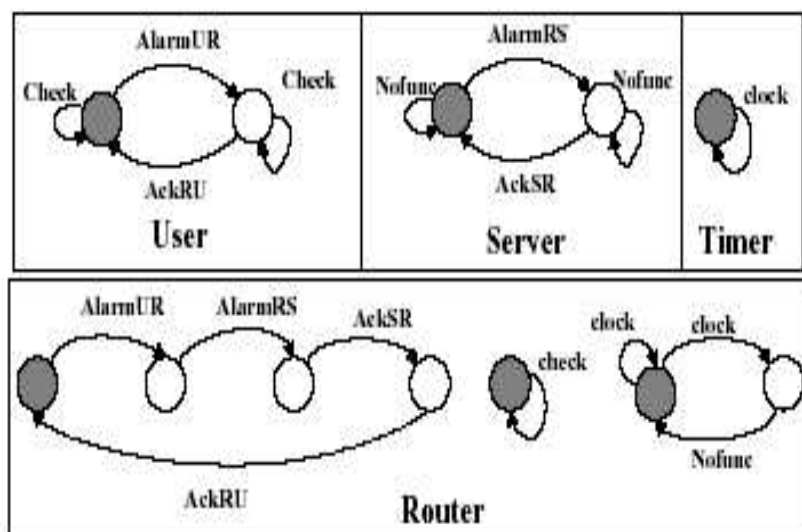
| ROUTER = ([0..1]:ROUTER_RECEIVEALARM | [0..1]:ROUTER_RECEIVECHECK | ROUTER_RECEIVETIME).

/** Server Process */
SERVER_RECEIVEALARM = (receiveAlarm_From_Router -> sendAck_To_Router -> SERVER_RECEIVEALARM).
SERVER_RECEIVETIME = (receiveNoFunc_From_Router -> SERVER_RECEIVETIME).

/** Timer Process */
TIMER = (receiveInput_From_Router -> sendTime_To_Router -> TIMER).

```

TRMCS SA behavior



Formally (2): the FSP SA

```

■ /** User_Router_Server_Timer */
| |USER_ROUTER=(u[0..1]:USER||r:ROUTER||sa[0..1]:SERVER_RECEIVEALARM||st:SERVER_REC
EIVETIME||t:TIMER)/
{
  u[0].sendAlarm_To_Router/r.[0].receiveAlarm_From_User,
  u[1].sendAlarm_To_Router/r.[1].receiveAlarm_From_User,

  r.[0].sendAlarm_To_Server/sa[0].receiveAlarm_From_Router,
  r.[1].sendAlarm_To_Server/sa[1].receiveAlarm_From_Router,

  sa[0].sendAck_To_Router/r.[0].receiveAck_From_Server,
  sa[1].sendAck_To_Router/r.[1].receiveAck_From_Server,

  r.[0].sendAck_To_User/u[0].receiveAck_From_Router,
  r.[1].sendAck_To_User/u[1].receiveAck_From_Router,

  u[0].sendCheck_To_Router/r.[0].receiveCheck_From_User,
  u[1].sendCheck_To_Router/r.[1].receiveCheck_From_User,

  r.[0].sendInput_To_Timer/t.receiveInput_From_Router,
  r.[1].sendInput_To_Timer/t.receiveInput_From_Router,

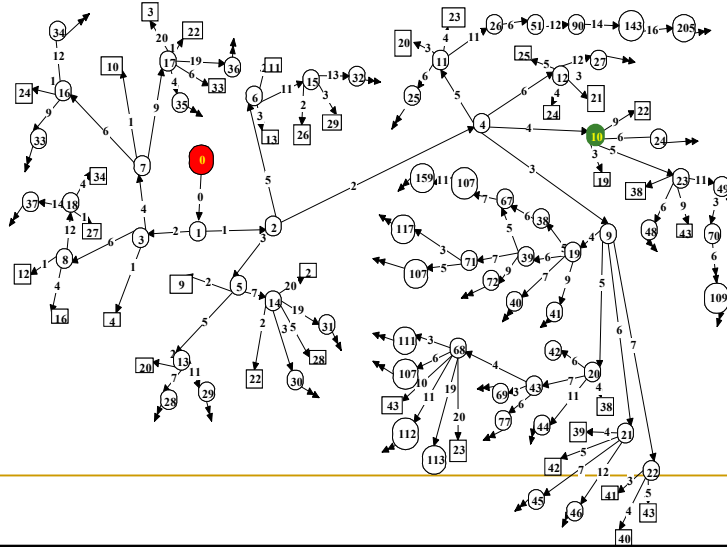
  t.sendTime_To_Router/r.receiveTime_From_Timer,

  r.sendNoFunc_To_Server/st.receiveNoFunc_From_Router
}.

```

From any formal SA, we need to get to the LTS

in order to obtain a rigorous description of the
Architecture-level Behavior



We could now automatically derive the SA tests by applying some notion of coverage on the LTS, but:

- The LTS provides a monolithic description of SA behavior; it is a vast amount of information flattened into a graph (lack of intuitive grasp)
- How can we extract from this global model those observations (samples) of SA behavior that are relevant for testing?

The idea:

We apply a "*SA testing criterion*": formally defined in terms of an

obs-function on the LTS

Step 1: definition of the *obs*-function

- Intuition Behind:

Architectural *Views*

- Flow view
- Component Based view
- Concurrency view
- ...

Or, a Criterion to decide "equivalent" tests ("test class").

- **Obs_Functions** look at the SA each from a perspective that is deemed to be relevant for testing purposes;
- non-relevant actions from this perspective are hidden away.

Definition: LTS

- A Labeled Transition System (LTS) is a quintuple $(S; \mathcal{L}; S_0; S_F; T)$, where:
 - S is the set of states,
 - \mathcal{L} is the set of distinguished labels (=actions) denoting the LTS alphabet,
 - $S_0 \in S$ is the initial state,
 - $S_F \subseteq S$ is the set of final states,
 - $T = \{ \xrightarrow{l} \subseteq S \times S \mid l \in \mathcal{L} \}$ is the transition relation labeled with elements of \mathcal{L} .

Definition: *obs*-function on the LTS labels

1. LTS labels are partitioned into two groups:
 $\mathcal{L} = \mathcal{R} \cup \mathcal{NR}$, where:
 - \mathcal{R} are the relevant actions, and
 - \mathcal{NR} are the non-relevant ones, with
 - $\mathcal{R} \cap \mathcal{NR} = \emptyset$
2. The *obs*-function is defined which may relabel the relevant actions within an interpretation domain \mathcal{D} , and maps the non-relevant actions to the distinct, hiding operator τ , i.e.:
 - $\text{obs}(r \in \mathcal{R}) = d \in \mathcal{D}$
 - $\text{obs}(n \in \mathcal{NR}) = \tau$

Example: AlarmObs

- Intuitively ("test criterion"):
all those behaviors involving the flow of an Alarm message through the system
- Formally (*obs*-function):
 $\mathcal{D} = \{\text{SendAlarm1}, \text{SendAlarm2}, \text{ReceiveAck1}, \text{ReceiveAck2}\}$, with
obs (u.0.sendAlarm_To_Router) = **SendAlarm1**
User1 issues an Alarm msg
obs (u.0.receiveAck_From_Router) = **ReceiveAck1**
User1 receives an Ack
obs(u.1.sendAlarm_To_Router) = **SendAlarm2**
User2 issues an Alarm msg
obs (u.1.receiveAck_From_Router) = **ReceiveAck2**
User2 receives an Ack
and
For any other action r , obs (r) = τ

Another example: ServerObs

- Intuitively ("test criterion"):
all the interactions involving a specified component (the server)
- Formally (*obs*-function):
 $\mathcal{D} = \{\text{FRa1}, \text{FRa2}, \text{TRack1}, \text{TRack2}, \text{FRno}\}$, with
obs (r.[0].sendAlarm_To_Server) = **FRa1**: Alarm1 From Router to Server
obs(r.[1].sendAlarm_To_Server) = **FRa2**: Alarm2 From Router to Server
obs(sa[0].sendAck_To_Router) = **TRack1**: Ack1 From Server to Router
obs (sa[1].sendAck_To_Router) = **TRack2**: Ack2 From Server to Router
obs (r.sendNoFunc_To_Server) = **FRno**: NoFunctioning From Router to Server
and
For any other action r , obs (r) = τ

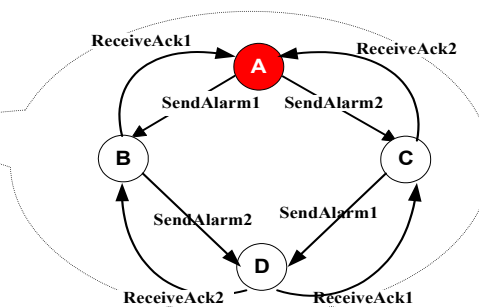
Step 2 : The Abstract LTS

- We use the *obs*-function as a means to derive a smaller automaton from the LTS:
the Abstract LTS or ALTS
- The ALTS is obtained via two transformations:
 1. Graph relabelling according to the *obs*-function (we obtain the ObsLTS)
 2. Minimization (trace-equivalence)

Example with AlarmObs:

Flow view:

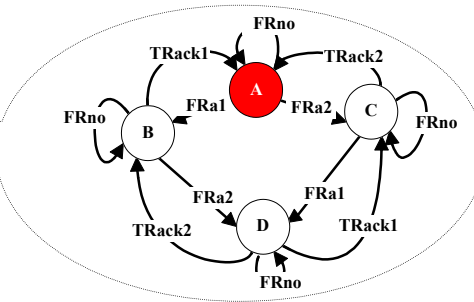
- ✧ Alarm Flow
- ✧ Check Flow
- ✧ Clock Flow



Example with ServerObs:

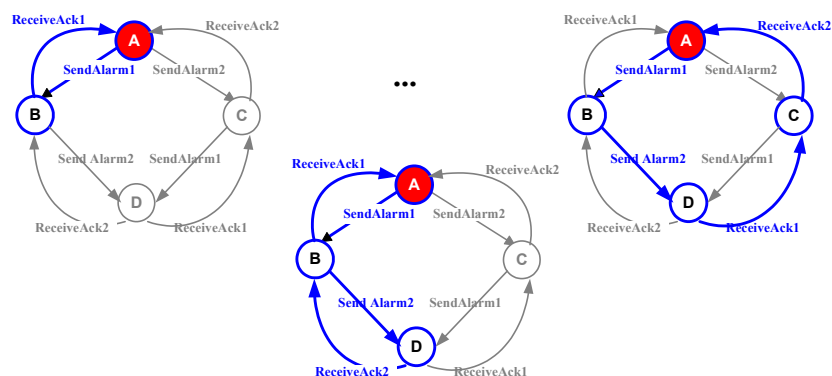
ComponentBased View

- ❖ User Component
- ❖ Router Comp.
- ❖ Server Comp.



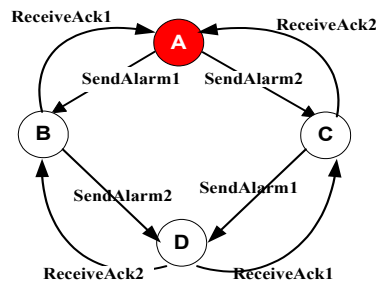
Step3 - Test (Class) Selection

We can now use the derived ALTS to find relevant test sequences:



Coverage Criterion over the ALTS

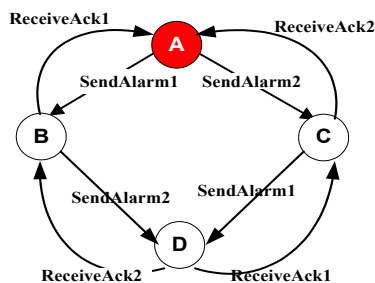
- SA-based test selection is thus reduced to finding an "appropriate" set of paths covering the ALTS



- Each complete path (entry-exit) corresponds to an Architectural Test Class

ALTS Coverage: how?

- Trade-off between exhaustiveness and cost:
a path on the ALTS will then be refined in many concrete tests



We rather adopt a loose criterion:
for instance, **all the independent paths** (McCabe's cyclomatic complexity):

$$CC(G) = \#edges - \#nodes + 1 \rightarrow 8 - 4 + 1 = 5$$

P1= A B A

P2= A B D B A

P3= A B A C A

P4= A B D C A

P5= A B D C D C A

Intuition

P1= A B A → SendAlarm1.ReceiveAck1

Basic functioning of msg exchange

P2= A B D B A → SendAlarm1. SendAlarm2.ReceiveAck2.ReceiveAck1

P3= A B A C A → SendAlarm1 .ReceiveAck1. SendAlarm2.ReceiveAck2

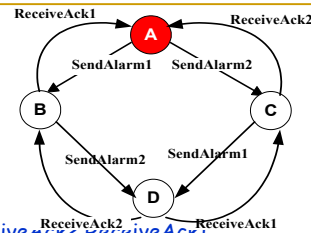
P4= A B D C A → SendAlarm1. SendAlarm2. ReceiveAck1.ReceiveAck2

The system correctly handles the consecutive reception of two Alarm msgs issued by two distinct Users

P5= A B D C D C A → SendAlarm1. SendAlarm2.ReceiveAck1. SendAlarm1.
ReceiveAck1.ReceiveAck2

More complex interleaving of Alarm msgs issued by two distinct Users

■ **NB:** Any other path can be obtained by combining the independent paths



ALTS vs. LTS

ALTS is a tool to select test sequences.

After an ALTS path is chosen, we may want to go back to the complete LTS and recover the information that was previously hidden (to identify SA meaningful test sequences).

Conformance testing from ???

■ **ALTS paths:** more abstract, focussed, and intuitive sequences

■ **LTS paths:** more closely adhering to the SA models
Differing levels of abstraction and contexts

From ALTS back to LTS ...

Given a generic ALTS path:

$$P = A \xrightarrow{l_1} B \xrightarrow{l_2} C$$

We can

1. Apply the inverse of *obs*-function, e.g.:

$$\text{Obs}^{-1}(l_1) = a_1, \text{Obs}^{-1}(l_2) = a_2,$$

2. We look on the LTS for any paths such that:

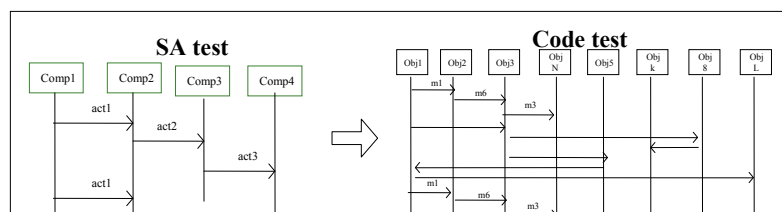
$P' = p_1 \cdot \underline{a_1} \cdot p_2 \cdot \underline{a_2} \cdot p_3$, where p_1 , p_2 and p_3 are (possibly empty) subpaths only containing LTS actions mapped to τ (*graph manipulation algorithms*)

Clearly, several LTS paths will correspond to each ALTS (again find selection criteria)

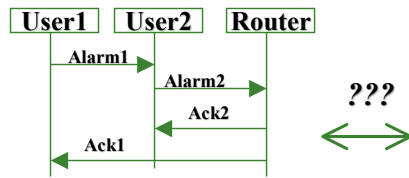
Step4 - Testing the Software Implementation

- How are the ALTS/LTS paths implemented by the Code?

- We want to test whether the system as-built implements the selected architectural behavior



Different development processes

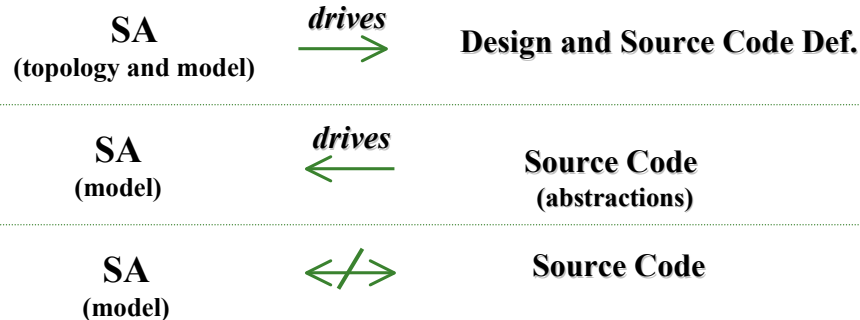


SA behavior

```

class MasterRouter{
    ServerConnection allarm;
    ServerConnection okFunction;
    // the services
    ReceiveUserAllarm serviceReceiveUserAllarm;
    ReceiveUserOkFunz serviceReceiveUserOkFunz;
    String name,serverName;
    static public PrintWriter user_router_ok_funz;
    static public PrintWriter user_router_alarm;
    ...
}
    
```

Source Code



From SA tests to code tests

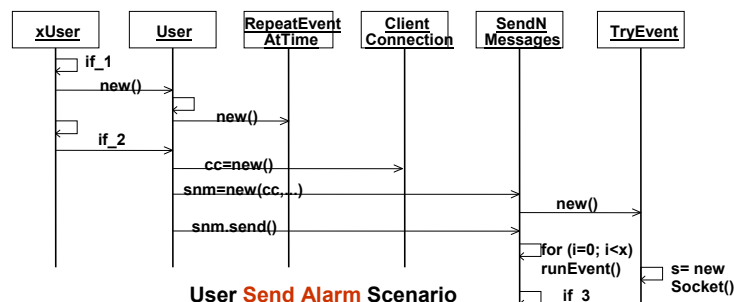
Given the sequence of actions to be tested: Act1.Act2...Actn:

... a test class is an ordered **sequence of actions**...

...each **action** is implemented by a sequence of methods...

... then an architectural sequence is implemented by the sequential (or concurrent) execution of different sequences of methods.

For each **architectural action**, we have to identify the set of method calls implementing it :



Step4: Mapping problems

- It is not so obvious which classes and methods implement an architectural functionality
- Many sequences of method calls can implement the desired architectural behavior
- SA description is inherently abstract and hides functionalities and objects defined at the code level
- The SA model usually describes only the expected behaviors, while the code also catches exceptional ones
- Test execution: nondeterministic or deterministic [CarverTai_TSE98] approach

Topics of interest and Considerations

- Step1:
 - SA specification and modelization
 - State explosion problem... completeness
 - **Considerations:**
 - we used Cham and FSP as case studies
- Step2:
 - Observation and Abstraction
 - **Considerations:**
 - It is a **pragmatic** task, based on the software architect expertise
 - **Classification** of observations could help
 - Methods similar to *SAAM* or *SCENT*, in which architectural information is empirically captured, could help in this task
 - ALTS construction has been **automated** adapting existing tools (*CAESAR/ALDEBARAN*)

Topics of interest and Considerations

- Step3:
 - Path coverage
 - **Considerations:**
 - McCabe's test technique seems a reasonable coverage criterion but feedback from usage is needed
 - it can be automated
- Step4:
 - Traceability and development process
 - Deterministic and non deterministic Testing
 - **Considerations:**
 - it is the most difficult part
 - relating SA specification to code
 - key concepts: traceability, development process

Other proposals for SA-based testing

- Richardson&Wolf, *Software testing at the architectural level*, In Proc ACM SIGSOFT ISAW-2 , 1996.
Idea: coverage criteria defined over the (Cham) SA model, e.g., all-data elements, all-processing elements, ..., all-transformations,
later expanded with Stafford to include notions of testability and slicing of architectures
- The same idea later adopted by Offutt with Abdurazik and Jin @George Mason Univ., based on Wright: Proc. ISSRE 2001
- Proceedings from Rosatea '98:
<http://www.ics.uci.edu/~djr/rosatea/>

Ongoing and Future Work

- **Testing Approach:**
 - partial specification (avoiding the generation of the complete LTS)
 - More formal description of the SA → Code step
 - Combining SA-based approach with the more mature framework (theory + tools) of LTS-based testing
- **SA-based Regression Testing (Muccini):**
 - Architectural test sets are extracted from the SA
 - They are applied to an implementation P of the SA
 - If P is modified and becomes P', **does P' still conform to SA?**
 - We need to (regression) test P', minimizing the effort for retesting
- **Testing of Product Line Architectures**

Part 3: Examples and tools

- The CW case study
- Some OBS-functions
- Tools

Collaborative Writing:

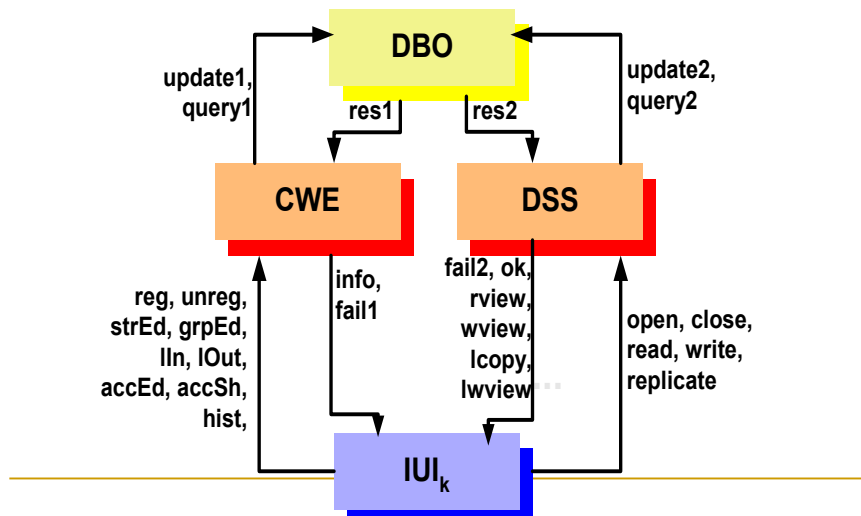
the process by which authors with different expertise and responsibilities interact during the creation and revision of a common document. Concurrency control policies (either pessimistic or optimistic) are adopted to manage concurrent access to a same doc.

Four Components:

- ❑ **Integrated User Interface (IUI)**: an integrated environment of tools for editing, navigation, display of awareness communication and import/export of data from external applications;
- ❑ **CW Engine (CWE)**: all services useful to perform group activities, e.g., user registration and deregistration, user login and logout, users group definition and editing;
- ❑ **Document Storage Service (DSS)**: abstract container of shared documents structured in partitions. In *asynchronous* mode, version-controlled documents; in *synchronous* mode, a pessimistic concurrency control;
- ❑ **Database Object (DBO)**: stores all group awareness information useful to support group activities.

CW SA

depicts the different components, the architecture topology and the list of services each component provides and/or requires (www.di.univaq.it/tivoli/cscv_techrep.pdf)



```

/**-----*/
/** I. U. I.      */
/**-----*/

IUI = (out_lin -> STEP1),

STEP1 = (in_info -> STEP2|in_fail1 -> IUI),

STEP2 =
(out_lout -> IUI|
out_reg -> in_info -> STEP2|out_unreg -> in_info -> STEP2|out_accSh ->
in_info -> STEP2|out_hist -> in_info -> STEP2|
out_strEd -> out_grpEd -> out_accEd -> in_info -> STEP2 |
out_open -> (in_fail2 -> STEP2|in_ok -> STEP3)),

STEP3 = (out_close -> STEP2 |
out_read -> in_rview -> STEP3|
out_write -> in_wview -> STEP3|
out_replicate -> in_lcopy -> out_lout -> out_lin -> in_info -> out_write
-> in_lwview -> STEP3).

/**-----*/
/** D. B. O.      */
/**-----*/

DBO = (in_query1 -> out_res1 -> DBO|in_update1 -> DBO| in_query2 ->
out_res2 -> DBO|in_update2 -> DBO).

```

```

/**-----*/
/** C. W. E.      */
/**-----*/

CWE = (in_lin -> out_query1 -> in_res1 -> (out_info -> CWE|out_fail1 ->
CWE)|
in_lout -> out_update1 -> CWE |
in_reg -> out_update1 -> out_info -> CWE|in_unreg -> out_update1 ->
out_info -> CWE|in_accSh -> out_query1 -> in_res1 -> out_info ->
CWE|in_hist -> out_query1 -> in_res1 -> out_info -> CWE|
in_strEd -> out_update1 -> in_grpEd -> out_update1 -> in_accEd ->
out_update1 -> out_info -> CWE).

/**-----*/
/** D. S. S.      */
/**-----*/

DSS = (in_open -> out_query2 -> in_res2 -> (out_fail2 -> DSS| out_ok ->
DSS)|
in_close -> out_update2 -> DSS|
in_replicate -> out_update2 -> out_lcopy -> PASSO2|
in_write -> out_update2 -> (out_wview -> DSS| out_lwview -> DSS)|
in_read -> out_update2 -> out_rview -> DSS),

PASSO2 = (in_replicate -> out_update2 -> out_lcopy -> PASSO2| in_read ->
out_update2 -> out_rview -> PASSO2| in_write -> out_update2 ->
out_lwview -> DSS).

```

```

/**-----*/
/** S Y S T E M      */
/**-----*/

||SYSTEM = (IUI||DBO||CWE||DSS)/
{
out_lin/in_lin,
out_info/in_info,
out_fail1/in_fail1,
out_fail2/in_fail2,
out_lout/in_lout,
out_reg/in_reg,
out_unreg/in_unreg,
out_strEd/in_strEd,
out_grpEd/in_grpEd,
out_accEd/in_accEd,
out_accSh/in_accSh,
out_hist/in_hist,
out_open/in_open,
out_close/in_close,
out_read/in_read,
out_write/in_write,
out_replicate/in_replicate,
out_ok/in_ok,
out_rview/in_rview,
out_wview/in_wview,
out_lcopy/in_lcopy,
out_updatel/in_updatel,
out_query1/in_query1,
out_res1/in_res1,
out_update2/in_update2,
out_query2/in_query2,
out_res2/in_res2,
out_lwview/in_lwview
}.

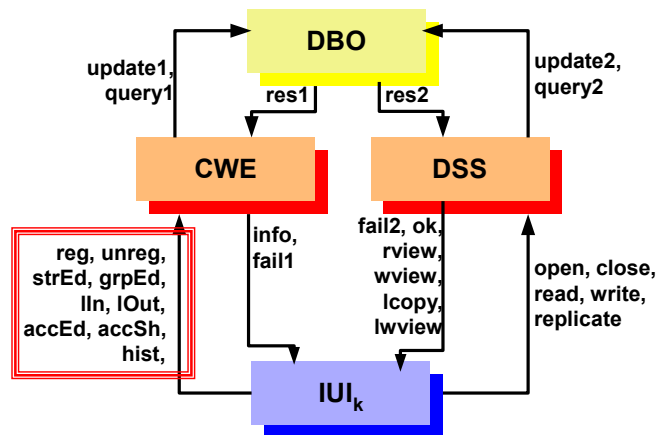
```

Examples of interesting tester's observations

- a) The interactions between a selected subset of the architecture components
- b) The Input/Output behaviour of a specified component only
- c) *Scenario-based testing*: we want to focus the test cases on a defined scenario of interaction

Case a) Testing criterion:

all those behaviors involving the interactions from IUI to CWE



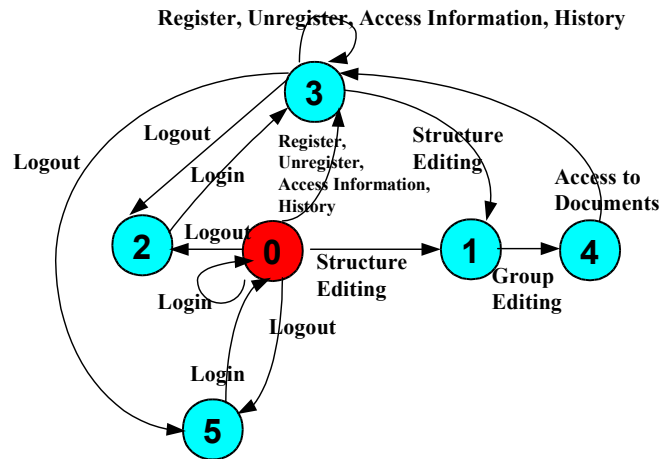
OBS1

$D = \{\text{reg, unreg, strEd, grpEd, lin, lout, accEd, accSh, hist}\}$

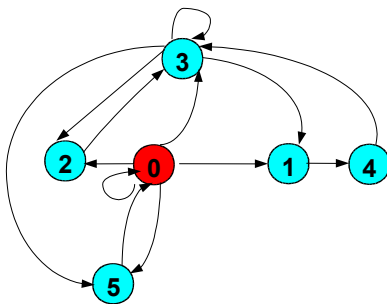
obs (reg) = Register
obs (unreg) = Unregister
obs (strEd) = Structure Editing
obs (grpEd) = Group Editing
obs (lin) = Login
obs (lout) = Logout
obs (accEd) = Access to Documents
obs (accSh) = Access Information
obs (hist) = History

For any other T_i , $\text{obs}(T_i) = \text{tau}$

ALTS1



Covering ALTS1



13 arcs, 6 nodes =>
8 independent paths

e.g.,
 $p = \{0\} \text{ StructureEditing } \{1\} \text{ Group Editing } \{4\} \text{ AccesstoDocuments } \{3\} \text{ Logout } \{5\} \text{ Login } \{0\}$

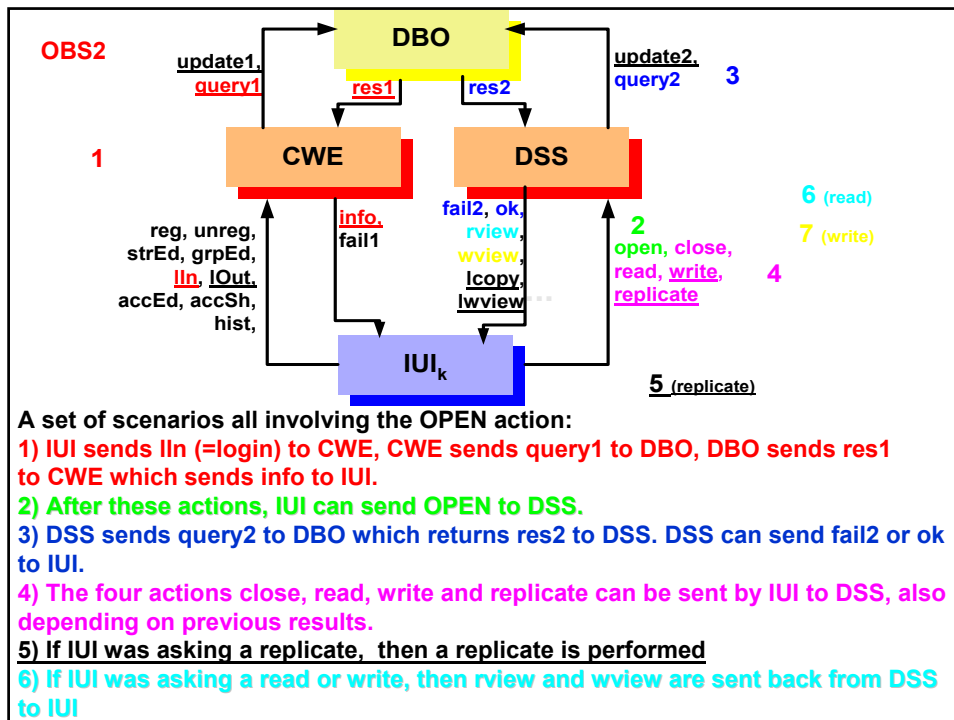
The IUI component initially edits the document structure, group information, then accesses the document, and eventually logs out.

Case c) Testing criterion:

the OPEN scenario, i.e., all the operations related to the execution of the open action

OBS2

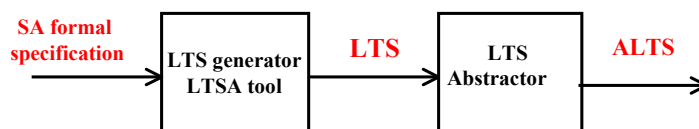
$\text{obs}(\text{pre.open.postOpen}) = \text{pre.open.postOpen}$
 $\text{obs}(\text{pre.z.postZ}) = \text{pre.tau}$, with z different from open



[illegible]

Tool Support

- LTS can be automatically derived from an Architectural specification (**LTS generator** Tool, **LTSa** Tool);
- ALTS can be semi-automatically generated (**FC2Tools** + The **Abstractor** Tool)



LTSA : <http://www-dse.doc.ic.ac.uk/concurrency/>

FC2TOOL : <http://www-sop.inria.fr/meije/verification/>

Alternatively

The ALTS can be derived also by using the tool Eucalyptus in Caesar/Aldebaran Development Package (CADP) : <http://www.inrialpes.fr/vasy/cadp/>

We need to specify:

- what we rename: Obs1.rename
- what we hide: Obs1.hide

Part 4: A Wider Perspective

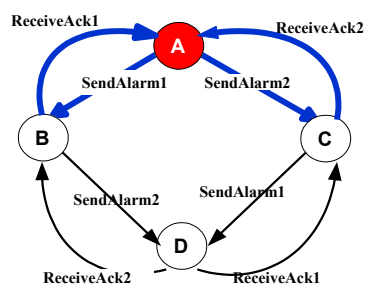
Enhancements to improve approach practicality:

1. Push automation and seamless integration with forward development:
 - ❑ Importing knowledge and mature tools from LTS-based test framework, e.g. TGV
2. Standardize the input modelling notation:
 - ❑ UML as an ADL (Medvidovic et al., Tosem 2002)

On-going work

- Re-using TGV for implementing the ALTS-based technique:
 - Reformulate the "observation" function from the SA view point in terms of TGV notion of "test purpose"
 - Advantage: a complete test graph can be derived, avoiding the round trip LTS → ALTS → LTS

TGV-based testing of SA



We formerly had:

$P3 = A \ B \ A \ C \ A \rightarrow$

SendAlarm1.ReceiveAck1.SendAlarm2.ReceiveAck2

In TGV-based testing, we have to reformulate such an ALTS-path in form of a Test Purpose:



TGV-based testing of SA

- Then, we can:
 - Either generate a Complete Test Graph from the **complete LTS** see
 - Or directly generate a specified test case, e.g.
- We also need to distinguish between the inputs and the outputs to the system

Test purpose vs. Obs-function:

Roughly, a **test purpose** would correspond in our approach to a **path on the ALTS**.

➡ Intuitively, then, we could reformulate our approach within the more mature context of TGV (by translating the *Obs*-function in terms of guidelines for deriving a test purpose)

ALTS offers an intermediate useful step which is missing in TGV

TGV vs. ALTS-based approaches

- Some **methodological commonalities**
 - Behaviour expressed by means of LTSs
 - Need of algorithms to reduce the many possible LTS observations
- But several **conceptual differences**
 - **Different test levels:** SA-based testing tries to capture SA-relevant behaviors, while abstracting away other system functions. Thus, completeness is not the main goal, as in traditional specification-based test approaches
 - **Abstraction level:** the "distance" between the reference model and the IUT varies much in the two contexts. In SA-based testing, this distance is purposely very high, whereas in specification-based testing, this is often thought as being low.

UML for specifying SAs

- Considering the standard UML, two approaches can be followed: [Medvidovic et al., Tosem 2002]
 1. UML "as is"
 2. UML Built-in extension mechanisms

There are several problems with UML-based testing

- UML is a notation, NOT a methodology
- It purposely places few restrictions on usage of the notation
- This flexibility allows for both precision and ambiguity
- However, test from UML is important because of its widespread adoption

UML & Testing: overview

- still under study: no standardised or generally accepted approach
- the level of formalization and automation achievable depends directly from the level of precision in specification and design
- Binder surveys how each and every UML diagram could be tested (Chap. 8 of his book):
 - Valuable practical insights (but test process too fragmented)
- Several proposals (e.g., Jezequel, Offutt, Briand, Bertolino, ...)- UML test profile - **AGEDIS EU project**

UML from the tester's perspective

- Structural diagrams for testing design consistency (grey-box testing)
- Behavioral diagrams for functional testing, precisely
 - Interaction diagrams for integration testing (interactions among objects)
 - State diagrams for functional testing of objects (one at a time)
- Grouping diagrams for managing the process

The future: AGEDIS

- www.agedis.de
- A UML-based industrial test tool, that incorporates TGV mechanism (plus coverage criteria from IBM Gotcha) for automated test case generation
 - Good combination of formality and practicality
 - But does not incorporate SA concerns

Conclusions

We have surveyed:

- ✓ Basics concepts of software testing, including formal conformance test methods
- ✓ A complete approach proposed for testing a system from an architectural perspective
- ✓ Some examples
- ✓ Proposals for future work

Considerations

- Testing remains an engineering activity
- Nevertheless, formal methods can and should play a role in:
 - Test generation (LTS, ALTS)
 - Validating test results (oracle)
 - Mapping abstract test classes to concrete, executable test cases (through refinement)
- A key issue in SA-based testing is the identification of suitable "test criteria", or ways to look at the system global behavior

Thanks!

Comments, adds-on, questions?

Before, small adv pause

Further reading, start from:
www.henrymuccini.com
