

# Quantitative Information Flow

**Catuscia Palamidessi**

INRIA Saclay & Ecole Polytechnique

These lectures are based on work done in collaboration with:

**Mario Alvim, Miguel Andrés, and Konstantinos Chatzikokolakis**

# Plan of the lectures

- **Lecture 1 (Monday)**

- Secure information flow. Motivations and examples
- Information-theoretic framework

- **Lecture 2 (Tuesday)**

- Quantification of leakage: models of adversaries
- Focus on: Shannon entropy and Rényi min-entropy
- Bayes risk

- **Lecture 3 (Friday)**

- Differential privacy
- Relation between QIF and differential privacy

# Information Flow

**Problem:** Avoid the leakage of information in computer systems

Leakage of **secret information** via **public observables**

**Ideally:** No leak

- No interference [Goguen & Meseguer'82]

**In practice:** There is almost always some leak

- Intrinsic to the problem
- Side channels



# Example

## Password checker I

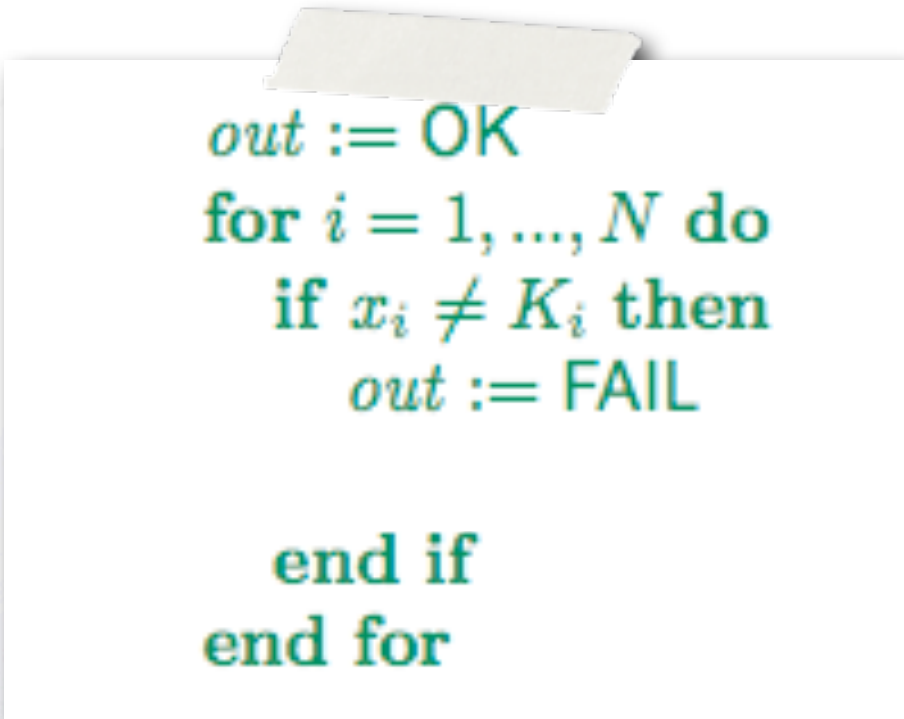
Password:  $K_1K_2 \dots K_N$

Input by the user:  $x_1x_2 \dots x_N$

Output:  $out$  (Fail or OK)

## Intrinsic leakage

By learning the result of the check the adversary learns something about the secret



```
out := OK  
for  $i = 1, \dots, N$  do  
  if  $x_i \neq K_i$  then  
    out := FAIL  
  end if  
end for
```

# Example

## Password checker 2

Password:  $K_1K_2 \dots K_N$

Input by the user:  $x_1x_2 \dots x_N$

Output:  $out$  (Fail or OK)

More efficient, but what about security?

```
out := OK
for  $i = 1, \dots, N$  do
  if  $x_i \neq K_i$  then
    { out := FAIL
      exit()
    }
  end if
end for
```

# Example

## Password checker 2

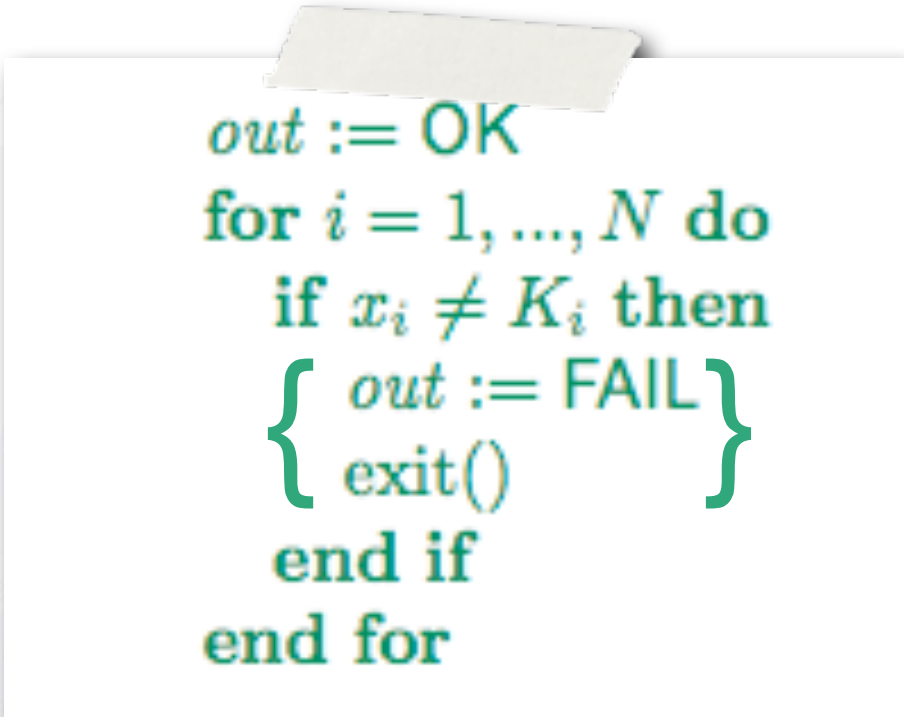
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## Side channel attack

If the adversary can measure the execution time, then he can also learn the longest correct prefix of the password



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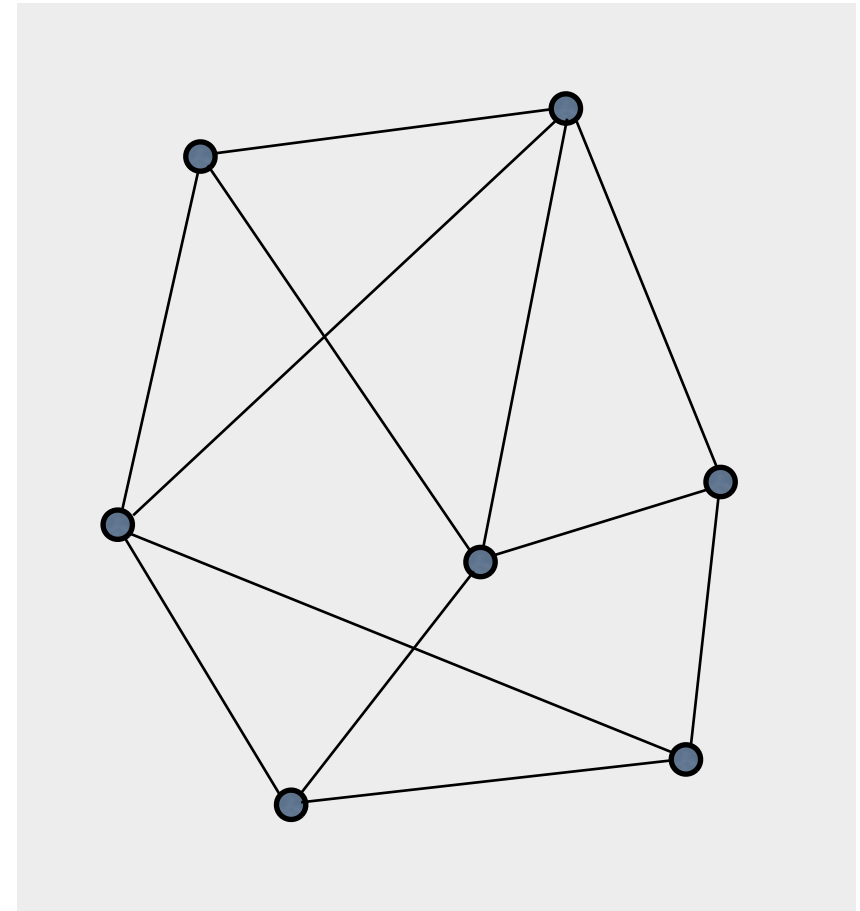


# Quantitative Information flow

- It is necessary to **quantify** the notion of **Information Leakage**
- To this purpose, most of the recent proposals use **information-theoretic approaches**
  - Suitable also for **probabilistic** programs
- Convergence with other fields, in particular that of **anonymity protocols** which typically use randomization to hide the secrets
  - **secret = culprit's identity**

## Example of Anonymity Protocol: DC Nets [Chaum'88]

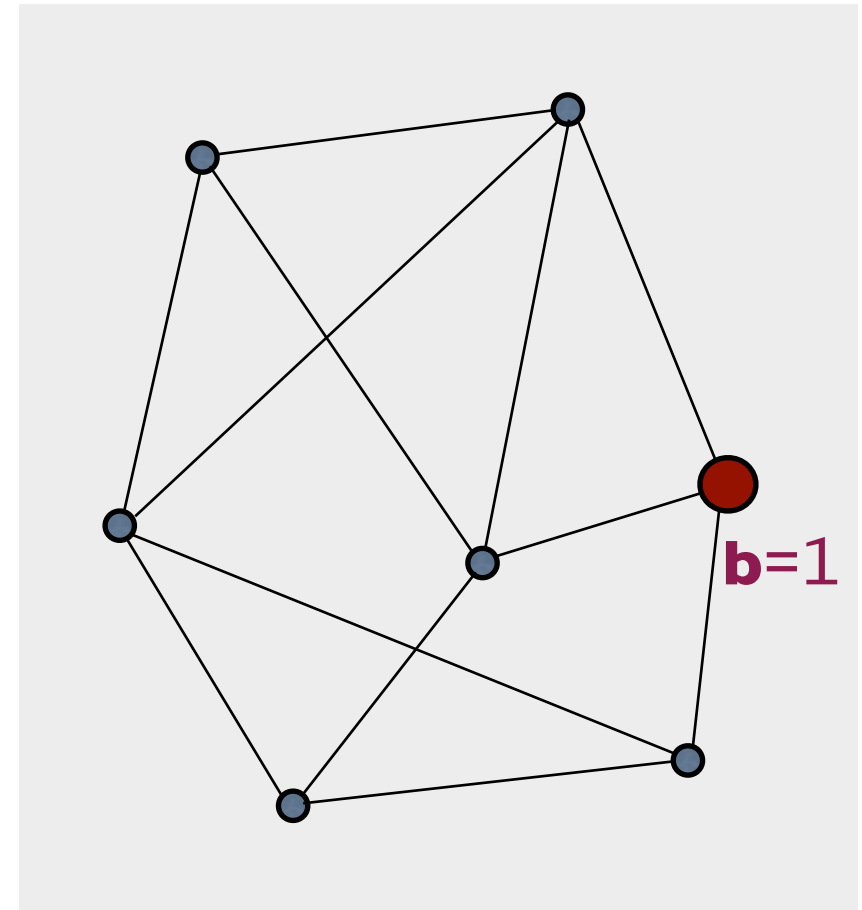
- A set of nodes with some communication channels (edges).
- One of the nodes (source) wants to broadcast one bit **b** of information
- The source must remain **anonymous**





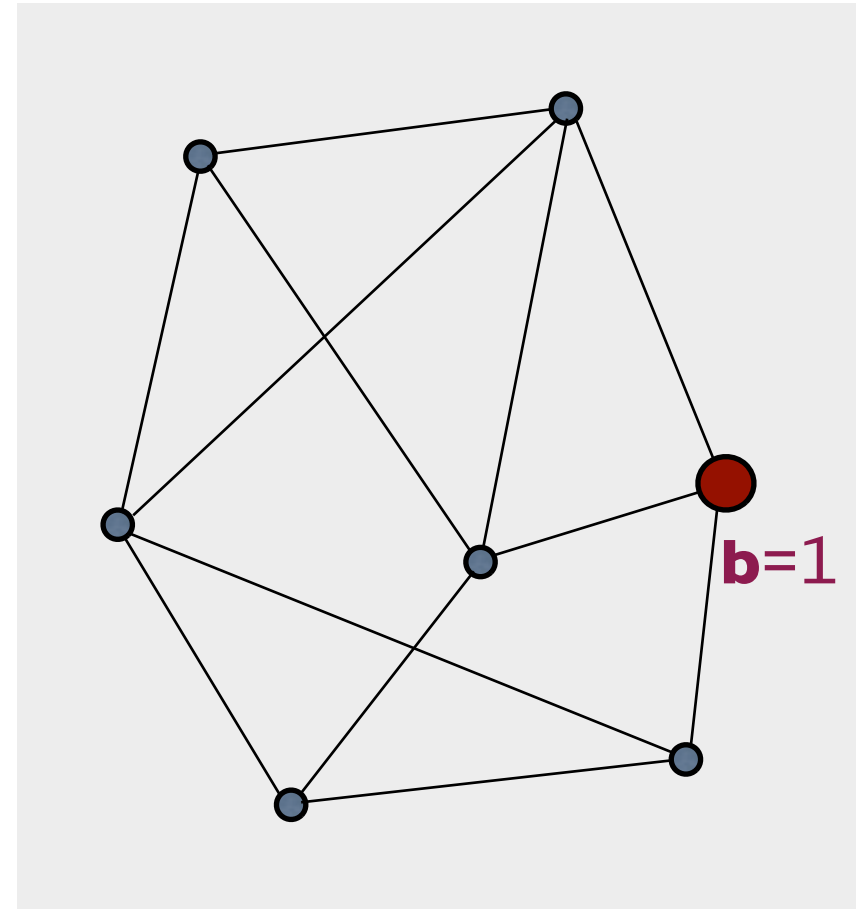
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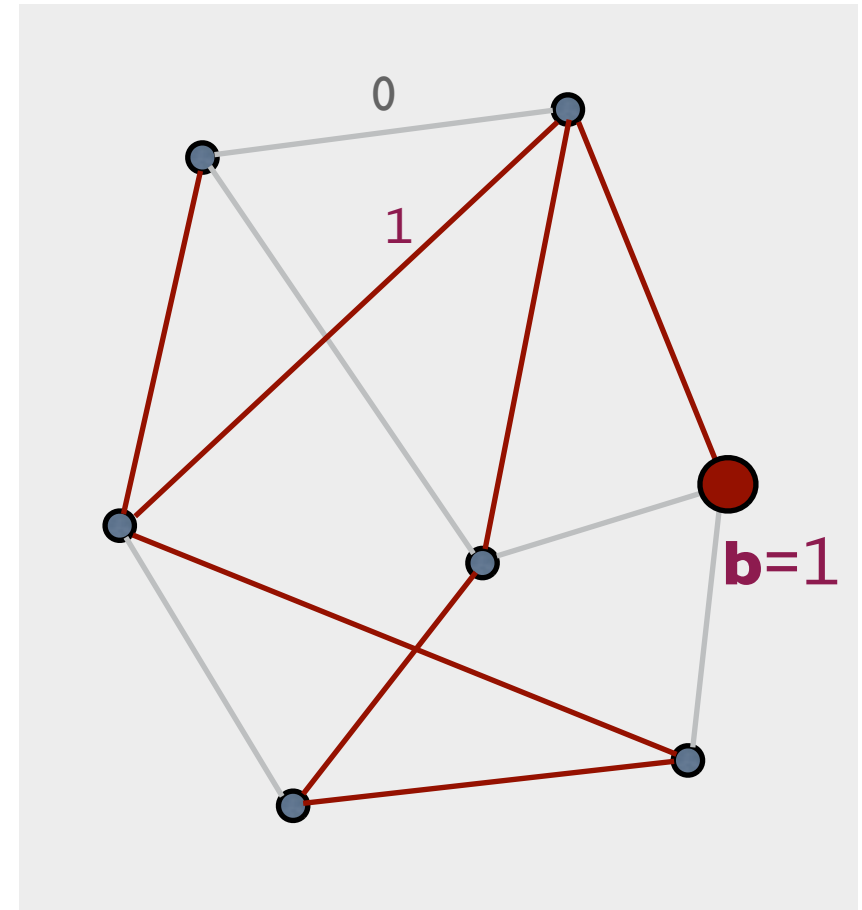
## Chaum's solution

- Associate to each edge a fair coin



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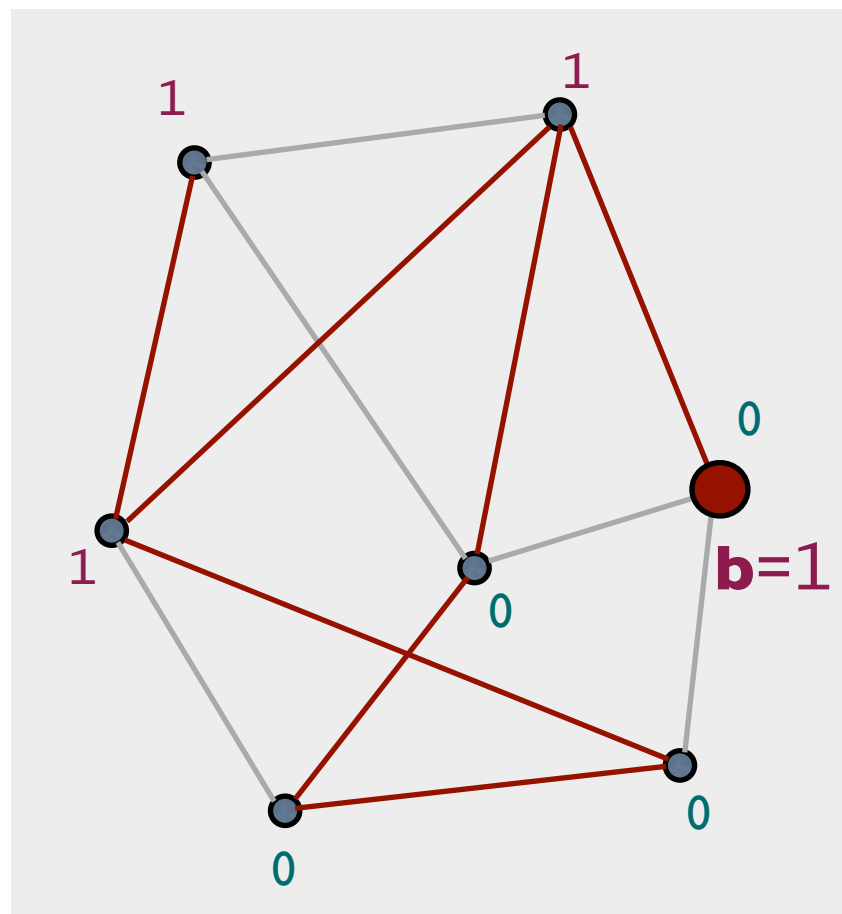
- Associate to each edge a fair coin
- Toss the coins





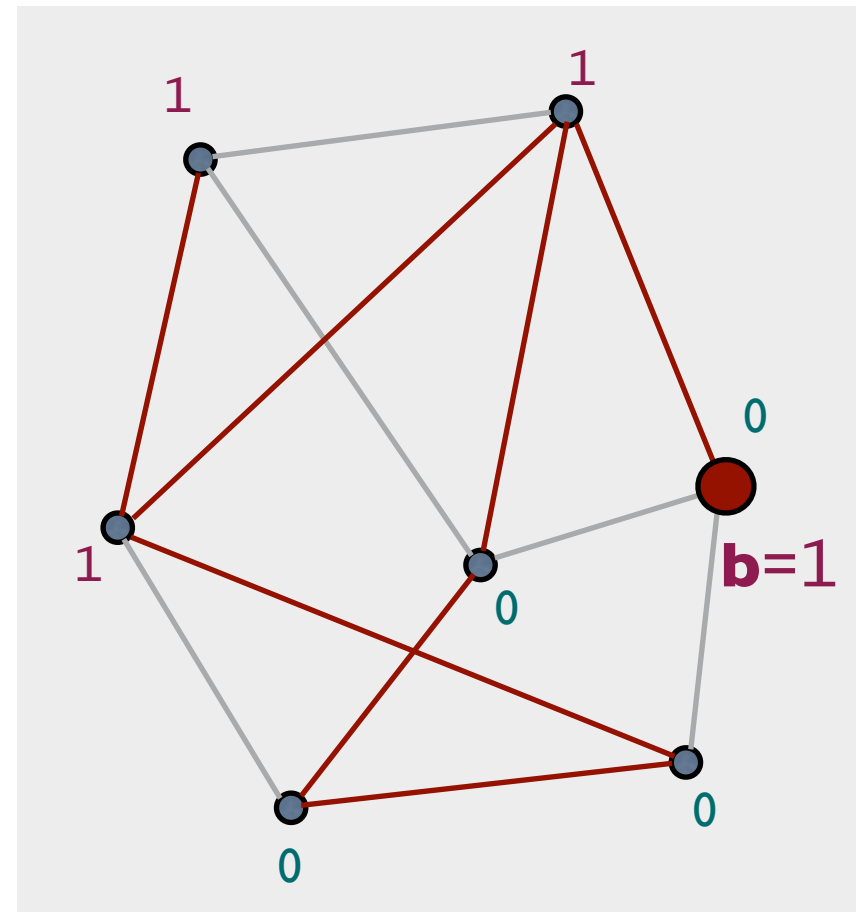
## Chaum's solution

- Associate to each edge a fair coin
- Toss the coins
- Each node computes the binary sum of the incident edges. The source adds **b**. They all broadcast their results



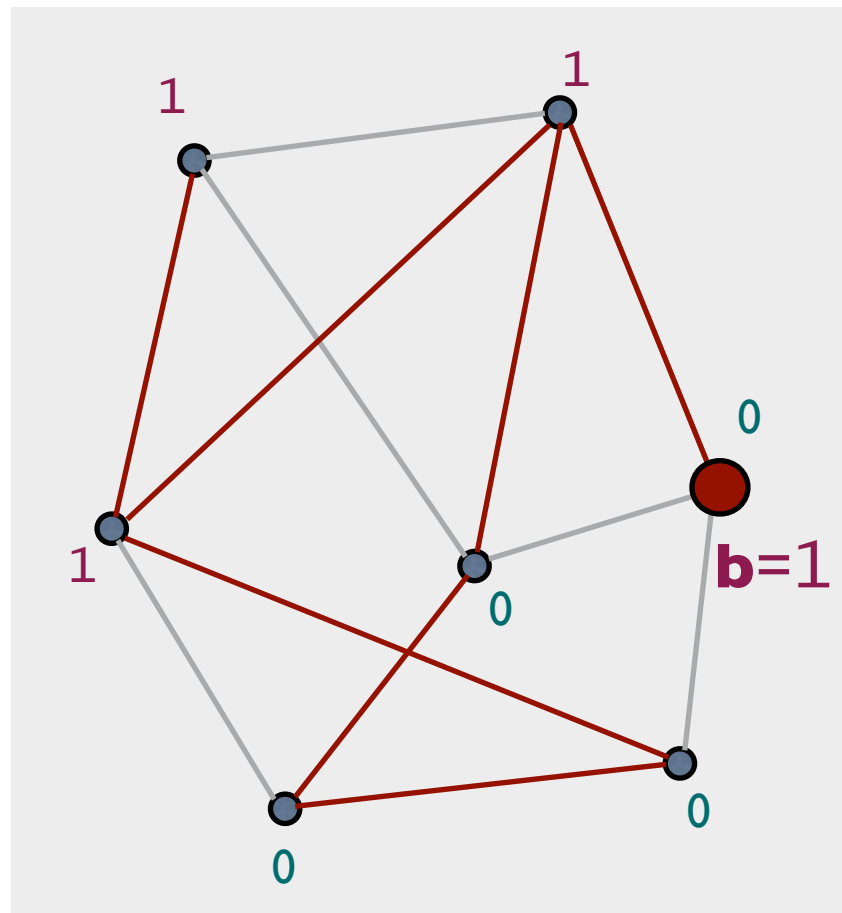
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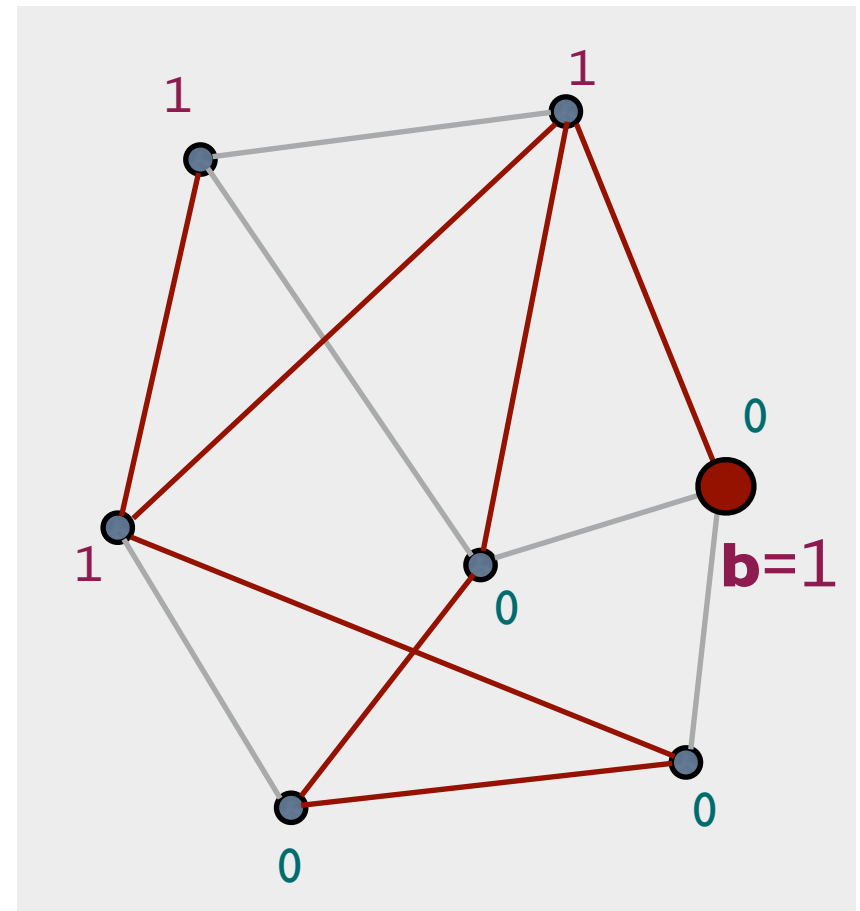


Question: why is that?



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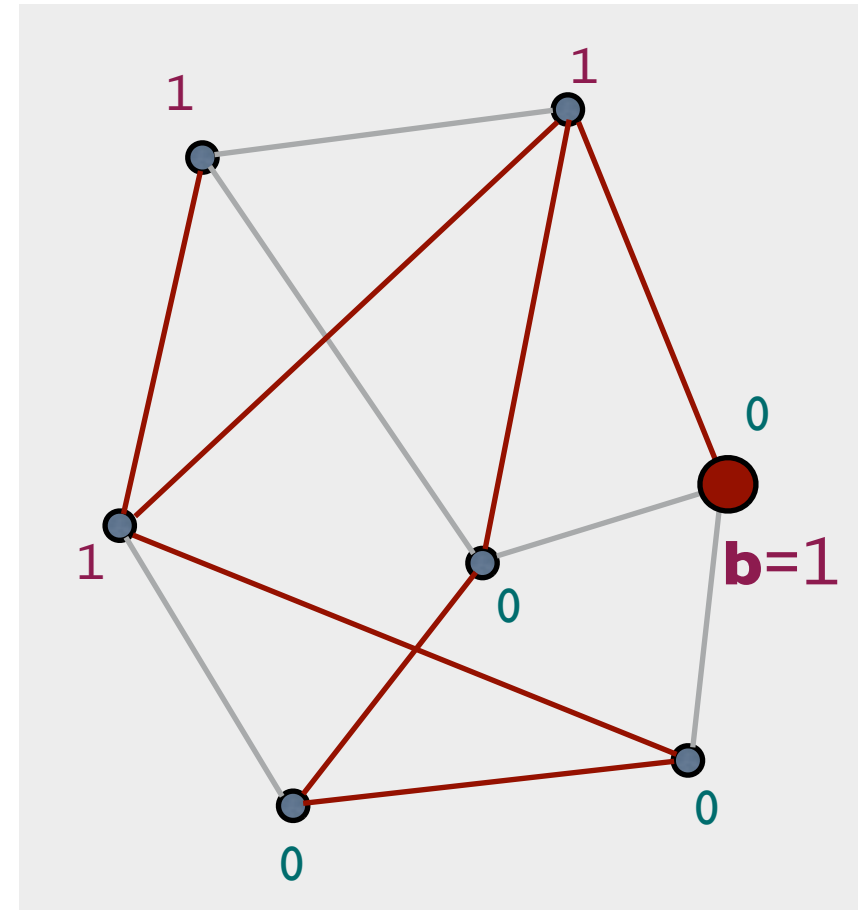
**Answer:** each coin is counted twice!

# Strong anonymity (Chaum)

- If the graph is **connected** and the coins are **fair**, then for an **external observer**, the protocol satisfies **strong anonymity**:

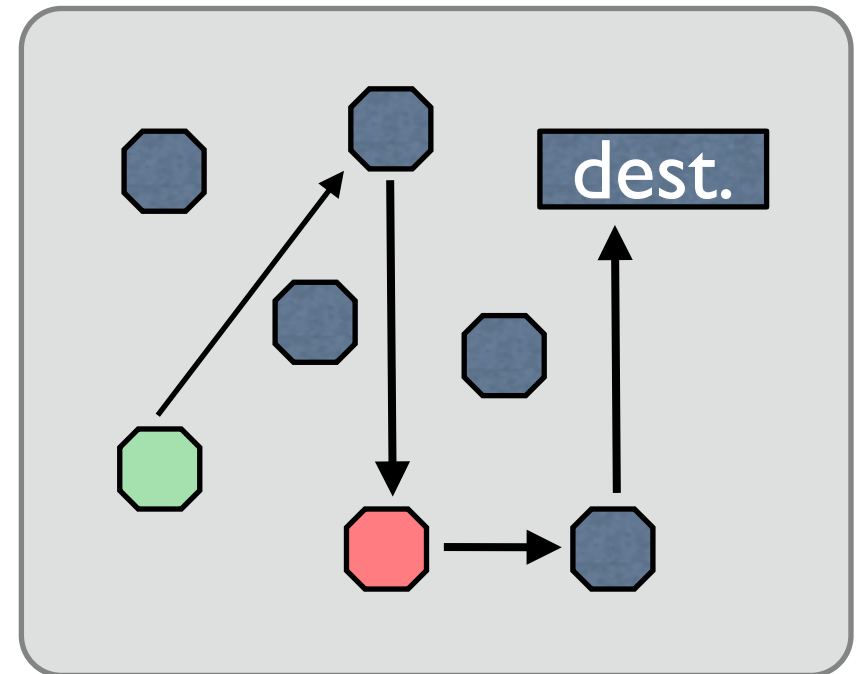
the *a posteriori* probability that a certain node is the source is equal to its *a priori* probability

- A priori / a posteriori = before / after observing the declarations
- Question: what about the internal nodes?



# Another example: Crowds [Rubin and Reiter'98]

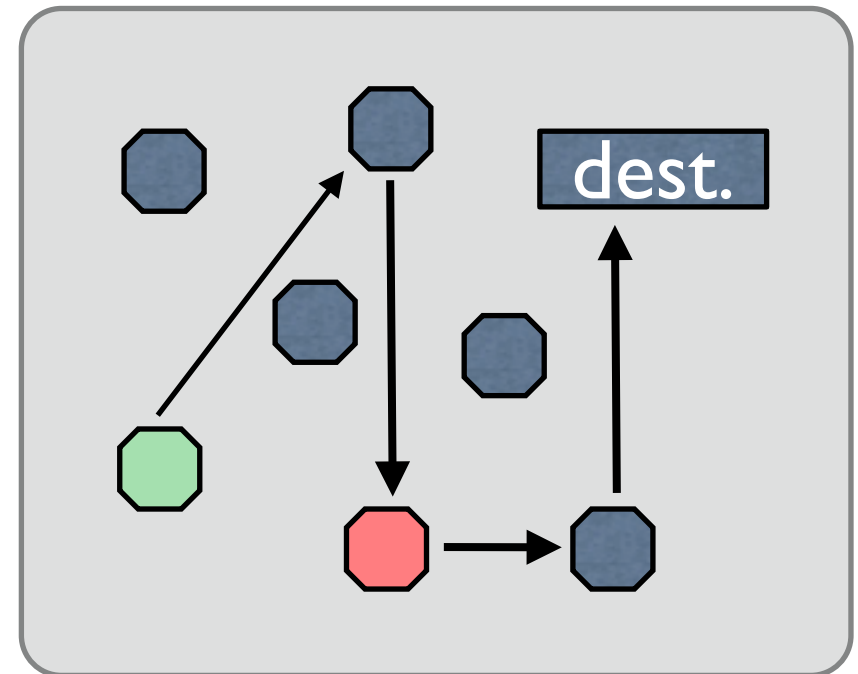
- Problem: A user (initiator) wants to send a message anonymously to another user (dest.)
- Crowds: A group of  $n$  users who agree to participate in the protocol.
- The initiator selects randomly another user (forwarder) and forwards the request to her
- A forwarder randomly decides whether to send the message to another forwarder or to dest.
- ... and so on





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**Probable innocence:** under certain conditions, an attacker who intercepts the message from  $x$  cannot attribute more than 0.5 probability to  $x$  to be the initiator

# Common features

- Secret information
  - the values of the high variables
  - DC: the identity of the broadcaster
  - Crowds: the identity of the initiator
- Public information (Observables)
  - the values of the low variables
  - DC: the declarations
  - Crowds: the interception of a forwarder by a corrupted user
- The system may be probabilistic
  - often the system uses randomization to obfuscate the relation between secrets and observables
  - DC: coin tossing
  - Crowds: random forwarding to another user

# Simplifying assumptions

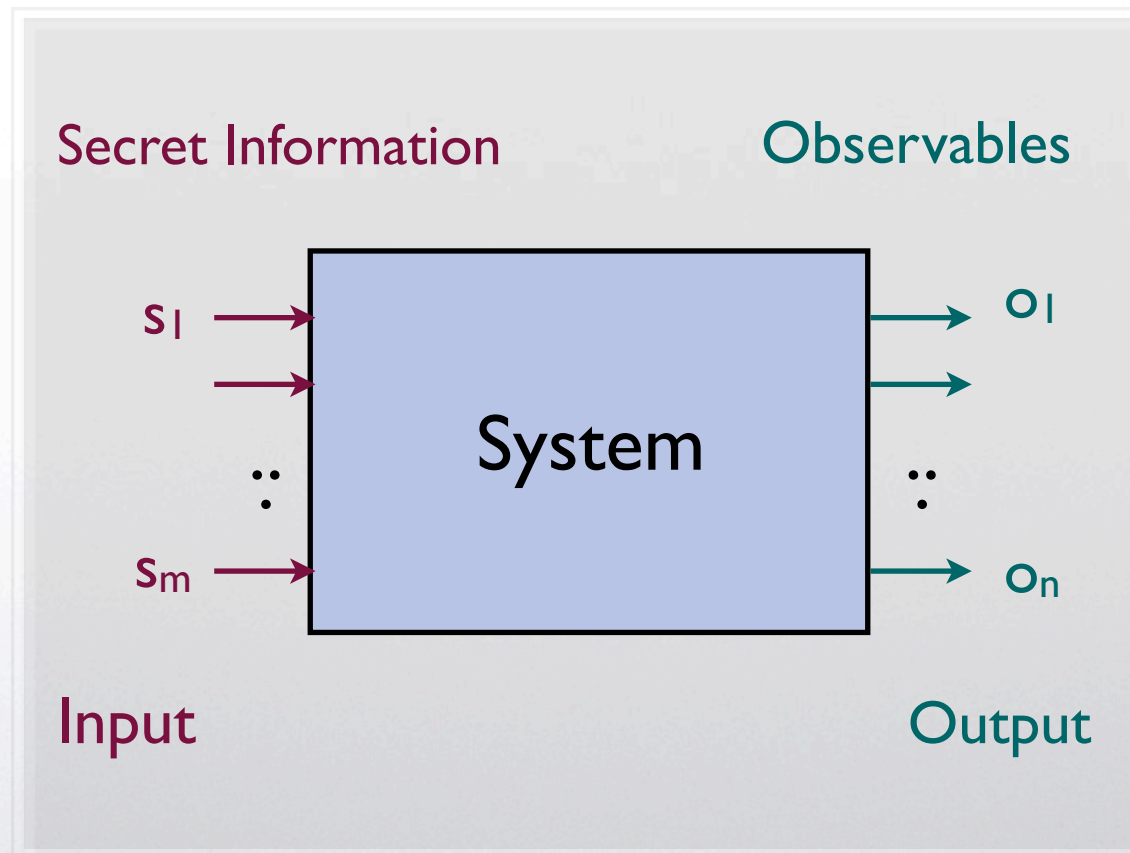
In this lectures we assume:

- Secrets: elements of a random variable  $S$
- Observables: elements of a random variable  $O$
- For each secret  $s$ , the probability that we obtain an observable  $o$  is given by  $p(o | s)$
- No feedback: the secret is not influenced by the observables
- No nondeterminism: everything is (either deterministic or) probabilistic, although we may not know the distribution

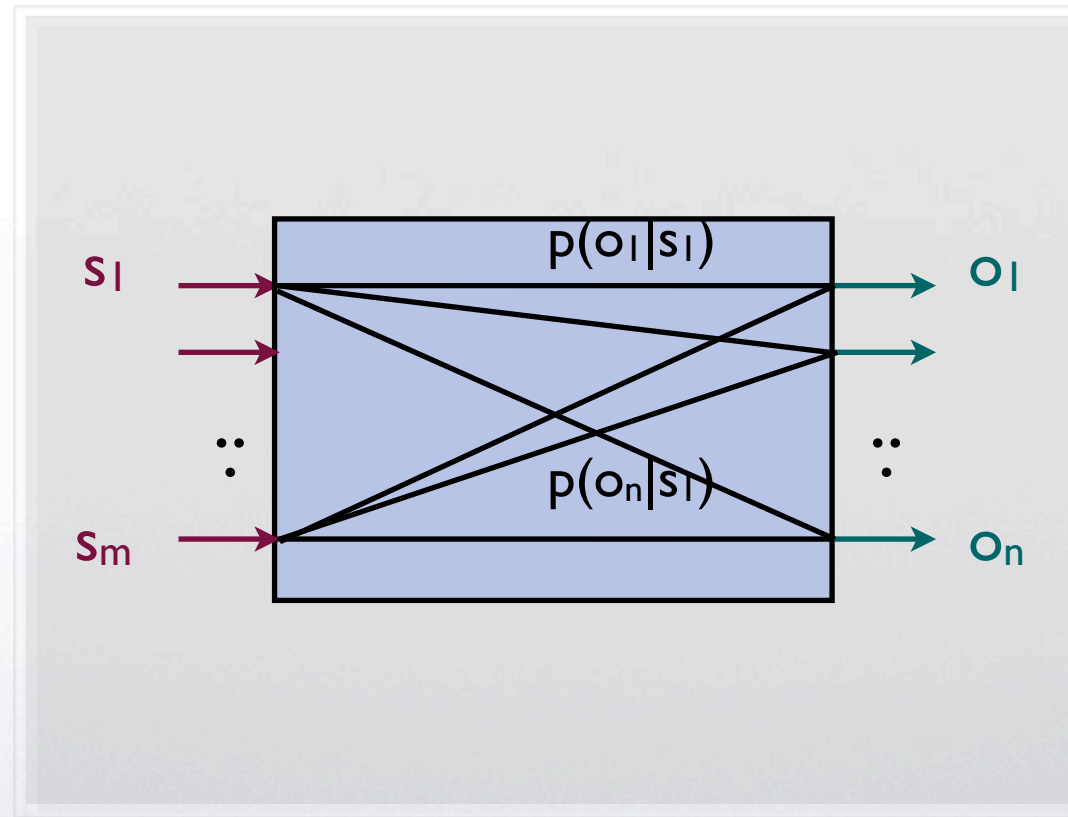


## An intriguing analogy:

Systems as Information-Theoretic channels



Probabilistic systems are **noisy** channels:  
an output can correspond to different inputs, and  
an input can generate different outputs, according to a prob. distribution



$p(o_j|s_i)$ : the conditional probability to observe  $o_j$  given the secret  $s_i$

	$O_1$	...	$O_n$
$S_1$	$p(o_1 s_1)$	...	$p(o_n s_1)$
$\vdots$	$\vdots$		
$S_m$	$p(o_1 s_m)$		$p(o_n s_m)$

$$p(o|s) = \frac{p(o \text{ and } s)}{p(s)}$$

A channel is characterized by its matrix: the array of conditional probabilities

In a information-theoretic channel these conditional probabilities must be independent from the input distribution

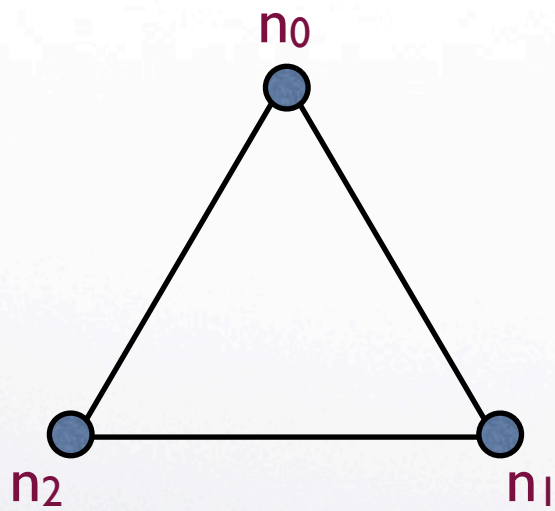
This means that we can apply the i.t. approach only to systems whose behavior may depend on the secret values, but not on their distribution

# Information theory: useful concepts

- **Entropy**  $H(X)$  of a random variable  $X$ 
  - a measure of the degree of uncertainty of the events
  - It can be used to measure the vulnerability of the secret, i.e. how “easy” is for the adversary to obtain the secret
- **Mutual information**  $I(S;O)$ 
  - degree of correlation between the input  $S$  and the output  $O$
  - formally defined as difference between the entropy of  $S$  **before** knowing  $O$ , and the entropy of  $S$  **after** knowing  $O$
  - aka the difference between the a priori and the a posteriori entropy of  $S$
  - It can be used to measure the leakage:  
$$\text{Leakage} = I(S;O) = H(S) - H(S|O)$$
  - $H(S|O)$  can be computed using the distribution of  $S$  and the matrix



## Example: DC nets (ring of 3 nodes, $b=1$ )

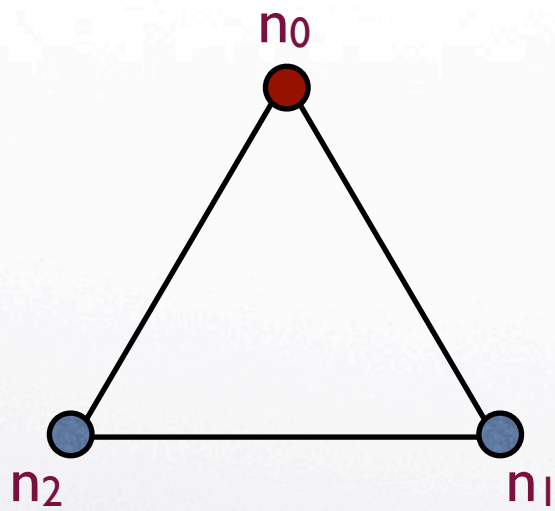


Secret Information

Observables

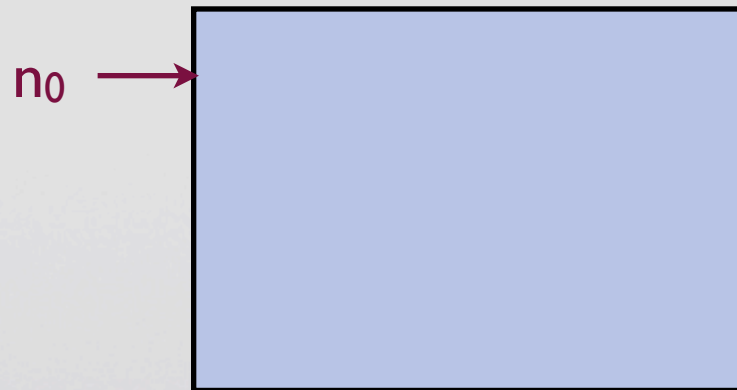


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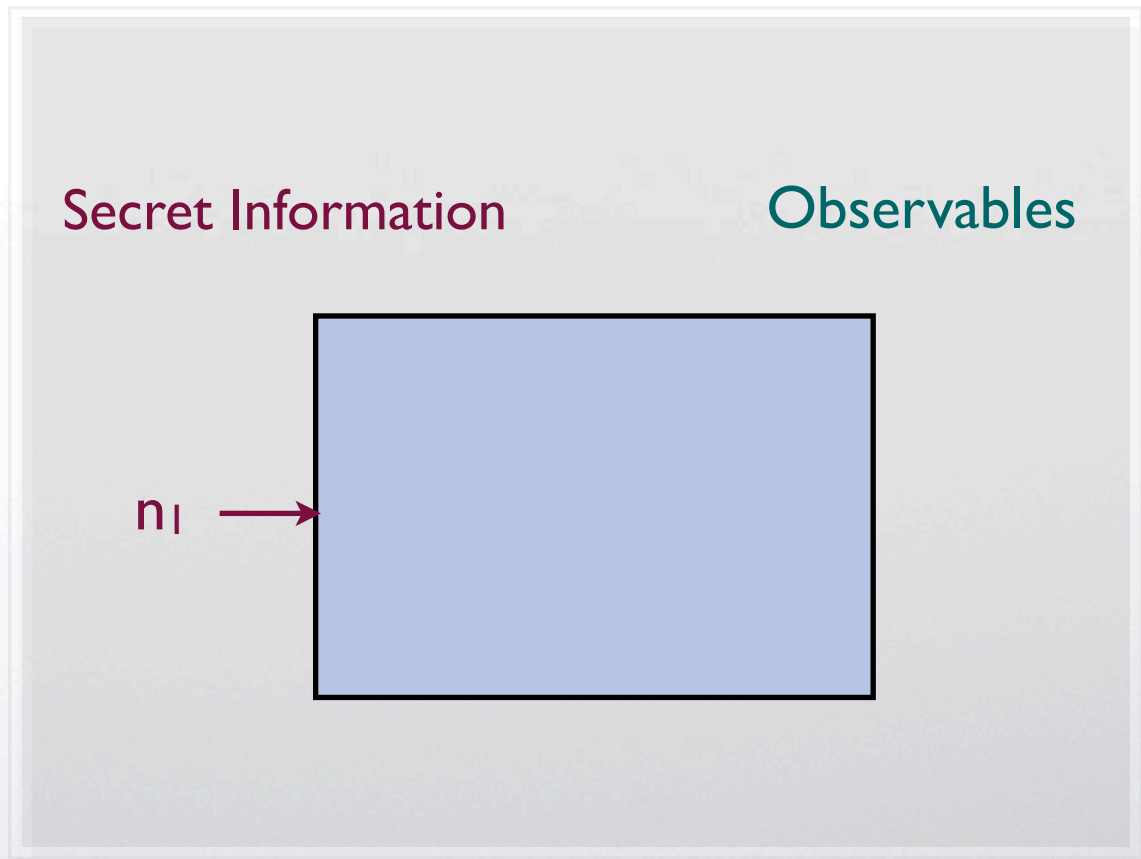
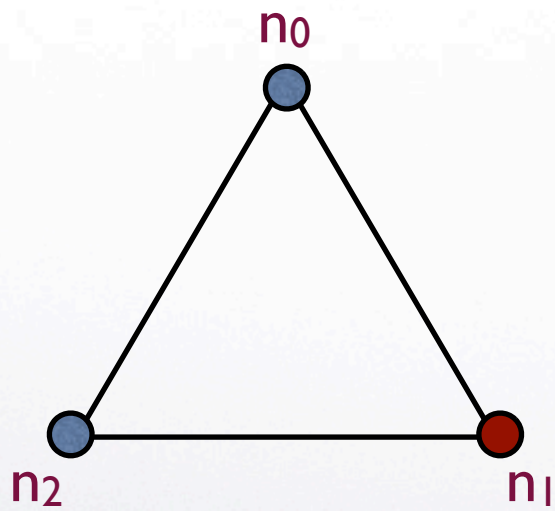
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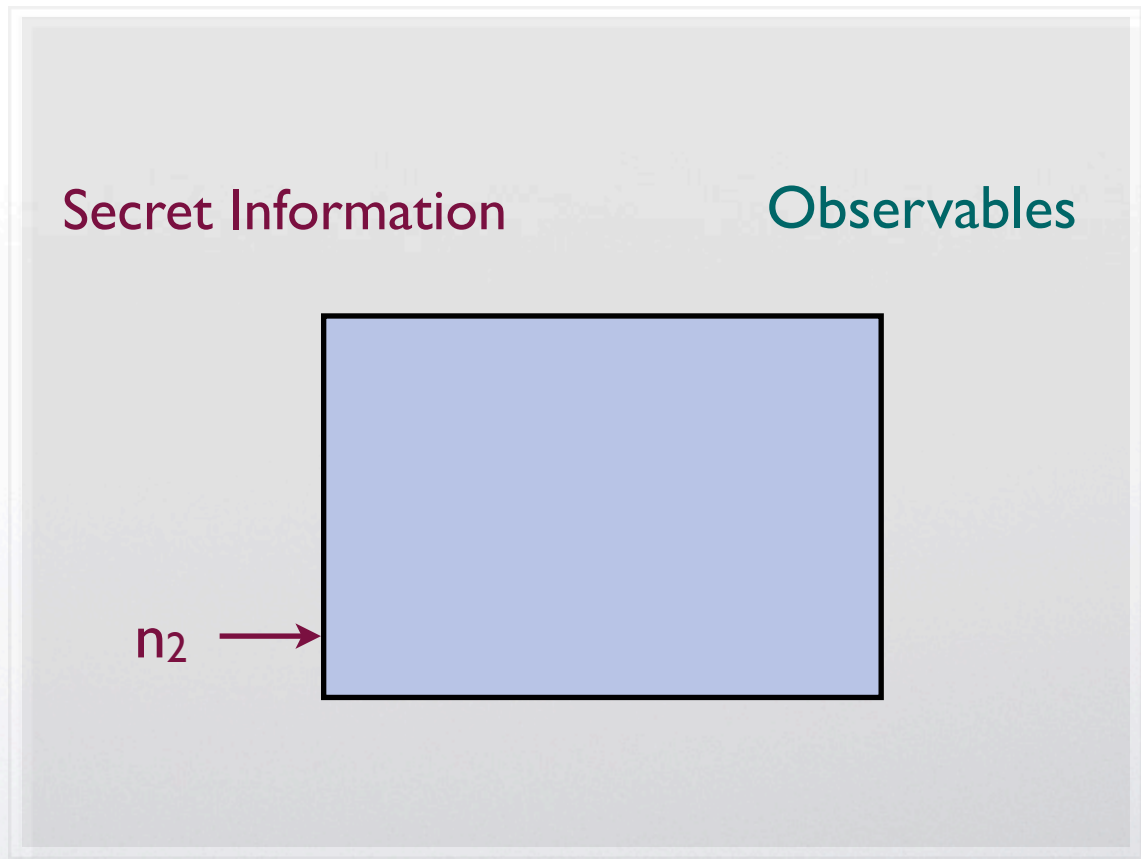
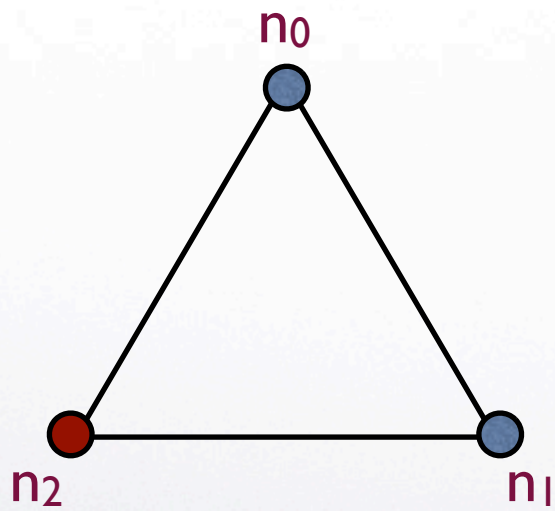




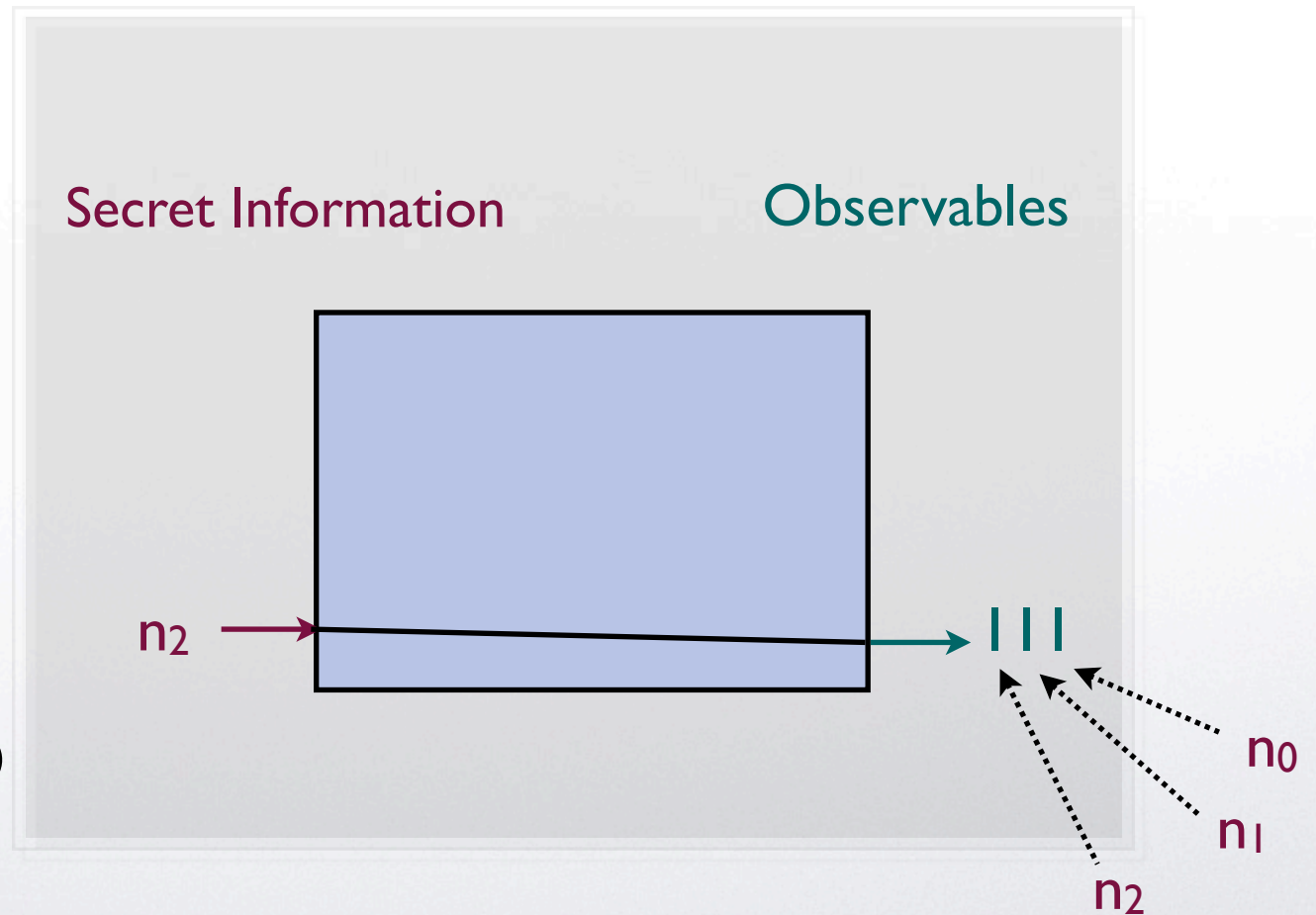
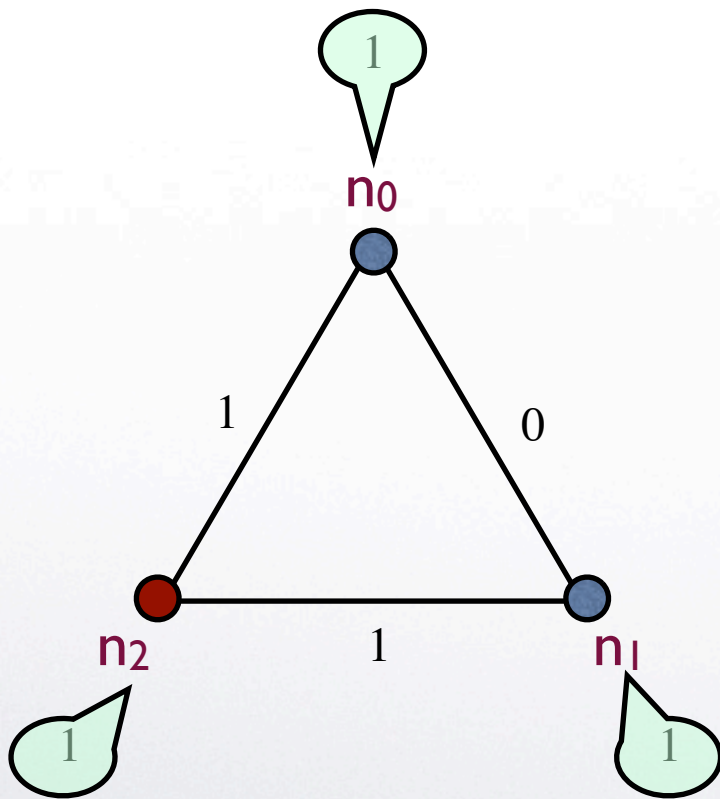
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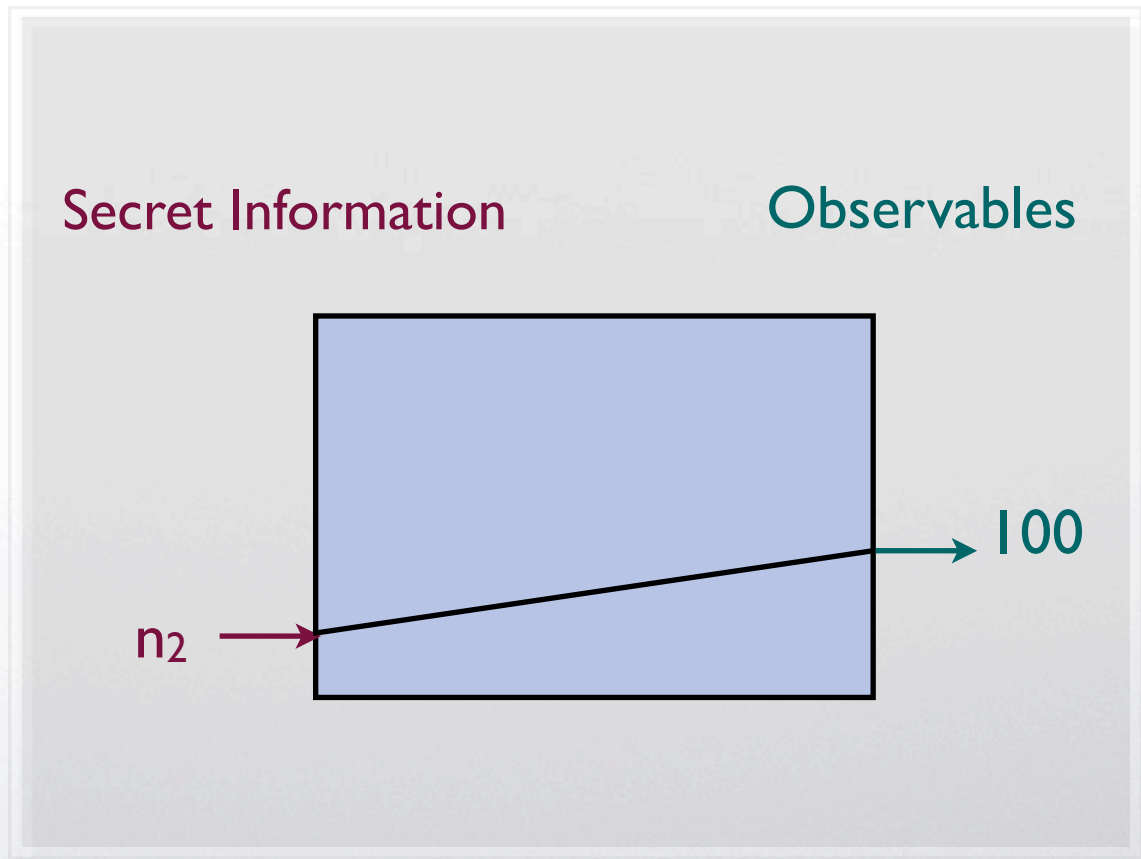
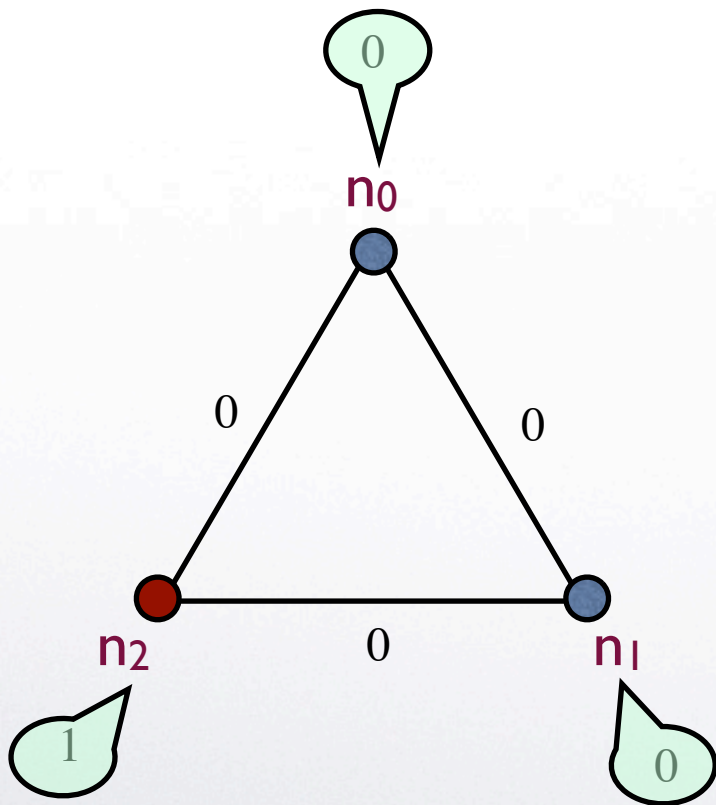


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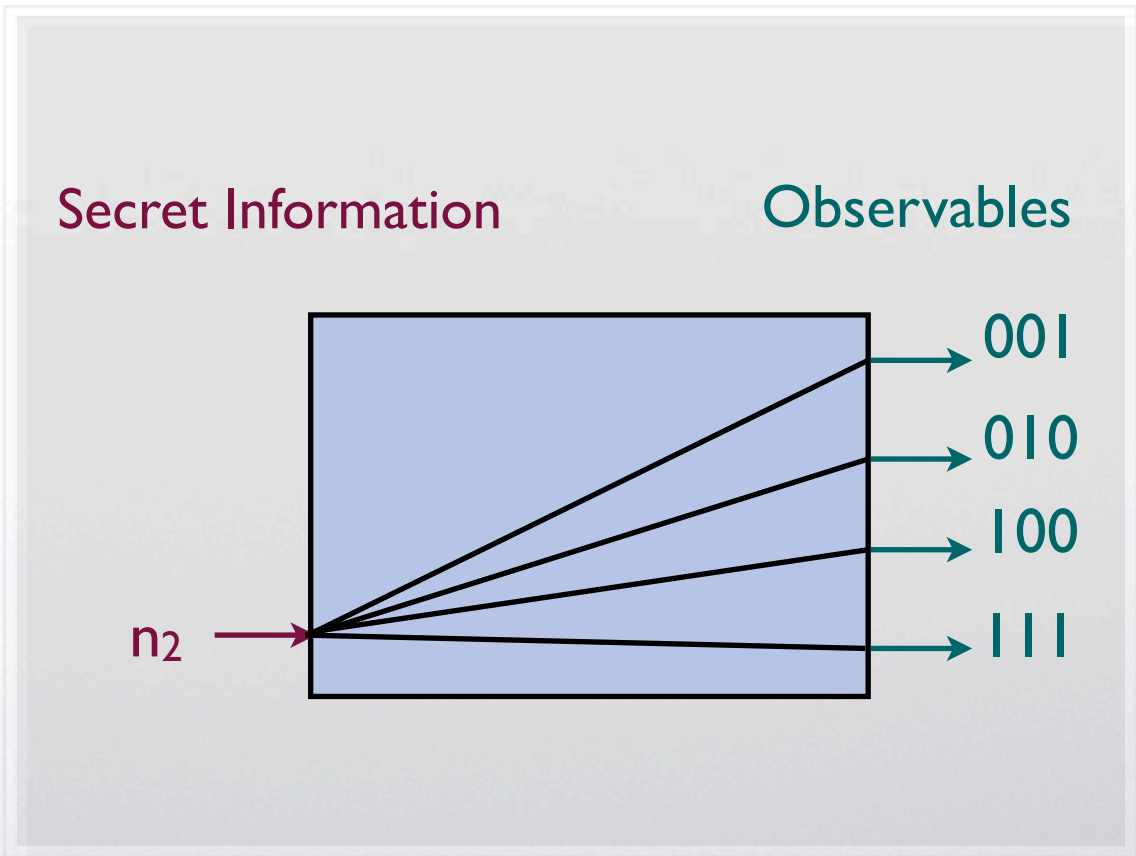
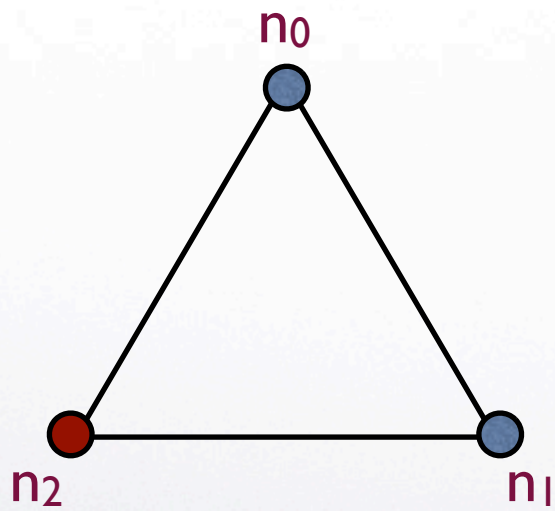




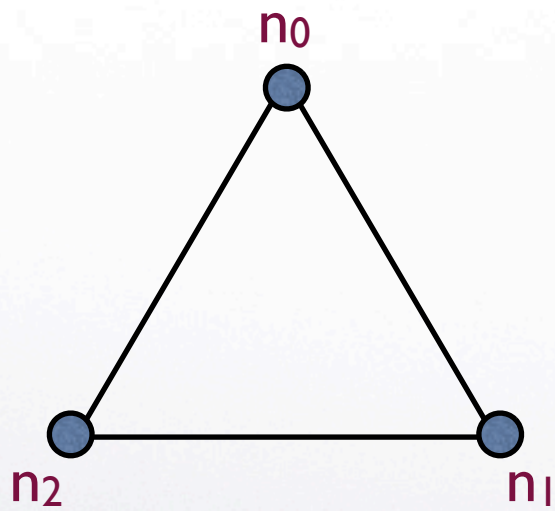
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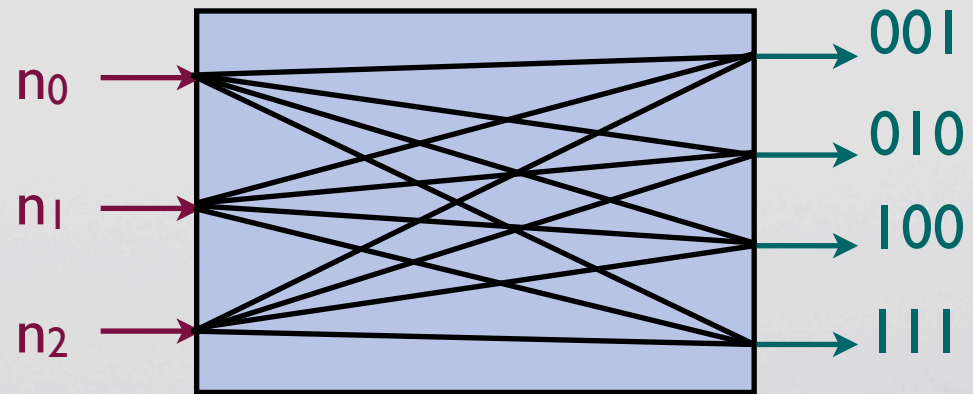


## Example: DC nets (ring of 3 nodes, $b=1$ )



Secret Information

Observables





## Example: DC nets (ring of 3 nodes, $b=1$ )

	001	010	100	111
$n_0$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$
$n_1$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$
$n_2$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$

fair coins:  $\Pr(0) = \Pr(1) = \frac{1}{2}$

strong anonymity

	001	010	100	111
$n_0$	$\frac{1}{3}$	$\frac{2}{9}$	$\frac{2}{9}$	$\frac{2}{9}$
$n_1$	$\frac{2}{9}$	$\frac{1}{3}$	$\frac{2}{9}$	$\frac{2}{9}$
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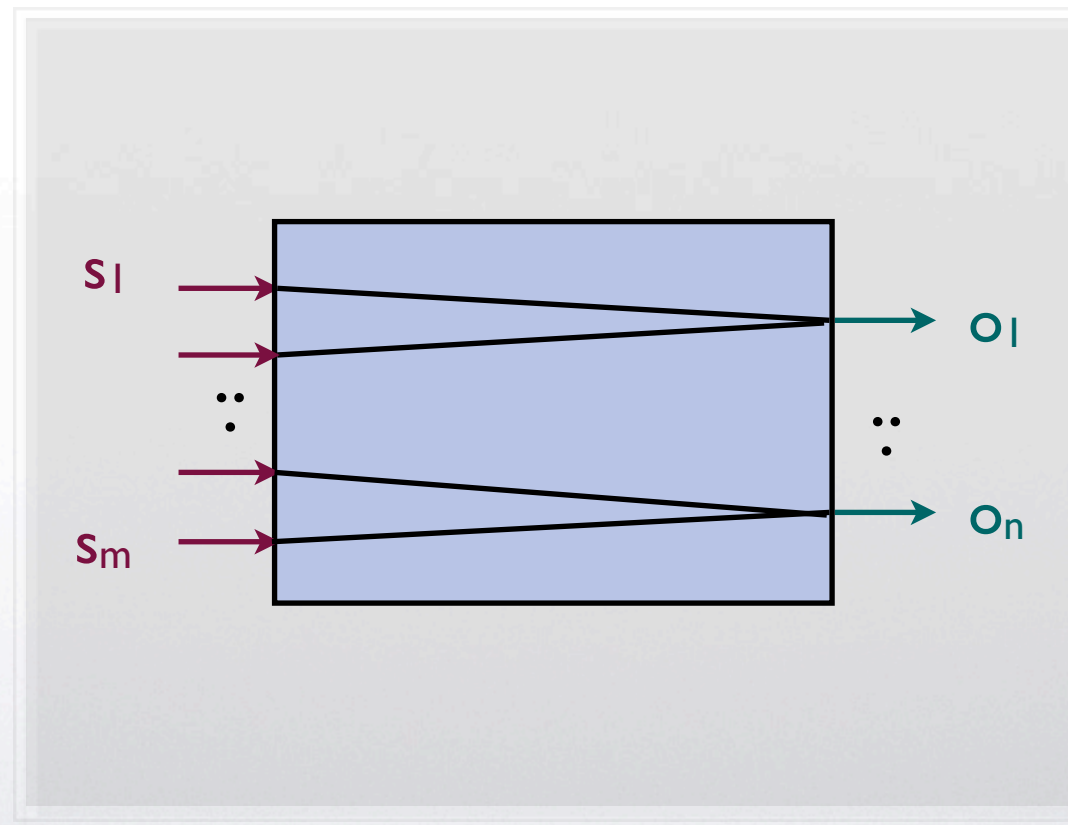
biased coins:  $\Pr(0) = \frac{2}{3}$ ,  $\Pr(1) = \frac{1}{3}$

The culprit is more likely to declare 1 than 0

## Particular case: **Deterministic systems**

In these systems an input generates only one output

Still interesting: the problem is how to retrieve the input from the output



The conditional probabilities can be only 0 or 1

# Exercises

- Compute the channel matrix for the two password-checker programs
- Compute the channel matrix for the DC nets with 3 nodes when the observer is one of the nodes