



# Anonymity Protocols as Noisy Channels

---

Kostas Chatzikokolakis, Catuscia Palamidessi and  
Prakash Panangaden



# Plan of the talk

- Motivation
- Protocols as channels
- Preliminary notions of Information Theory
- Anonymity as converse of channel capacity
- Statistical inference and Bayesian error
- Relation with other notions in literature

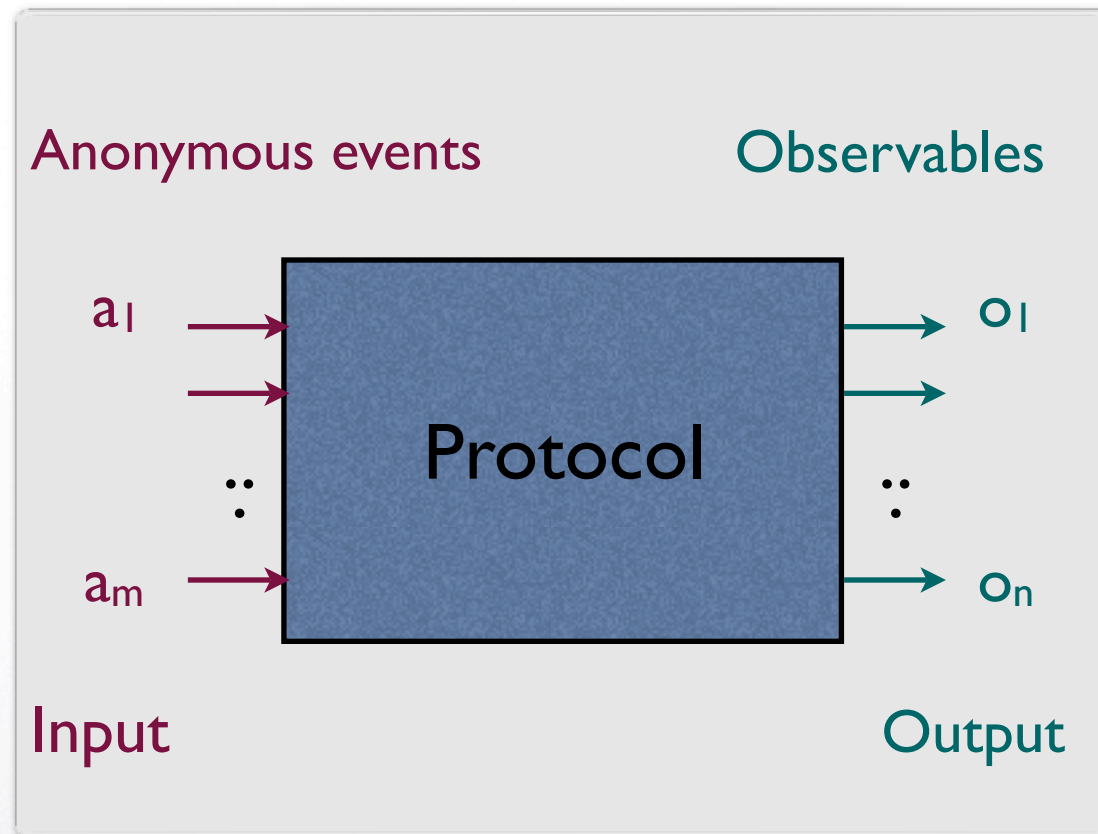




# Motivation

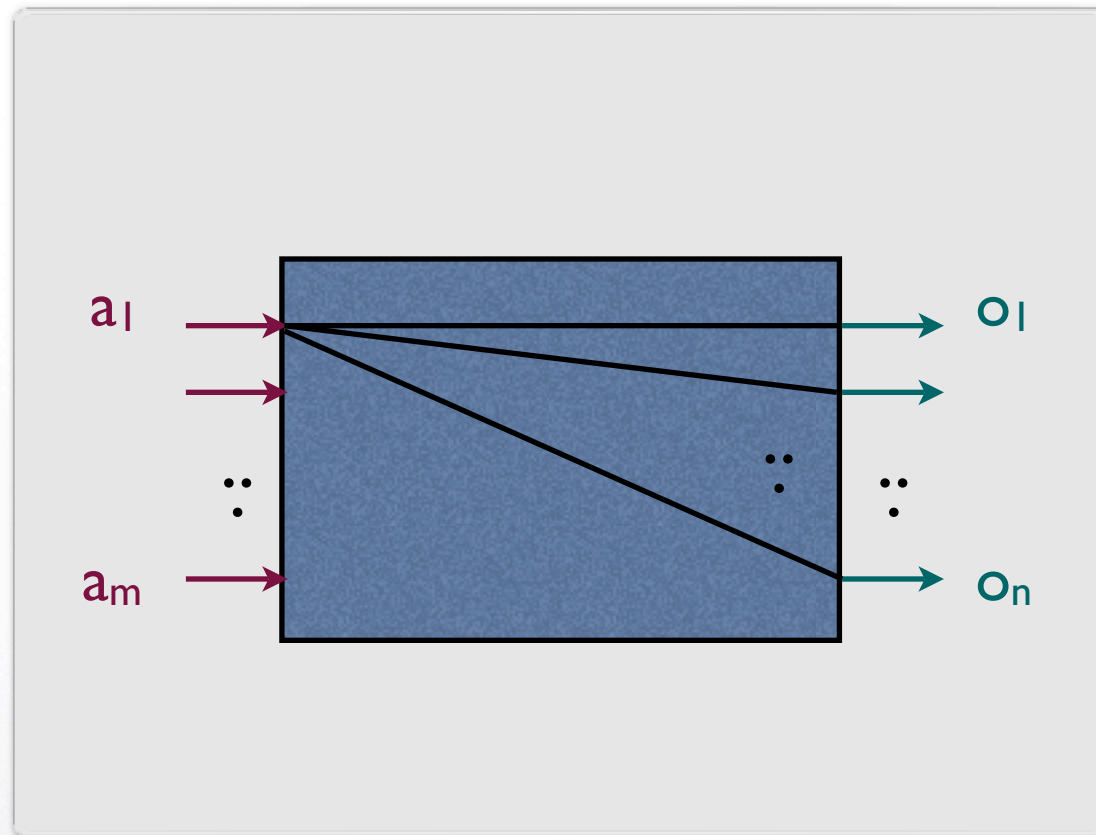
Protection of information:

- Identity protection (Anonymity)
  - Hide the link between the data and its sender/receiver
  - The action of sending itself can reveal one's identity
  - Many applications
    - Anonymous message-sending
    - Elections
    - Donations
- Data protection
  - Information flow
  - ...



## Protocols as channels



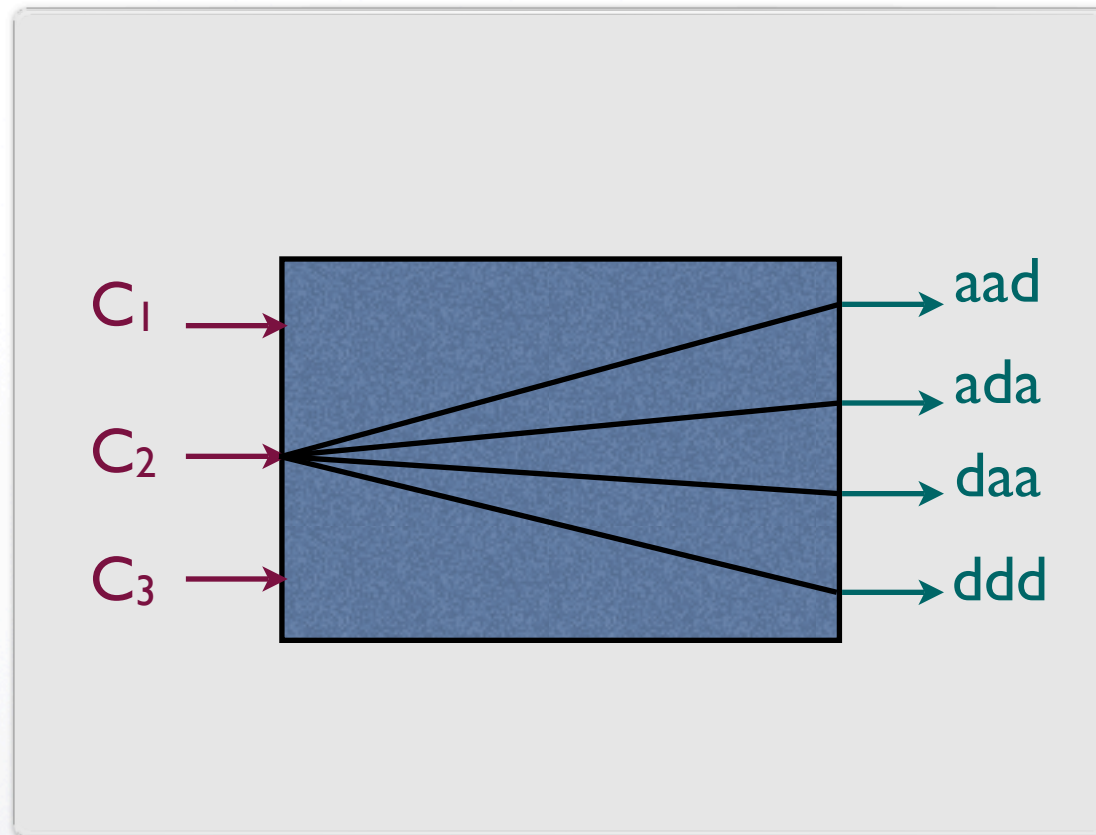


Protocols as **noisy** channels

Diagram illustrating a 3-master consensus protocol:

- Master:** A central red node labeled "Master".
- Slaves:** Three blue nodes labeled  $C1$ ,  $C2$ , and  $C3$ .
- Coins:** Three yellow nodes labeled "Coin", each associated with a slave.
- Messages:**
  - Don't pay:** Dashed purple arrow from a slave to the Master.
  - Pay:** Dashed green arrow from the Master to a slave.
  - agree / disagree:** Purple arrows between the Master and slaves.





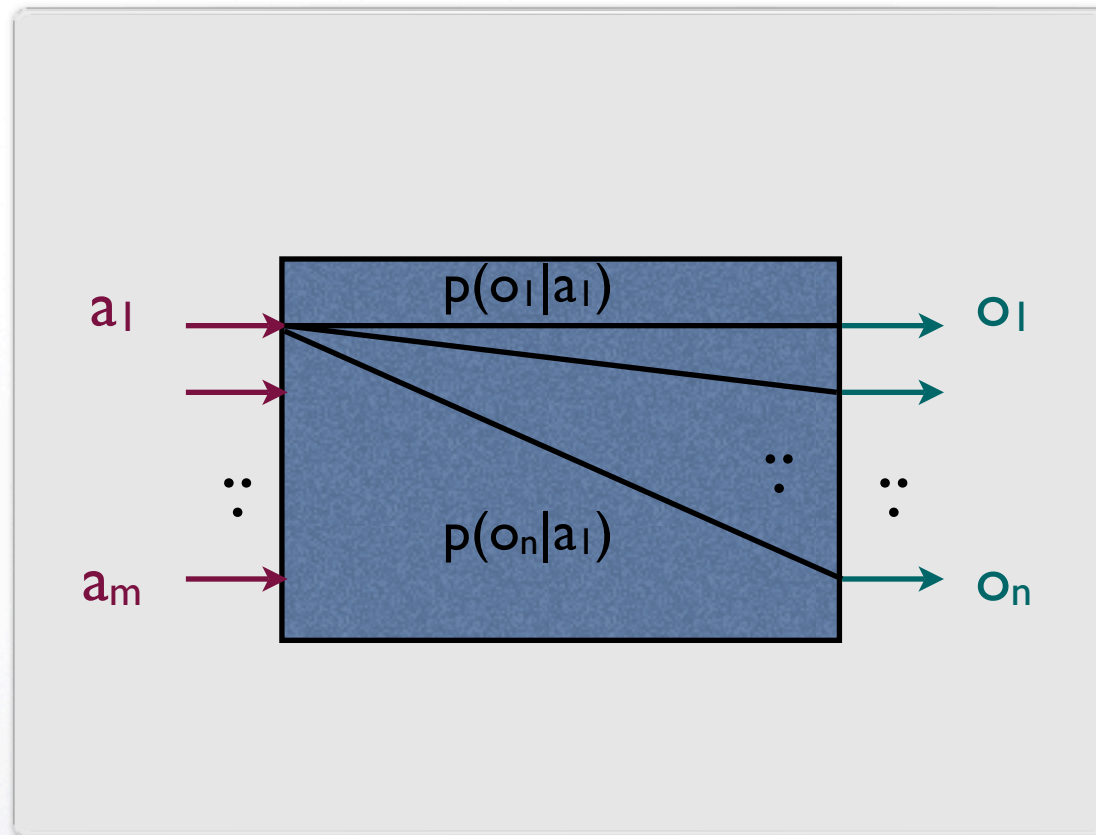
The protocol of the dining cryptographers



# Protocols as noisy channels

- We consider a probabilistic approach
  - Inputs: elements of a random variable  $A$
  - Outputs: elements of a random variable  $O$
  - For each input  $a_i$ , the probability that we obtain an observable  $o_j$  is given by  $p(o_j | a_i)$
- We assume that the protocol receives exactly one input at each session
- We want to define the degree of anonymity independently from the input's distribution, i.e. the users





## The conditional probabilities



	$o_1$	...	$o_n$
$a_1$	$p(o_1 a_1)$	...	$p(o_n a_1)$
$\vdots$	$\vdots$		
$a_m$	$p(o_1 a_m)$		$p(o_n a_m)$

The channel is completely characterized by the matrix of conditional probabilities





# Preliminaries of Information Theory

- The **entropy**  $H(A)$  measures the uncertainty about the anonymous events:

$$H(A) = - \sum_{a \in \mathcal{A}} p(a) \log p(a)$$

- The **conditional entropy**  $H(A|O)$  measures the uncertainty about  $A$  after we know the value of  $O$  (after the execution of the protocol).
- The **mutual information**  $I(A; O)$  measures how much uncertainty about  $A$  we lose by observing  $O$ :

$$I(A; O) = H(A) - H(A|O)$$



# Degree of Anonymity

- We define the degree of anonymity provided by the protocol as the converse of the capacity of the channel:

$$C = \max_{p(a)} I(A; O)$$

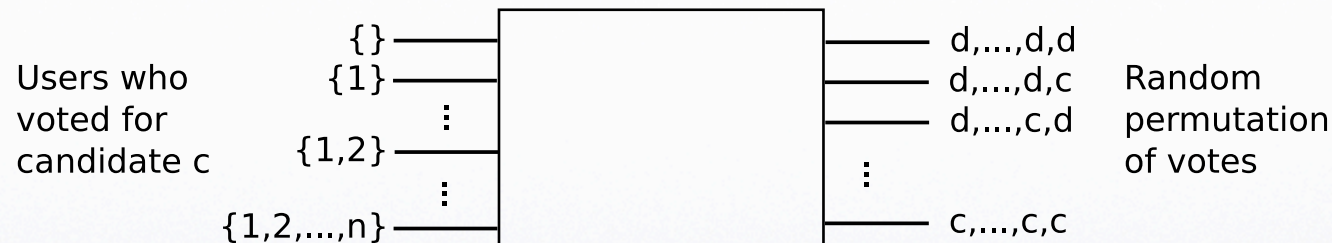
- Note that this definition is independent from the distribution on the inputs, as desired





# Relative anonymity

- Some information about  $A$  may be revealed intentionally
- Example: elections



- We model the revealed information with a third random variable  $R$

$R$  = number of users who voted for  $c$



# Relative anonymity

- We use the notion of **conditional mutual information**

$$I(A; O|R) = H(A|R) - H(A|R, O)$$

- And define the **conditional capacity** similarly

$$C_R = \max_{p(a)} I(A; O|R)$$





## Partitions: a special case of relative anonymity

- We say that  $R$  partitions  $\mathcal{X}$  iff  $p(r|x)$  is either 0 or 1 for every  $r, x$
- Examples: elections, group anonymity

### Theorem

If  $R$  partitions  $\mathcal{A}$  and  $\mathcal{O}$  then the transition matrix of the protocol is of the form

	$\mathcal{O}_1$	$\mathcal{O}_2$	$\dots$	$\mathcal{O}_l$
$\mathcal{A}_1$	$M_1$	0	$\dots$	0
$\mathcal{A}_2$	0	$M_2$	$\dots$	0
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$
$\mathcal{A}_l$	0	0	$\dots$	$M_l$

and

$$C_R \leq d \quad \Leftrightarrow \quad C_i \leq d, \forall i \in 1..l$$

where  $C_i$  is the capacity of matrix  $M_i$ .



# Statistical inference

- An adversary tries to infer the hidden information (input) from the observables (output)
- We assume that the adversary can force the re-execution of the protocol (with the same input). Intuitively this increases his inference power





# Statistical inference

- $O = o_1, o_2, \dots, o_k$  : a sequence of observations
- $f$ : the function used by the adversary to infer the input from a sequence of observations
- Error region of  $f$  for input  $a$ :  $E_f(a) = \{o \in \mathcal{O}^n \mid f(o) \neq a\}$
- Probability of error for input  $a$ :  $\eta(a) = \sum_{o \in E_f(a)} p(o|a)$
- Bayesian probability of error for  $f$ :

$$P_{f_n} = \sum_{a \in A} p(a) \eta(a)$$



# Bayesian decision functions

- $f$  is a Bayesian decision function if  $f(o) = a$  implies
$$p(o | a) p(a) \geq p(o | a') p(a') \quad \text{for all } a, a' \text{ and } o$$
- **Proposition:** Bayesian decision functions minimize the Bayesian probability of error
- Note that the property of being Bayesian depends on the input's distribution





# Independence from the users

- However, for large sequences of observations the input distribution becomes negligible:
- **Proposition:** A Bayesian decision function  $f$  can be approximated by a function  $g$  such that  $g(o) = a$  implies
$$p(o \mid a) \geq p(o \mid a') \quad \text{for all } a, a' \text{ and } o$$
- “approximated” means that the more observations we make, the smaller is the difference in the error probability of  $f$  and  $g$



# Relation with existing notions

## Strong probabilistic anonymity

$p(a) = p(a|o) \quad \forall a, o$  [Chaum, 88], aka “conditional anonymity” [Halpern and O’Neill, 03].

$p(o|a_i) = p(o|a_j) \quad \forall o, i, j$  [Bhargava and Palamidessi, 05]

### Proposition

An anonymity protocol satisfies strong probabilistic anonymity iff  $C = 0$ .

Example: Dining cryptographers

	100	010	001	111
$a_1$	1/4	1/4	1/4	1/4
$a_2$	1/4	1/4	1/4	1/4
$a_3$	1/4	1/4	1/4	1/4





# Strong anonymity and Bayesian inference

- When the rows of the matrix associated to the protocol are all the same, the adversary has no criteria for defining the decision function.
- The Bayesian probability of error is maximal:

$$P_E = \frac{|A|-1}{|A|}$$



# Probable Innocence

- A weaker notion of anonymity
- Verbally defined [Reiter and Rubin, 98] as:

“from the attacker’s point of view, the sender appears no more likely to be the originator of the message than to not be the originator”
- Can be formally defined [Chatzikokolakis and Palamidessi, 05] as:

$$(n - 1) \geq \frac{p(o|a)}{p(o|a')} \quad \forall o \in \mathcal{O}, \forall a, a' \in \mathcal{A}$$





# Probable Innocence

- Can be generalized into a more general concept of **partial anonymity**:

$$\gamma \geq \frac{p(o|a)}{p(o|a')} \quad \forall o \in \mathcal{O}, \forall a, a' \in \mathcal{A}$$

## Theorem

If a protocol satisfies partial anonymity with  $\gamma > 1$  then

$$C \leq \frac{\log \gamma}{\gamma - 1} - \log \frac{\log \gamma}{\gamma - 1} - \log \ln 2 - \frac{1}{\ln 2}$$



# Future work

- Challenging problem (not much investigated in statistical inference): infer the input distribution without the power of forcing the input to remain the same through the observations
- Investigate characterizations for other (weaker) notions of information hiding, which are easy to model check (i.e. they do not require to analyze the capacity as a function of the input distribution)
- Develop a logic for efficient model checking